THE CANADIAN NEONATAL NETWORKTM

LE RÉSEAU NÉONATAL CANADIENTM



Abstractor's Manual

Date: August 14, 2015 CNN v.2.2

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CHAPTER ONE: INTRODUCTION TO THE CNN

What is the Canadian Neonatal Network?

The Canadian Neonatal Network (CNN) is a group of multi-disciplinary Canadian researchers who collaborate on research issues relating to neonatal care. The Network was founded in 1995 by Shoo Lee, MBBS, FRCPC, PhD and now includes members from 29 hospitals and 17 universities across Canada. The Network maintains a standardized neonatal intensive care unit (NICU) database and provides a unique opportunity for researchers to participate in collaborative projects on a national and international scale. Health care professionals, health services researchers and health administrators participate actively in clinical and epidemiological outcomes, health services, health policy and informatics research aimed at improving the efficacy and efficiency of neonatal care. Research results are published in Network reports and in peer-reviewed journals.

Mission Statement of the CNN

"To be a network of Canadian researchers who conduct leading multi-disciplinary, collaborative research dedicated to the improvement of neonatal-prenatal health and health care in Canada and internationally".

Specific Goals

- Establish a national network of multi-disciplinary Canadian researchers interested in neonatal-perinatal research
- 2) Establish and maintain a truly national neonatal-perinatal database and provide the infrastructure to facilitate collaborative research
- 3) Longitudinally study outcomes and variation in medical care that increases costs but does not improve outcomes. This is important because NICU care is one of the largest components of child health expenditures and exhibits large variations in mortality, morbidity and costs.
- 4) Develop innovative research methods that can lead to improvement in health and quality of healthcare.

Database

- 1) <u>Core Database</u>: The Network will maintain a core Network database. Institutional representatives and the Steering Committee decide policy concerning content and use of the database.
- 2) <u>Project Database</u>: Research projects may request utilization of the Network data acquisition system to collect project related data. Such requests will be approved by the Steering Committee. Access to such data will be limited to members of the research project. Expenses relating to such data acquisition will be the responsibility of the project researchers concerned.

CHAPTER TWO: BACKGROUND

Neonatal Intensive Care

Newborn intensive care is a rapidly evolving area of medicine in which advancing technology and new treatment techniques have proven very effective in improving infant outcomes. There is constant innovation and introduction of new treatments, equipment and procedures. Despite this rapid progress, there remains a significant mortality and complication rate, particularly for extremely premature infants. It has become evident that there are marked differences in outcome between different Neonatal Intensive Care Units (NICUs). Furthermore, neonatal care is extremely costly, amounting to thousands of dollars spent for each day in the NICU. Due to wide variations in care outcomes, and to the extremely high cost of care it is crucial that both established and novel medical treatments for newborn infants be analyzed for effectiveness and cost-efficiency.

Evaluating Practices

The effectiveness of many individual treatments (respirators, drugs, formulas, etc.) has been proven in clinical trials. However, when used in combinations, on different populations, or by less skilled clinicians, the effectiveness of these treatments in actual practice may vary. These variations in effectiveness or quality of care may be responsible for the observed variations in outcomes. If true, this presents an important opportunity to improve care, by identifying ineffective care practices.

One method for identifying the most effective practices is to compare the outcomes of patients who undergo different treatment strategies. This approach depends on comparing equivalent patients. For example, if two NICUs use different treatment strategies on babies with the same medical condition, but some babies started out sicker than others, there is no way to accurately assess whether the treatments were used effectively, and which of the treatments was most effective. To permit fair comparisons, it is essential to have an objective way to measure severity of illness.

The SNAP Score

SNAP (*Score for Neonatal Acute Physiology*) is an illness severity scoring system which sums up the worst physiological derangement in each organ system in the first 12 hours of admission to the NICU. This scoring system has been shown to be highly predictive of neonatal mortality and to be correlated with other indicators of illness severity including therapeutic intensity, physician estimates of mortality risk, length of stay, and nursing workload. SNAP provides a numeric score that reflects how sick each infant is. This scoring system is modeled after similar adult and pediatric scores, which are already widely in use.

The TRIPS Score

The *Transport Risk Index of Physiological Stability* (TRIPS) is an index which is used to assess changes in patient condition as a result of the transport process. TRIPS looks at four empirically weighed items: temperature, blood pressure, respiratory status and response to age appropriate stimuli. These items are compared just prior to transport, upon admission to the receiving NICU, and twelve hours following admission. TRIPS has been found to be a good predictor of NICU mortality and severe IVH risk. TRIPS is also important in that it can be used to help explain causes for a patient's change in status during transportation and from this new procedures to improve patient care during transport can be identified.

The NTISS Score

The *Neonatal Therapeutic Intervention Scoring System (NTISS)* is an index used to assess therapeutic interventions a patient is receiving at any time point during day 1 and day 3 of admission. It covers a wide range of pharmacological and non-pharmacological interventions provided during stay in the NICUs. NTISS has been found to be a good tool for identifying resource utilization and illness severity of patients. Because of data collection on TRIPS and SNAP scores, collection of NTISS is optional in this version of the CNN database.

CHAPTER THREE: DATA COLLECTION

Data Collection

Data collection will be conducted by either medical chart review or prospectively collecting in real-time. Data collection should occur at the bedside both to maximize the amount of information obtainable (that is, having the ability to clarify confusing or incomplete chart entries by asking attending clinicians), and to save the time involved in tracking down medical records once the patient has left the hospital. In addition, the data collected will be entered directly into computers. Direct data collection combines the steps of data collection and data entry into one task, saving time and reducing the risk of human error in obtaining the data. The requirements for the new CNN application are as follows:

Software requirements:

- 1) Windows XP SP2 or later (Windows 2000 is not supported)
- 2) Access 2000/2003 or higher
- 3).NET Framework 3.5 SP1 (Freely downloadable software at http://tinyurl.com/5m4j75).

Hardware Requirements:

- 1) CPU speed of 2.0 Ghz or higher (Pentium 4, Core2Duo or AMD similar class CPU)
- 2) 512 MB Memory (1GB recommended)
- 3) Screen Resolution of 1024 x 768

Which Babies to Abstract

Abstractors are responsible for abstracting every eligible admission to the NICU. Eligible babies are babies who stay in the NICU for at least 24 hours OR who die/are transferred to another level 2 or 3 facility within 24 hours and all delivery room deaths of infants \geq 22 weeks gestational age. (Note: For purposes of this database, time of admission is defined as the time of the first set of recorded vital signs.) Once a baby has been admitted to your NICU, you will have ultimate responsibility for the data collection on that baby, regardless of outcomes or transfers. Please note once you identify an infant as Delivery Room Death on the first page in the field "CASE TYPE" you will only be required to complete a very small subset of information (Admission, Mother and Resuscitation screens only) before you will be able to validate the case successfully..

Admission Tracking

Abstractors should check NICU admission log books and delivery room records daily for new admissions. It is crucial that every eligible baby be abstracted (see "Which Babies to Abstract" above). In order to not miss eligible babies it is best to stay current in your abstraction. It is important to stay on top of your abstracting because: 1) If something is unclear or confusing, you can ask the NICU staff questions and they are likely to know the information. If you wait too long after the baby's discharge, they may not have accurate recall of the needed information. 2) Tracking down medical records once the baby leaves the unit can be time consuming and difficult. It also runs the risk that records may be lost. You may try to arrange with your Institutional Representative to have your NICU staff hold charts of discharged babies for an extra day thus giving you the opportunity to obtain the discharge data before chart removal. If this is arranged, you should check for charts of discharged babies first thing each day to minimize the delay in chart removal.

Data Content

Data collection consists of five major categories of information.

- 1) **Registration Information:** Background information such as birth weight, gender, gestational age and obstetric variable will be recorded on admission to the NICU.
- 2) Illness Severity: Illness severity will be recorded using SNAP, a physiology based measure.
- 3) **Transport Information:** Transport details and physiological details as a result of transport situations will be recorded after 12 hours of admission.
- 4) **Diagnosis and Procedures:** Details about various diagnoses and procedures patients underwent during their stay in NICU.
- 5) **Discharge Abstract:** At discharge, abstractors will record a number of outcome variables including discharge date and place and support.

General features and use of the new CNN application

Compared to the old CNN application, the new CNN application has numerous unique features to facilitate data entry and enhance abstractors' productivity. Some of the major improvements are listed below:

- 1) Brand new, more user-friendly data entry interface
 - Intuitive side navigation panel for more efficient data access and updates
 - Dropdown menus and toolbar for easier menu access
- 2) Improved Search Engine
 - New easily accessible search panel with more search fields as criteria
 - Patient list view for browsing search results
- 3) Improved data entry validation
 - Calendar popup date entry, masked edit boxes used to prevent errors at point of entry
 - Floating error message balloons for unobtrusive field validation
 - Validate Case screen with easily understandable error messages
- 4) Record Activation / Deactivation feature
 - Activate / re-activate records instead of using the free-text Comments field
- 5) Unlock record / Log out user feature
 - User can unlock the locked cases and log out users if the application exits suddenly due to PC/application crash
- 6) Improved Upload/Update functions
 - More user-friendly database upload and application updates
- 7) Integrated Help system
 - The CNN manual is now integrated with the CNN application and is automatically updated with new manual revisions during application updates

The following are two examples of the general data entry workflow, one for creating a new case and the other for searching and updating an existing case.

Creating a new CNN case:

- 1) Go to File-New Case or click on the New Case toolbar icon
- 2) Enter the hospital record number and admission date/time.
- 3) User enters admission info in the admission screen that opens up
- 4) Browse through the screens using the left navigation menu (Mother, Resuscitation, Transport...etc) and enter the information required
- 5) Tick the Reviewed checkbox once all the fields have been reviewed by the user
- 6) Go to Validate Case screen to validate the whole CNN case and its status will change to Validated if successful

Searching and Updating existing cases:

- 1) Go to the search panel, enter the search criteria and click Search
- 2) Select the record you want to update by double-clicking on the row in the patient list search results box
- 3) Update the fields as required on the admission screen that opened up
- 4) Browse to any of the other screens to do any updates required
- 5) If the update for the current case is done, select another case to update in the patient list search results box or perform a new search

Scoring Periods

SNAP: SNAP is scored on the day of admission for the first 12 hours following admission. Where the time of admission is defined as the time the first vital signs are recorded in the NICU.

Day 28: Day 28 data should be recorded as the first data noted after midnight on day 28 of life to 23:59 (24 hours).

Week 36: Week 36 is 36 weeks post conception (gestational age plus weeks of life). It is computed using the gestational age that is calculated from the best available information on the first page. Please note that if the baby is born at 32 weeks gestational age, the week 36 data will be identical to the day 28 data. Therefore, this data should not be collected if the gestational age is 32 weeks or more, or if the patient dies or is transferred to a level 1 nursery prior to week 36. Data should be recorded by using the first value noted after midnight on the first day of week 36 to 23:59 (24 hours).

Missing SNAP Scores

If you are missing information from the scoring period either because a flow sheet is missing or because the baby was transferred out, or died during the scoring period complete the SNAP screen using the information that is available, leaving the rest of the fields blank.

Deaths

For all babies who are admitted to the NICU and die you will need to verify the cause of death by a) asking the attending physician and b) checking the death certificate to see what is listed. Note that the autopsy report may not be completed for several weeks. If a baby dies during the SNAP scoring period you should abstract the score regardless of how many hours of the scoring period the baby lived. Please make a note in the comments box for these cases indicating the length of time the score was based on. You should also talk to your Site Investigator about getting a log of all *delivery room deaths of babies greater than or equal to 22 weeks gestational age (live born babies only)* from delivery room or Pathology. These include babies who die in the resuscitation room or are born following induction of labour for termination purposes and then die in other places in the hospital shortly after birth. Intrauterine deaths or stillbirths are not included. Please enter these infants in the database. We need only basic information for such patients.

Rounding

Most numeric entries need not be rounded (head circ, temp, pH can all be entered as a decimal). However, some numeric entries that need to be rounded for entry should be rounded as follows: 2.4 and smaller should be rounded to 2;

2.5 and larger should be rounded to 3. Generally, if values are listed as "<", as in "<2", score as one less than what is written, e.g. <2 would become 1 (or 1.9 in the case where an integer is not required).

Readmissions

For those sites just beginning data collection; a "readmission" on the patient log/admission screen can only be scored if this patient has been entered previously in the database. Therefore, any patient who is readmitted to your unit and whose initial admission is prior to the data collection start date would be scored as either inborn or outborn AND not a readmission (given that their initial visit was not recorded in the database) and their case type set to "3 – Readmission from 2009".

For all readmissions use the same record number (hospital chart number) and indicate which readmission number it is. This is different from the old application where "a" or "b", "c"..etc was appended to the record number for readmissions.

Patient Unique Identifier (Patient UI)

Since the CNN sites have separate local databases, the patient Unique Identifier field was created to uniquely identify a patient in CNN when the baby moves across different sites. That ID will be used to link the different admission records entered in the separate local site databases when they are consolidated.

When a new admission is created, there is a unique id generated under the Patient UI field. The following is the workflow that all site abstractors MUST FOLLOW to make sure that the patient UI number remains consistent across all the sites.

- 1) A baby is born at CNN site X and gets admitted to CNN with an autogenerated patient UI number A
- 2) The baby then gets admitted to CNN site Y and a patient UI number B is automatically assigned.

The abstractor at site X will need to let the abstractor at site Y know of the patient UI number A and the latter should update the baby's patient UI from B to A.

The guideline is that the hospital with the first CNN admission is the one which propagates the originally created baby patient UI to the subsequent sites and the abstractor at the transferring site should inform the other abstractor within a reasonable amount of time (for example, within a couple of days to a week maximum) for the patient UI update to take place.

If you are entering a baby who was entered in the previous CNN database and who is now being re-admitted, select Case Type #3 on the admission screen, and please enter the patient UI from the previous database into the appropriate field in the current program.

Multiple Births (Twins, Triplets...)

For cases where a mother has multiple babies, when the second baby is entered into the application, there is a feature to autofill the mother information automatically since they have the same mother. If the "Births this pregnancy" value is more than 1, the ">1 Births" button will appear. When you click on it, a popup window will appear and you can type in the record number of the first baby admission already in the database. The case id, name and date of birth information for the existing baby record for the multiple birth case will be displayed for verification purposes. When you click on OK button, the mother information of the current baby will be autofilled. The retrieved record will be displayed if the "Birth This Pregnancy" field value matches the current baby record. The Date Of Birth retrieved will be in red if it does not match the current baby record to alert the abstractor that the baby from which the mother info is going to be autofilled may be incorrect. Please refer to the "Birth This Pregnancy" field below in the Admission Screen section for a screenshot of the popup.

Missing Values

Note that you need not record something for each data item when asked, except when mandatory to case validation. If the information asked for is unavailable please leave the associated field blank or indicate that it is unknown. In previous versions of this application missing values such as "99 or 999 etc." were noted, but this has now been replaced with "unknown" and "N/A" options.

Data Grids

The new CNN application employs the use of data grids throughout the screens (Diagnosis/Procedures; Cultures/Transfusions; Medications). If errors in entry are made, rows can be deleted in their entirety by clicking on the grey area to the left of the first column of the entry you wish to delete. Once selected, pressing "Delete" on your keyboard will clear the row from the dataset and move remaining entries up.

Glossary

THE MEDICAL GLOSSARY IN THIS VERSION OF THE CNN MANUAL HAS BEEN REMOVED. PLEASE REFER TO ONLINE MEDICAL DICTIONARIES (SUCH AS http://www.nlm.nih.gov/medlineplus/mplusdictionary.html) IF YOU HAVE QUESTIONS ABOUT MEDICAL DEFINITIONS.

CHAPTER FOUR: ERROR CHECKING

Computer data checks

The computer program has several error checking systems in place. At a primary level, the program performs error checks during data entry, to help ensure accurate data capture. For instance, there are checks for "reasonableness". For example, if you entered '66:66' as a value for time, the computer will generate an immediate error message prompting you that this is not a valid entry, and will require you to change this value before proceeding. At a secondary level, once you have completed an entire patient file and wish to submit your data a final error check (validation) will be run. A third error check occurs after your data has been submitted to the Coordinating Centre (CC), in which you may be contacted by the CNN Coordinator to confirm any unusual entries. If you enter something unusual, please write an explanation in the "comments" section to save the time of rechecking the chart. If you receive an error message that is not self-explanatory, contact the CC for advice.

Abstractor checks

Some data items are not easily checked by the computer. It is crucial that everything you enter into your computer makes sense to you. If it doesn't, please ask questions of the NICU staff to protect the data integrity. In addition, please read through each data screen before closing it to make sure you have filled in all the information correctly.

Record Deactivation

In the old CNN, whenever an admission record was entered wrongly or was invalid, abstractors wrote "To Delete", "To Filter", "Pls Ignore" or similar notes under the Comments field. This made identification of those invalid cases (for annual data cleanup and CNN annual report generation) difficult as the Comments field is free-text.

A new "Record Deactivation" feature has been added in the new CNN application to deactivate admission records that are invalid.

Please **DO NOT** enter "To Delete", "To Filter", "Pls Ignore" or similar words under the Comments field for the new CNN application, use the Record Deactivation feature instead.

Abstractor organization

It is important for data abstractors to be organized to help alleviate errors and missed or overlooked data. In order for the CC to determine the difference between missing data that is unavailable from the chart versus data that may simply have been overlooked by the abstractor it is crucial that the abstractor enter appropriate values. Whenever possible, a blank space should be left any time a data field cannot be completed because the information is not directly noted or cannot be inferred from information anywhere in the patient's complete chart. Abstractors are also advised to keep a separate excel file to keep track of which patient records are complete (following discharge) and which records are still missing information and need to be followed up on. The excel file can also be a way to record which infants have had name changes in order to avoid confusion or duplicate entries. Please note that when babies are transferred to other hospitals it is the responsibility of the first hospital's data abstractor to provide the UI to subsequent hospital's data abstractor in a timely fashion (within 3 weeks of transfer to a subsequent facility) so that information on a patient can be linked appropriately. In the Help menu there is a link to the CNN website where you will be able to find list of abstractors and site investigators and their contact details. There is also a listing of site investigators in Appendix VII. Please use these tools to contact the correct person. If you need to talk to the CNN Coordinator or Database Programmer for computer related issues, their contact details are also provided on the CNN website.

Customizability of the CNN Application

The new CNN application allows users to select from various settings in order to personalize the application environment. To access the settings dialogue window, go to the Tools menu on the upper border of the application and select "Settings...". Here you can customize the Patient Chart, the Search settings, the default Inborn and Outborn Hospital and the database file location. The Patient Chart customization allows you to change the colours of the Patient Chart grid and to personalize the vertical highlight bar. You can customize default search settings by selecting Date to reflect either Date of Admission or Date of Entry Creation, customize how far back to search, and the status of the cases that will be retrieved. By default, the database file location is the application installation folder, which you may choose to change depending on your local organizational needs. You can restore default settings on all tabs by clicking on the "Clear All" button at the bottom of the Settings window. For more information on these features go to General Database Use on page 12.

CHAPTER FIVE: CONFIDENTIALITY AND PROFESSIONALISM

Confidentiality

There are several levels of confidentiality that must be maintained. Firstly, the data in the computer represents personal information about patients. To maintain full confidentiality, the computer will encode all information from the medical chart that identifies the patient before it is transmitted to the Coordinating Centre (CC). The CC will be able to identify patients by their CNN Case ID number, but only the Data Abstractor at individual sites will be able to match that number to a specific patient. Secondly, the SNAP can be used to compute a risk of death. However, the predictive accuracy of this estimate has never been tested. Finally, please ensure that the Research Ethics Board of your institute has approved the CNN data collection. Feel free, however, to answer any questions parents or clinicians may have about the general purpose of the data collection.

For data confidentiality and accountability, for the new application, each abstractor will be issued a user id and password, only known to him/her to log in to the application. Every record created or updated using a given user id will be marked in the backend database with that user id and the created/updated date. This audit trail information can be used for security purposes as well as for improving CNN data entry and data quality. This is different from the old CNN application, where one database password was used and shared by all.

Please also note that the mothers P.H.N. will only be kept on your local datasets and will not be transferred to the CNN Coordinating Centre upon upload.

Professionalism

It is important that you maintain a professional image while working in the NICU. This includes making sure you are not disruptive of parents visiting their sick babies, of clinicians caring for the babies, or of any other NICU routines. Your dress should be professional and your appearance neat at all times you are working, even when working evening or weekend hours. In addition, please try to keep your voice low and your behaviour calm so as not to disturb any sensitive babies.

NICU staff interactions

The NICU staff is often very busy caring for the babies. They are also an excellent resource for information on specific babies or specific medical terminology. In your interactions with the staff, it is important to ask the bedside nurses before using the medical chart, to keep the chart in the immediate area and to make sure the nurses know where to locate the chart if they need it. In addition, be sure that your presence is not interfering with the nurses' care of patients.

CHAPTER SIX: CNN Application - External Tools

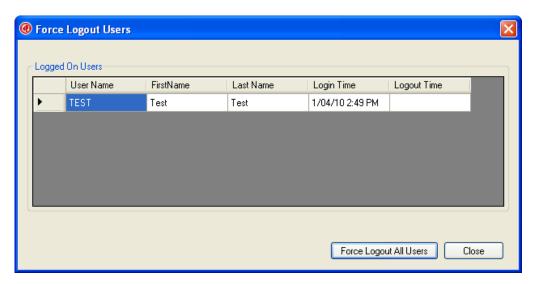
The CNN Application has two tools that are used outside of the application itself. The two tools are "Logout Users" and "Unlock Case Records".



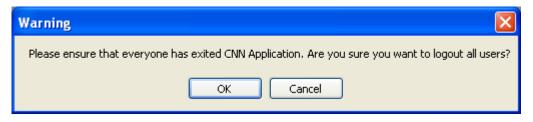
Logout Users

The "Logout Users" external tool is used when a user continues to be logged in to the CNN Application despite the application having been closed. This normally occurs when the application is closed abruptly due to a power failure or an application crash.

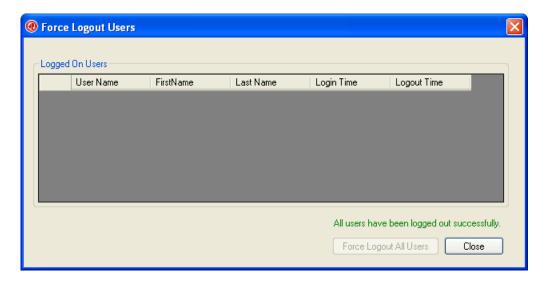
1. Click on the "Force Logout All Users" button.



2. Make sure that the CNN Application is not running and click on the "OK" button.



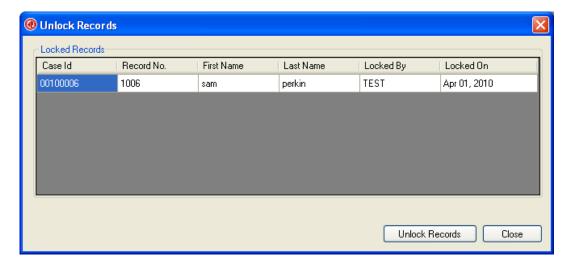
3. A message will appear stating that the users have been successfully logged out. Click the "Close" button to exit the tool.



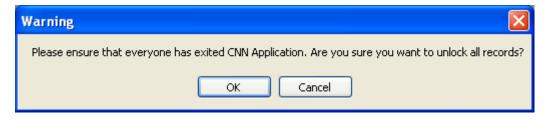
Unlock Case Records

A patient case is normally locked for other users when one user is currently accessing it. This is done to prevent two users from editing the same record and potential clashing. This tool is used when a patient case continues to stay locked despite the fact that no user is accessing the case. This normally occurs when the application is closed abruptly due to a power failure or an application crash.

1. Click on the "Unlock Records" button.



2. Make sure that the CNN Application is not running and click on the "OK" button.



3. A message will appear stating that the users have been successfully logged out. Click the "Close" button to exit the tool.

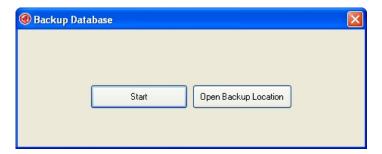


Repair Database

Database files can grow quickly as you use them, sometimes they would impede performance. They can also occasionally become corrupted or damaged. You can use the **Repair Database** in CNN External Tools to prevent or fix these problems. Please make sure no user is logon to the CNN application before use this feature.

Backup Databse

The "Backup Database" tool is used to back up the database. Click on "Backup Database" button to open up a new window where you can "Start" the back up process or find the location of the back up file with "Open Backup Location".



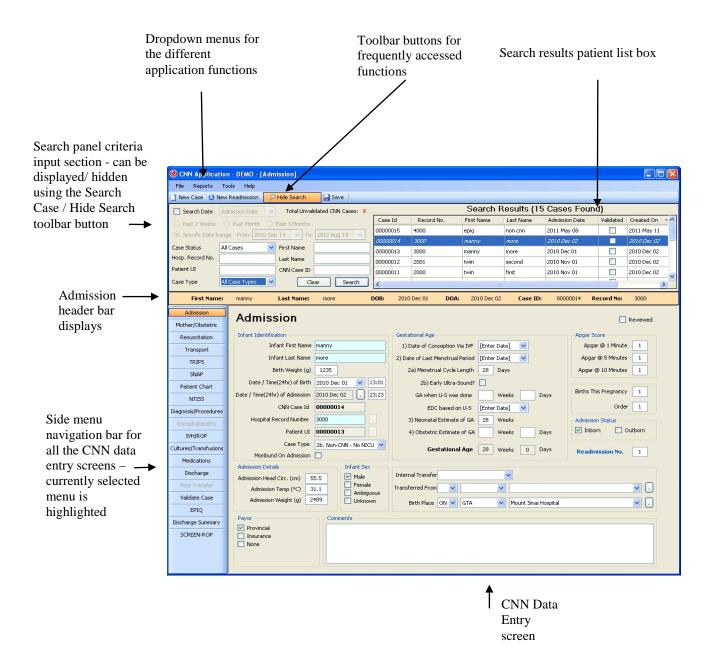
Change Database Location

Select this tool to change location of the database when the database file path is changed



GENERAL DATABASE USE

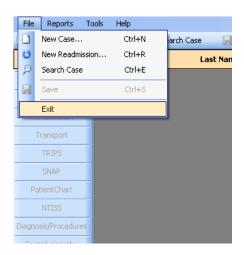
Overview of new CNN user interface

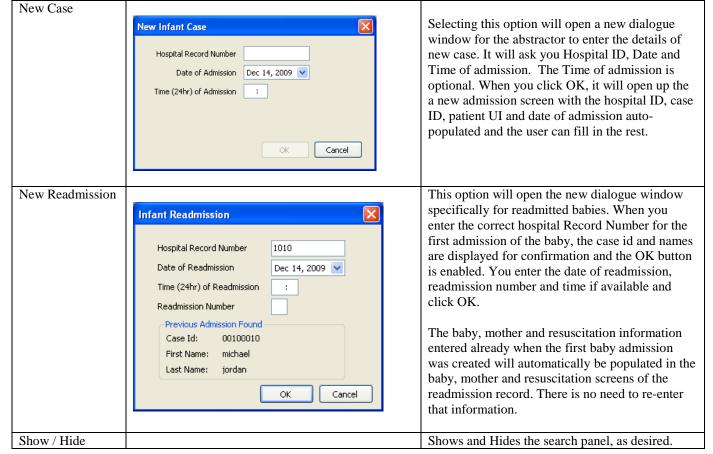


Application Menu Items



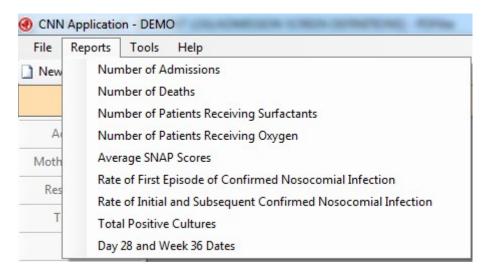
File





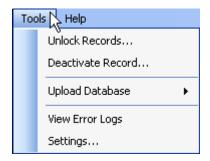
Search	You can hide the search panel to have a larger viewing area for the screens without the need to scroll.
Save	Select this option to save your input.
Exit	Select this option to exit the system. Exiting the application will automatically save any entered data.

Reports

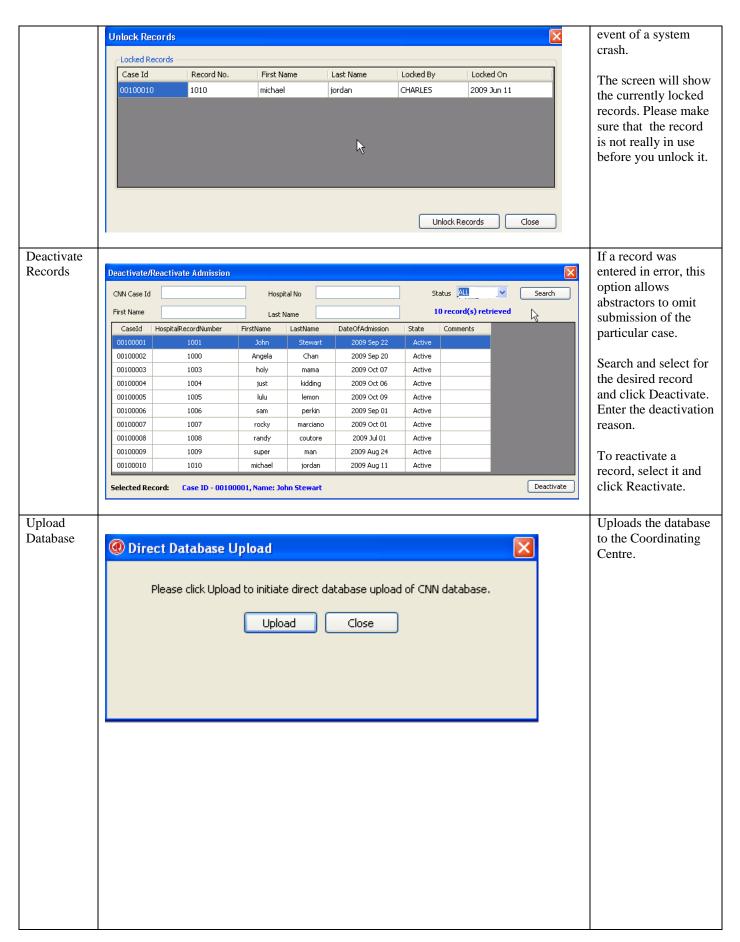


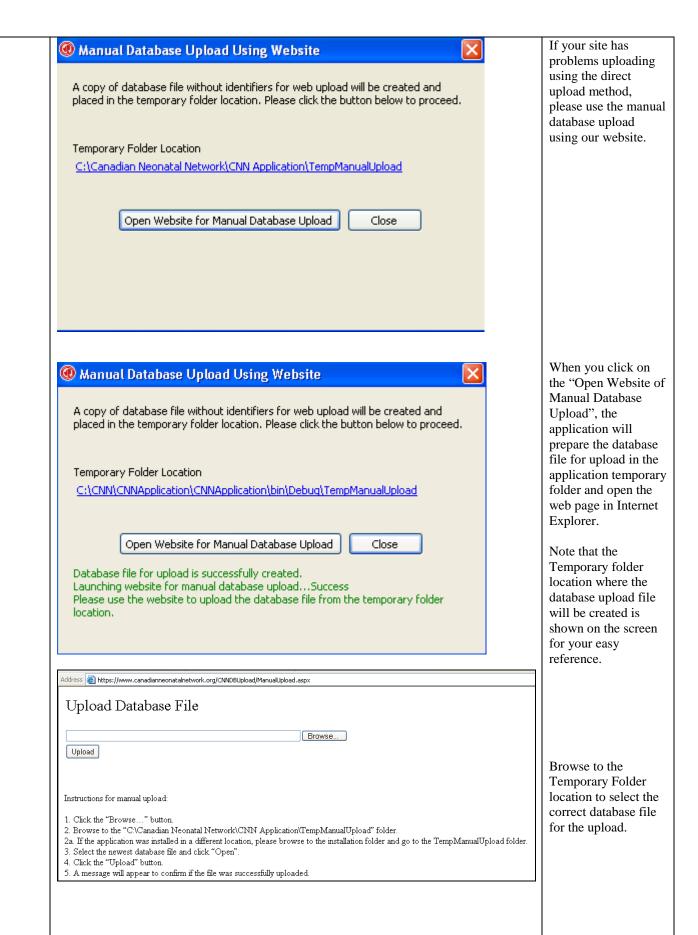
Number of Admissions	Includes Validation Status; report output includes
	hospital record number
Number of Deaths	
Number of Patients Receiving Surfactants	Measures time from date of birth
Number of Patients Receiving Oxygen	
Average SNAP-II and SNAPPE-II Scores for Patients	
Rate of first episode of confirmed nosocomial infection in	
a given time period	
Rate of initial and subsequent confirmed nosocomial	
infection in a given time period	
Total Positive Cultures	
Day 28 and Week 36 Dates	

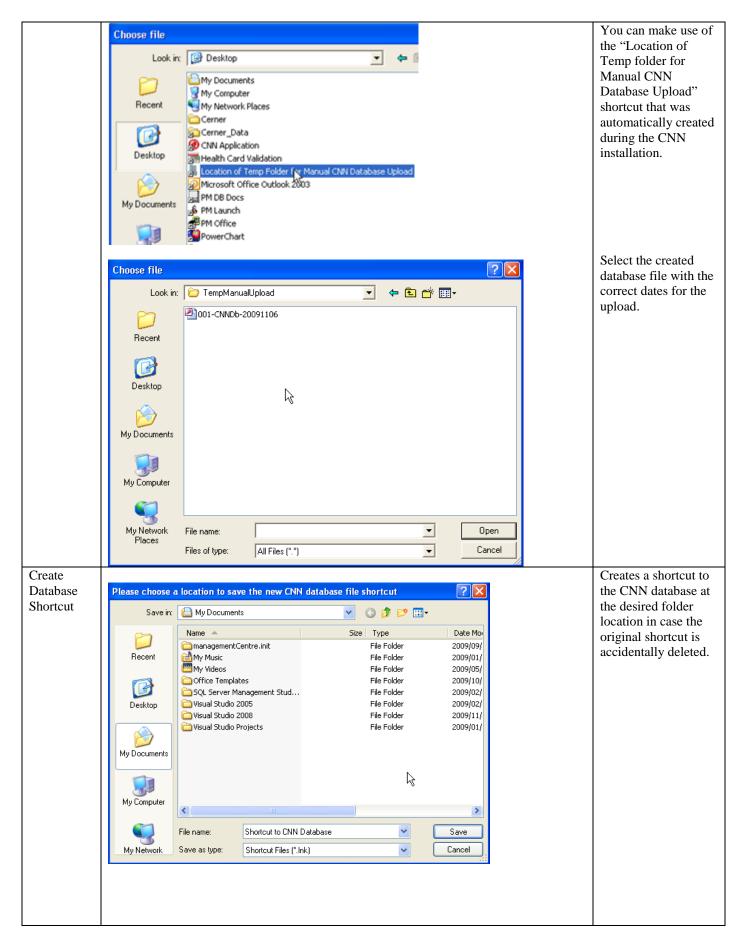
Tools

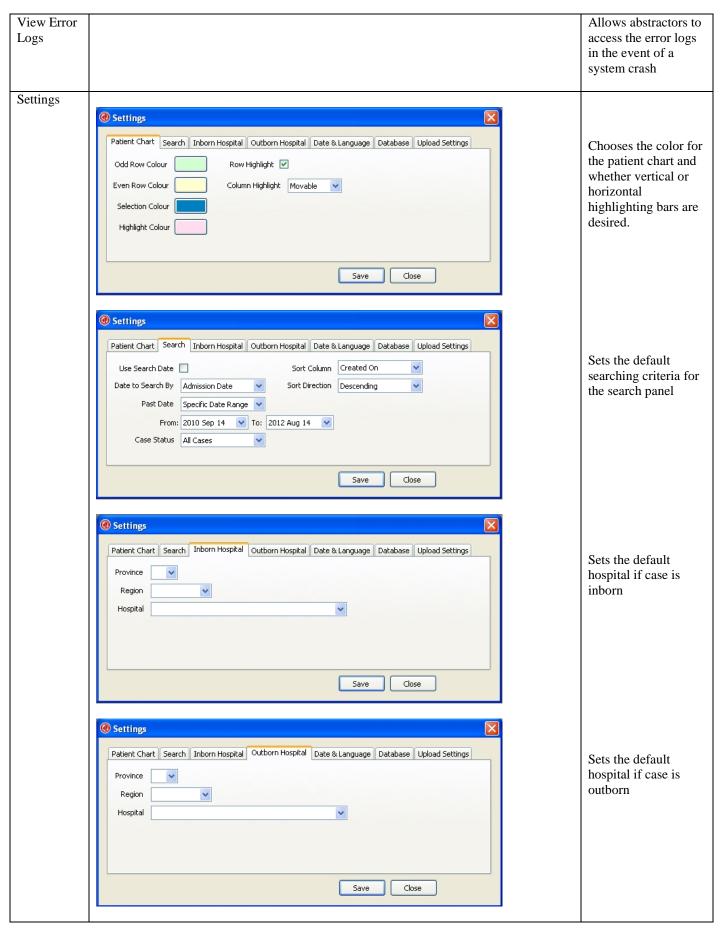


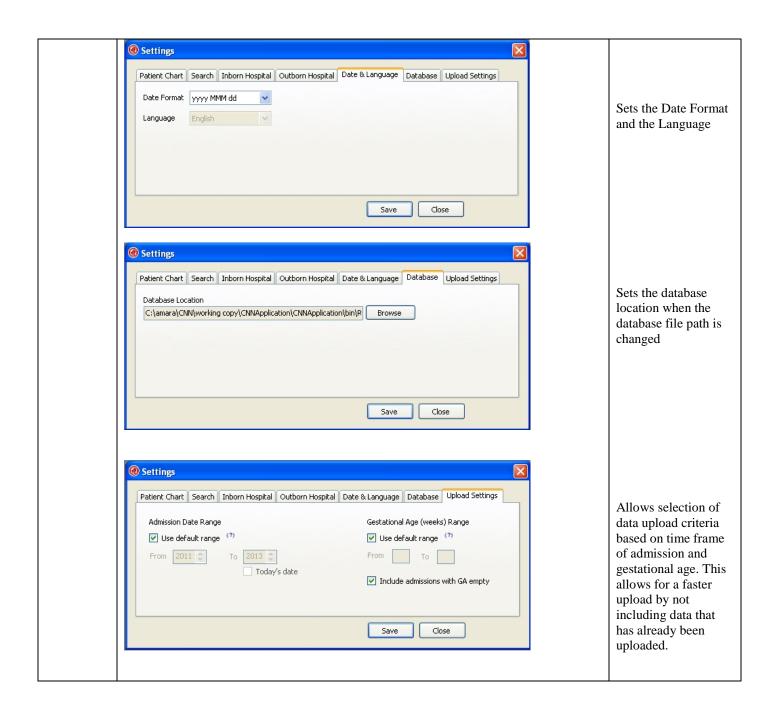
Unlock	This option allows
Records	you to access a
	locked record in the



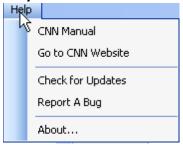






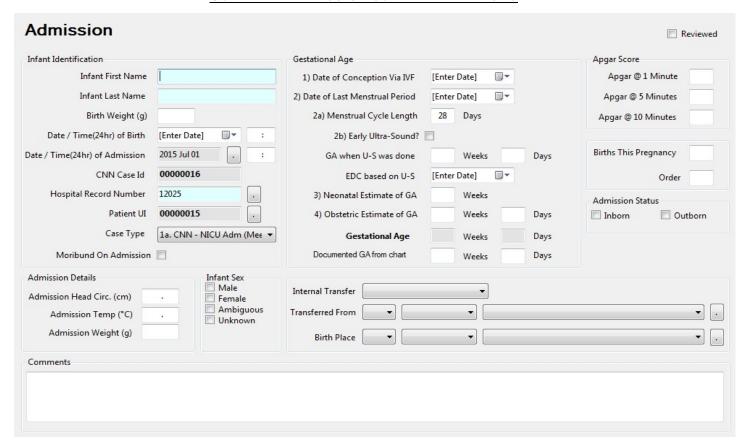


Help



CNN Manual	Access the CNN Manual for reference (section
	anchors pending)
Go To CNN	Access the Canadian Neonatal Network TM website
Website	for reference
Check For	Go to CNN Website Updates download page
Updates	
Report A Bug	Go to CNN Bug Reporting / Feedback website
About	Allows users to view information about the application version

SCREEN 1: ADMISSION SCREEN DEFINITIONS



Abstractors are responsible for abstracting every eligible admission to the NICU. Eligible babies are infants who stay in the NICU for at least 24 hours, who die/are transferred to another level 2 or 3 facility within 24 hours, and delivery room deaths of infants ≥22 weeks gestational age. (Note: For purposes of this database, time of admission is defined as the time of the first set of recorded vital signs). Please include data occurring in the resuscitation room provided that the infant is afterwards ADMITTED TO THE NICU.

Record only patient data relating to the specified admission to your NICU. You are not to record treatments/ resolved diagnosis provided at another hospital unless specific services that are not provided at your institute such as surfactant administration, PDA ligation, ROP Surgery for which infant was temporarily transferred to another facility and returns back in a reasonable period of time depending upon procedure (this should be individualized as to the procedure – PDA ligation baby if stays for <48 hours, ROP surgery <24 hours, Surfactant administration <24 hours etc.) Please use your discretion as to whether patient stayed longer than expected and how likely is that the other NICU will initiate data collection during the period infant was in other NICU.

INFANT IDENTIFICATION

Infant First Name	First name(s) of infant as recorded on the medical record. Do <i>not</i> type in "Baby" "Boy" or "Girl" or their abbreviations. If the infant is not given a first name upon admission to the NICU leave this field blank, you can come back to it and enter it later. If the infant has still not been given a first name upon discharge, simply leave this field blank.
Infant Last Name	Family name of infant as recorded on the medical record. If hyphenated or double name, record both. If the baby has a change of last name, do not record the change here or in the comments box. However, you may want to note the change for yourself elsewhere for future reference.
Birth weight	Weight in <i>grams</i> at birth as recorded in birth hospital. If birth weight is unavailable, use the first weight taken up to 24 hours of life. If birth weight is only listed as an estimate, record the estimate. If weight was recorded after 24 hours and an estimate was used during first 24 hours, please record estimate (mandatory field)
Date of Birth /	Date of birth according to obstetric and/or admitting records. Enter by selecting from the calendar displayed

Time of Birth	or by typing in the date in the format YYYYMMDD. If date of birth is unknown leave the field blank. Enter time of birth in <i>military time</i> (24 hour clock). If infant is born at midnight, record as 00:00 (where midnight (00:00) is the first minute of a new day; for example: 23:59 on Jan 3 rd and 00:00 on Jan 4 th is 1 minute later).
Date of Admit / Time of Admit	Date of admission to the study NICU. This may be different than date of birth for late admissions or out-born babies. Auto-populated from initial screen and should not be changed carelessly as this will clear the patient chart. Time of admission is defined as the time of first vital signs (at least one vital sign) recorded in the NICU. Do not include time in transport for out-born infants, or time in the delivery room for inborn infants. Write time of admission in military time. If time of admission is midnight record as 00:00 (mandatory field).
CNN Case ID	This is an auto-generated Case Identification number. It uniquely identifies a baby admission record in CNN. You do not need to enter any data in this field. <i>All screens are linked via this number</i> (mandatory field).
Hospital Record Number	Medical record number of the infant at the study hospital. When a patient is <i>readmitted</i> simply use the same record number and indicate the readmission number in the field that is displayed on the right hand side. To change this number from the one previously entered, click the button on the right of the field and proceed as instructed (mandatory field).
Patient UI	Patient Unique identifier (UI) number is assigned automatically by the program. No data entry required. It is the unique identifier for a patient and is used when transferring patients between hospitals. To change this number from the one previously entered, click the button on the right of the field and proceed as instructed.
Case Type	There are four types of patients collected under this screen. (1a) CNN / NICU admission – which will be the commonest and default regular option (1b) delivery room death - delivery room deaths of babies greater than or equal to 22 weeks gestational age (live born babies only). These include babies who die in the resuscitation room or are born following induction of labour for termination purposes and then die in other places in the hospital shortly after birth. Intrauterine deaths and stillbirths are not included. (2a) Non-CNN / NICU admission not meeting CNN criteria - Certain sites collect babies in the database who are not eligible for CNN, such as short stay babies; (2b) Non-CNN / non-NICU admission – admitted to other hospital unit; (2c) Non-CNN / non-NICU admission – not admitted to our hospital; (3) Readmission from 2009 (mandatory field)
Moribund on admission	A patient will be classified as moribund at admission if patient is receiving only comfort care, without intubation, mechanical ventilation, pressor treatment, or cardiac compressions (mandatory field).

GESTATIONAL AGE (APPEAR IN ORDER OF ACCURACY)

Note that this is a change from the previous CNN application. This program is set in such a way that it will calculate GA based on the best information that is available. Abstractor will complete as **much information available from charts as** they can, even if the dates or values in the chart are estimates. Please leave field blank if information is not available. If the Neonatal and Obstetrical estimated GA is reported as weeks + then just report weeks and leave days as zero. If Neonatal estimation spans more than one week enter earlier estimate (i.e. if GA is estimated as 30-31 weeks enter 30 weeks and zero days). GA refers to gestational age at BIRTH - and NOT the Corrected GA

Date of conception	If the date of conception is available in cases of in-vitro fertilization (IVF) pregnancy, enter the date in this
via IVF	field.
Date of last	Enter from maternal chart or history the date of last menstrual period.
menstrual period	
Menstrual cycle	Enter if this information is available. This field will contain the default entry of 28 days.
length	
Early Ultrasound	If mother had early ultrasound (between 8-24 weeks of gestational age) then click this field.
GA when US was	If there was an early ultrasound examination recorded, enter what was the estimated gestational age based
done	on such an early ultrasound examination.
EDC based on US	Enter estimated date of confinement (delivery) based on the early ultrasound mentioned above. Note that
	this field is not Estimated Date of Conception.
Neonatal estimate of	Enter the estimate based on neonatal assessment as to how many weeks gestational age infant appears,
GA	generally by the Ballard or Modified Ballard examination. If there is no Neonatal estimate listed in the
	chart but the baby is referred to as a 'term baby', enter 40 weeks. (In weeks only)
Obstetric estimate of	Enter obstetric estimate of GA here in weeks and days.
GA	
Gestational Age	This field will be automatically populated based on input from the other fields. You do not need to enter any
	data here. Check that the value generated in this field is within a reasonable range of the best estimate of the
	infant's gestational age according to the patients chart. If there is a discrepancy, recheck data entry above.
Documented GA	Enter the gestational age as documented from chart.

APGAR SCORE

Apgar at 1 minute	One minute Apgar score. If the value is missing, leave the field blank.
Apgar at 5 minutes	Five minute Apgar score. If the value is missing, leave the field blank.
Apgar at 10 minutes	Ten minute Apgar score if recorded. The recording of such a score usually denotes a worrisome event in the delivery room (depressed infant) and is noted as a measure of recovery or prolonged depression. If missing or not available, leave the field blank.

Births this pregnancy

Total number of births in this pregnancy. For example triplets=3, twins=2. If fetal death occurs at or before 20 weeks, this is *not* counted under births this pregnancy. If the chart does not specify date of fetal death, use the date the death was discovered. If fetal death of a twin occurs in utero, make a note in the comments box (mandatory field).

When this field is more than 1, a new "> 1 births" will appear. Upon clicking it, the following popup will appear for the user to choose the other existing baby admission record for that multiple birth case in order to find the existing mother record to link that baby to.





Birth Order

Indicate the order in which the baby was born if it is part of a multiple birth. If fetal death has occurred at or before 20 weeks GA do not count in birth order. If the baby is a singleton, please leave this blank.

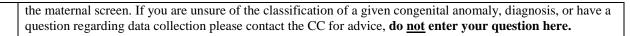
ADMISSION DETAILS

Admission Status

Admission status at the study hospital. Score as inborn or out-born (transferred in). If out-born, specify the external transfer location in the "transferred from" field. If a patient is born at your hospital, discharged home a couple of days later (without admission to the NICU) then admitted to the NICU from home this is considered an "inborn late admission". Score simply as inborn.

If a patient is discharged to another hospital for 24 hours or less for surgery or other medical treatments not provided at your hospital, then this does *not* count as a readmission. For patients transferred out longer than 24 hours, you will need to begin a new data set and consider this now a readmission (mandatory field).

Admission Head Circumference	The first Occipito-Frontal Circumference (OFC) (Head Circumference) measured after admission, as noted in the physician or nursing notes. Record in cm. If discrepancy between two measurements, select that measured by the nurse. If the first recorded head circumference is after the first 48 hours of admission, or if value is not available, leave the field blank.
Admission Temp.	Body temperature in Celsius as recorded at admission to the study NICU. <i>Record the first temperature listed within five hours of admission</i> . If the first recorded temperature is after 5 hours of admission leave the field blank. For readmissions, record the temperature at the time of this second admission to the study NICU. Use axillary or rectal, but not skin probe temperatures (temperature of the baby taken by the incubator). If the temperature is recorded as "<36" score as 35.9.
Admission Weight	Weight in grams as recorded at admission to the study NICU. When no admission weight is recorded – take birth weight as admission weight if baby was admitted on the first day after birth AND if baby was admitted after the first day and not weighed on admission, take weight measured in the first 24 hours after admission. If no weight is taken in the 24 hours following admission enter estimated weight on which treatment is based (mandatory field).
Infant Sex	Record sex of infant. If sex is listed as ambiguous, enter it as such; however, if later baby was identified as Male or Female, correct it before final submission. If not listed or unknown, select "unknown" (mandatory field).
Internal Transfer	Indicate from which department within your hospital the baby was transferred, if applicable. This field is optional and should only be used by sites that wish to have this data. The list of selectable items are the following.
	 PICU/PCCU (Pediatric Intensive Care Unit / Pediatric Critical Care Unit) L2N/SCN (Level 2 Nursery / Special Care Nursery) Operating Room Outpatient Clinic Emergency Department CCU/CCCU (Critical Care Unit / Comprehensive Cardiac Care Unit) Labor & Delivery Mother/Baby Unit Pediatric Ward Others Unknown
Transferred From	Record the name of the facility (outside your hospital) the infant was transferred from <i>most recently</i> . Do <i>not</i> complete this item for inborn late admissions from home or from any other area within your hospital. If an infant was admitted from home (because born at home), score as an out-born and enter OT > Others > "non-medical facility" here (this is NOT considered an inborn late admission from home). For non-hospital areas or non-Canadian hospitals, you will be able to choose from the following: 1. Non-medical facility (home, school, mall, taxi, trucketc) 2. Non-hospital medical facility
	US Hospital Non-US Hospital Outside Canada
	If a baby is transferred from a community hospital (or home) to your hospital emergency department which then assesses the baby condition and decides to transfer the baby to your NICU, the transferred from field refers to the external place from which the baby comes from when it first reached the hospital.
	For those types of cases, DO NOT enter the transport data in the transport screen since there was no direct transfer and admission to your NICU.
	For inborn cases where baby is born and then directly transferred to the NICU in the same hospital stay, leave the field empty.
Birth Place	If baby was inborn, your hospital will automatically appear here if the Settings are set appropriately (refer to page 11, "Customizability of CNN Application"). The list of selectable items is the same as for the "Transferred From" field, and will include an Unknown option (mandatory field).
Comments	Please enter comments for the CC here (maximum 255 characters). Do not record notes to yourself in this box. Use this item sparingly, it is not necessary to note additional maternal/obstetric information not required on



To indicate a record is invalid or erroneous, DO NOT enter "To Delete", "To Filter", "Please Ignore" or similar comments here. Use the new Deactivation feature available to deactivate invalid cases.

SCREEN 2: MOTHER/OBSTETRIC SCREEN DEFINITIONS

Mother Identification			Prenatal Care			Birth Su	ımmary
Mother First Name			None	Some 🔳	Jnknown	ROM	< 24 Hours
Mother Last Name						[24 Hours to 1 Week > 1 Week
			Antenatal Vis	it before 20 weeks	Jnknown	[Unknown
Date of Birth [Enter Date] ■▼						
Maternal Age (If DOB Unknown)			Antenatal		(1)		Initiation No Labour
Date of Admit [I	Enter Date] ■▼	7	Gravida	Para	Total Abortions]	Spontaneous
Chart Number			Antenatal Co	rticosteroid TX			Augmented Induced
				ner receive antenatal cortice		j	Unknown
P.H.N.			☐ Yes	No	Unknown		
Lone Parent	Yes		If Yes	ted course within last week	prior to hirth		
	No Unknown		Comple	ted course prior to 1 week b	efore birth	Antena Ves	tal Intervention?
	JOHKHOWH		Comple	ted course but timing unkn	own	No	
Residential Postal Code			Partial w	rithin last 24 hours 24 hours ago		Unk	nown
				24 nours ago ourse but timing unknown		- If Yes	
				2			al Transfusion
				□ Re	escue dose of steroids		er Ablation
isks							
				_			nioreduction
☐ Illicit Drugs ☐ Cigarettes				ther receive more than one		☐ Shu	nioreduction int Placement al Reduction
	;		antenatal s	ther receive more than one teroids?	complete course of	☐ Shu	int Placement al Reduction
☐ Illicit Drugs ☐ Cigarettes		before birth?	antenatal s	ther receive more than one teroids?	complete course of	Shu	int Placement al Reduction
☐ Illicit Drugs ☐ Cigarettes	her within 24 hours		antenatal s Yes If Yes, how	ther receive more than one teroids? No	complete course of	Shu	int Placement al Reduction
☐ Illicit Drugs ☐ Cigarettes			antenatal s	ther receive more than one teroids? No	complete course of Unknown	Shu	int Placement al Reduction
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lllicit Drugs Cigarettes las systemic antibiotics given to moth Yes No bstetric Diabetes Yes No Gestational diabetes Type 1 or juvenile onset diabete Type 2 or adult onset diabetes	her within 24 hours Unknown Unknown	Delivery Tyl	antenatal s Yes If Yes, how steroids we	ther receive more than one teroids? No many complete courses of re given? Presentation Vertex Breech	Unknown antenatal Clinical Chorioa Maternal fever	mnionitis	int Placement al Reduction ner 24 hours before birth
Vas systemic antibiotics given to moth Yes No Disbeteric Diabetes Ves No Gestational diabetes Type 1 or juvenile onset diabete	her within 24 hours Unknown Unknown	Delivery Tyl	antenatal s Yes If Yes, how steroids we	ther receive more than one teroids? No many complete courses of re given? Presentation Vertex Breech Other	Clinical Chorioa Maternal fever	mnionitis = 38.4°C within	int Placement al Reduction ner 24 hours before birth
Illicit Drugs Cigarettes Vas systemic antibiotics given to moth Yes No Obstetric Diabetes Yes No Gestational diabetes Type 1 or juvenile onset diabete Type 2 or adult onset diabetes Unknown type	her within 24 hours Unknown Unknown	Delivery Tyj Vaginal Cesarea Unknov	antenatal s Yes If Yes, how steroids we	ther receive more than one teroids? No many complete courses of re given? Presentation Vertex Breech Other	Unknown antenatal Clinical Chorioa Maternal fever	mnionitis = 38.4°C within	int Placement al Reduction ner 24 hours before birth
As systemic antibiotics given to moth Yes No bostetric Diabetes Yes No Gestational diabetes Type 1 or juvenile onset diabetes Unknown type Insulin	her within 24 hours Unknown Unknown	Delivery Tyl	antenatal s Yes If Yes, how steroids we	ther receive more than one teroids? No many complete courses of re given? Presentation Vertex Breech Other	Clinical Chorioa Maternal fever	mnionitis = 38.4°C within	int Placement al Reduction ner 24 hours before birth
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Record information on this screen according to the birth mother's information. If an infant is placed in foster care, DO NOT record details regarding the foster family here.

MOTHER IDENTIFICATION

Mother First Name	First name of mother as recorded on medical records. Do not enter abbreviations. Leave blank if unknown.
Mother Last Name	Family name of mother as recorded on the medical records.
Date of Birth	Mother's date of birth. If date of birth or age is unknown leave the field blank.
Maternal Age	Enter maternal age if known.
Date of Admit	Mother's date of admission to <i>birth</i> hospital. If date of admission is unknown leave the field blank.
Chart Number	Mother's hospital record number for all inborn infants. For out-born infants leave the field blank.

P.H.N.	Mother's personal health number if mother has provincial coverage. If mother does not have provincial	
	coverage or PHN is unknown leave the field blank (this information is kept locally, not transferred to CNN).	
Lone Parent	Record whether the child is cared for by a lone parent (mandatory field).	
	If a spouse (irrespective of sex) is regularly (i.e. daily) involved in the social care of this child (not	
	financial), score "no".	
	If no one other than the mother fits this description, score "yes".	
	If this is unclear, score "unknown".	
Residential Postal	Postal Code of mother's primary residence. Record the 6 digit number/letter code in the correct sequence	
Code	(eg A1A 1A1). This should be completed for all babies, including out-born. If unknown, leave the field	
	blank.	

RISKS

Illicit Drugs	Check this box if illicit drug use applies for this pregnancy. Do not record for mothers who used illicit drugs prior to being pregnant, but do record for those mothers who used illicit drugs while unaware they were pregnant, although they may have stopped once they became aware of their pregnancy. The box does not define quantity so do record use of illicit drugs even if described as social use only. Illicit drugs include all recreational drugs (ie. marijuana, cocaine, heroin, etc.) as well as abused prescription drugs known to do damage to a developing fetus (ie. codeine, methadone). Do not count alcohol as an illicit drug and do not record its use here. If unknown or if no drugs were used during pregnancy leave the box unchecked.
Cigarettes	Check the box if cigarettes were smoked during this pregnancy. If unknown or if no cigarettes were smoked during pregnancy, leave the box unchecked.

OBSTETRIC / ANTENATAL HISTORY

Were systemic antibiotics given to mother?	Record whether systemic antibiotics were given to the mother <i>within 24 hours before birth</i> . This includes antibiotics given only enterally or parentally, not topical antibiotics. If unknown check the unknown box (mandatory field).
Prenatal Care	If the mother had <i>at least one</i> prenatal care visit prior to hospital admission during which delivery occurred, score as 'some'. Otherwise, score as 'none'. If a pregnancy is dated by ultrasound (U/S) (other than on this admission) or if the notes indicate the mother had prenatal screens (rubella immune or hepatitis status) assume that there was some prenatal care. If there are no obstetric records, select "unknown" (mandatory field).
Antenatal Visits before 20 weeks	Check the box if mother had prenatal visit before 20 weeks of gestational age. If mother had first trimester screen, nuchal translucency measured, anatomy scan performed, or screening for genetic anomaly performed (triple screen) then score "yes" (mandatory field).
Gravida	The number of times a woman has been pregnant, including all abortions, live and still births. Note that this number includes the current pregnancy, therefore if this is the mother's first pregnancy enter '1'.
Para	The number of viable offspring in previous pregnancies. If a mother has had twins, her para is 2.
Total Abortions	The total number of both spontaneous (miscarriages) and therapeutic (planned) abortions of mother to date.

Antenatal	Antenatal corticosteroid treatment given to mother prior to delivery (mandatory field). These include any of
Corticosteroid TX	the following: betamethasone, beta celestone, dexamethasone, cortisone, dihydrocortisone, but <i>not</i>
	prednisone
	If Yes: score as below a. Complete course within last week prior to birth [defined as receipt of at least two doses of corticosteroids 24 hours or more but within one week of birth] b. Complete course before 1 week of birth (defined as receipt of at least two doses of corticosteroids initiated more than one week prior to birth) c. Complete course but timing unknown (If no dates of administration are given, but the chart refers to "complete" or if chart specifies two doses were given) d. Partial course within last 24 hours (defined as any dose given less than 24 hours prior to birth) e. Partial course >24 hours ago (defined as one dose given >24 hours ago and was not repeated") f. Partial course but timing unknown (If no dates of administration are given, but the chart refers to "partial" or if chart specifies that only one dose was given)
	Long course of corticosteroid for mother: For Mothers who received systemic (oral or parenteral) corticosteroids for indications other than for lung maturity (e.g. fetal heart block, suspected congenital adrenal hyperplasia or for maternal indication etc.) for a prolonged period of time (>2 weeks), score the appropriate "Completed course" option and enter the Number of Courses as "10". If steroid was continued until the date of delivery, enter "Completed course within last week prior to birth". If it was stopped 1 week prior to birth, then enter "Completed course before 1 week of birth". Please enter the detailed reason for multiple steroid administrations in the comment box on the Admission Screen.
Rescue dose of steroids	This field is enabled only if "complete course" is selected above. The practice of giving a rescue dose of steroid is adopted in some units. This means that mother has received a complete course of steroid >1 week ago when she presented with threatened preterm birth. However, she settled and did not deliver at that time. She then presented with another episode of threatened preterm birth and rather than repeating a course of steroid, practitioner gave a single dose of steroid as rescue dose prior to current preterm birth. Usually this is a single dose within 12 hours of birth.
Number of Courses	If mother has received multiple complete courses (at least one complete and more) mark yes and indicate the number of antenatal corticosteroid courses given to mother prior to delivery. If not mentioned or unknown, select unknown. If mother has received complete and partial courses in the past, only enter courses that were complete (as defined above) and ignore partial courses.
Antenatal	Indicate if there were any interventions during this pregnancy to treat a fetal condition (mandatory field).
Intervention	
Types of antenatal	If Yes was selected in the option above, indicate which intervention was performed. If the intervention is
intervention	not listed, click "Other" and free text the intervention (maximum 50 characters). Shunt placement includes
	pleuroamniotic shunt, vesicoamniotic shunt or shunt anywhere in the body to drain fluid collection. If there
	was only tapping of fluid from cavity and no shunt was placed, mention it in the "other" category.

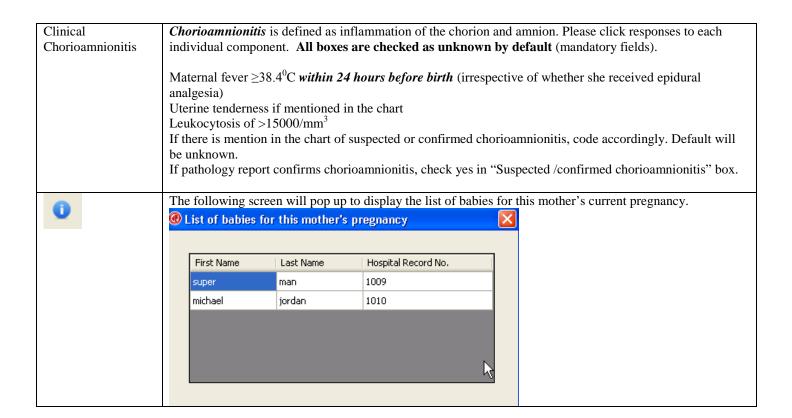
BIRTH SUMMARY (TOP RIGHT-HAND CORNER)

ROM	Rupture of maternal membranes (ROM) (either artificial or natural) recorded with regards to onset of labour. When data are available, classify them in 3 groups: <24 hours, 24 hours to 1 week and >1 week between rupture of membranes and time of birth. If data are not available mark unknown (mandatory field).
Labour Initiation	Type of labour initiation, whether none, spontaneous, augmented (speeding up labour), or induced (getting labour started). If unknown record as such. Augmentation is defined as medications given to increase the strength and/or speed of contractions (mandatory field).

OBSTETRIC

Diabetes and Type	Answer yes, no or unknown regarding mother's status as a diabetic. This includes both gestational diabetes	
	as well as previous maternal diabetes (i.e. prior to conception). Impaired Glucose Tolerance is not included.	
	(Mandatory field).	

	If Yes, select the type: 1. Gestational diabetes: Diabetes which was first time diagnosed during this pregnancy 2. Type 1 diabetes or juvenile onset diabetes: Diabetes diagnosed at younger age 3. Type 2 diabetes or adult onset: Diabetes diagnosed during adulthood 4. Unknown type: Reported as diabetes but type is unknown.
Insulin	Answer yes, no or unknown regarding whether or not mom received insulin for the treatment of diabetes.
Maternal Hypertension	Record whether maternal hypertension is present or not, or whether this information is unknown. If obstetric information is noted, but maternal hypertension is not mentioned, select "no." If there is no obstetric data in the chart, select "unknown." Common abbreviations for this include: HTN, PIH, HELLP and PET. "Questionable HTN," "question of HELLP syndrome" or "rule out PET" without more information should be scored as unknown (mandatory field).
	If Yes, select type: 1. Pre-existing hypertension: Hypertension that was preexisting before current pregnancy 2. Gestational hypertension: Hypertension diagnosed first time during this pregnancy. It may have been reported in previous pregnancy but mother was not hypertensive in between pregnancies. 3. Hypertension but timing unknown: Reported as hypertension but timing unknown
Preeclampsia/ Eclampsia	Record whether preeclampsia or eclampsia is present or not, or whether this information is unknown.
Assisted Pregnancy	Answer yes, no or unknown regarding whether or not the mother had an assisted pregnancy. This includes In-Vitro Fertilization, Intracytoplasmic sperm injection, IUI Intrauterine Insemination, embryo transfer or any other mechanical procedure to facilitate pregnancy or implantation. This does not include medication induced pregnancies such as clomiphene (mandatory field).
Maternal MgSO4	Enter YES if MgSO4 is given at any time during gestation when mom had threatened preterm labour. This includes previous MgSO4 given weeks before actual birth when mother might have been admitted for threatened preterm labour but not given birth.
	If Yes, select the reason. Neuroprotection: Administration of MgSO4 for prevention of cerebral palsy in infants. This is given when mother is admitted in threatened preterm labour. MgSO4 given for neuroprotection in all previous admissions for threatened preterm labour should be included. Check this if MgSO4 is intended for neuroprotection, irrespective of maternal conditions. Under most circumstances this is given for up to 24 hours in the event of suspected or threatened preterm labour, and stopped if mother does not progress to labour.
	Pre-eclampsia/Eclampsia: Administration of MgSO4 for the treatment of maternal pre-eclampsia or eclampsia. This treatment can last for longer than 24 hours and should be mentioned as a reason in maternal charts. Tocolysis: Administration of MgSO4 for the purpose of relaxing the uterus when mother presents with contractions and threatened preterm birth. Unknown: When reason cannot be determined, identified or not known.
Presentation	Fetal presentation at birth (mandatory field). This should be recorded as: <i>VERTEX</i> : Head first, includes OP (occiput posterior), hand presentation with head coming with hand, or <i>BREECH</i> : All types - footling, frank, etc.; or <i>OTHER</i> : Includes shoulder, transverse, brow, face, oblique vertex, and compound (not vertex or breech but has other more than one parts as presenting parts) presentations.
	If there is no mention of presentation select "unknown." If a baby was converted to vertex presentation for delivery by c-section, <i>score the initial position of the baby</i> . If vertex presentation can be inferred (e.g. "tried vacuum extraction"), score vertex.
Delivery Type	Record whether the delivery was vaginal or by cesarean section (mandatory field). If obstetric information is noted, but delivery type is not mentioned, "vaginal" may be assumed. If vaginal can be inferred (e.g. "vacuum extraction"), score vaginal. If there are no obstetric records, select "unknown."



SCREEN 3: RESUSCITATION / STABILIZATION / TRANSITION SCREEN DEFINITIONS

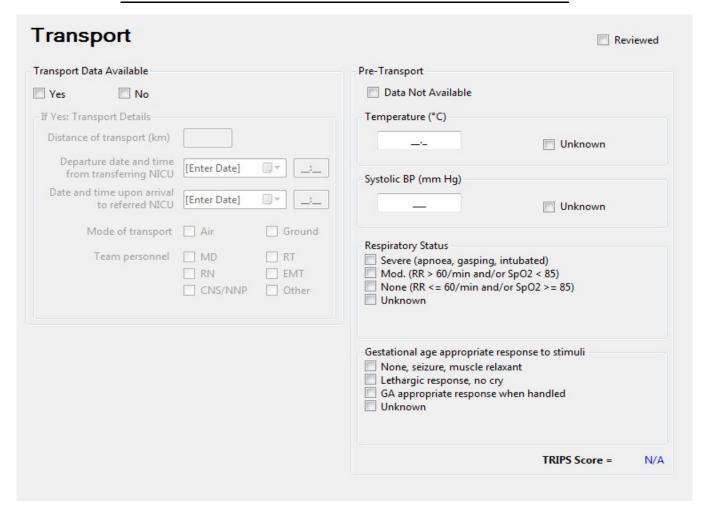
Resuscitation / Stabilization	/ Transition Reviewed
Date of Birth: N/A Time of Birth: N/A	Date/Time 30 Minutes After Birth: N/A
Please record if the following occurred in the first 30 minut	es of birth
Resuscitation Details No active resuscitation needed Unknown Suction and/or mild stimulation and/or free flow CPAP PPV via bag and mask or T Piece PPV via ET tube Chest compression >= 30 seconds Epinephrine (ET or IV) Palliative care or DNR order If Palliative Care or DNR Order Extreme immaturity Major malformation Other	If Resuscitation provided Initial gas provided for resuscitation Air/oxygen = 21% Supplemental Oxygen > 21% 100% Oxygen Unknown Maximum of oxygen in % provided during resuscitation Discontinuation of all resuscitation measures due to no or poor response (time in minutes)
Delayed Cord Clamping attempted? Yes No Unknown	
Umbilical cord milking Yes No Unknown	

Record information on this screen according to the first 30 minutes of birth. At least one checkbox under Resuscitation Details must be selected for validation to be complete. You do not have to fill this information out for readmissions, but do for all outborns.

Provide resuscitation details that the infant received in the first 30 minutes after birth irrespective of location of resuscitation. Note all that apply i.e. if infant required suction, stimulation, bag and mask and intubation; mark all items for the infant (mandatory field). 1. No active resuscitation needed: Infant did not require any resuscitation. These are typically babies with Apgar scores higher than 8. 2. Unknown: If the details of resuscitation are completely unknown. If the infant is intubated at birth, then infer intubation rather than unknown even if details of resuscitation before intubation are unknown. "Unknown" categories are to be used for infants for whom no details are known and cannot be inferred from available information. 3. Suction and/or mild stimulation and/or free flow: If infant required orpharyngeal or nasal suction or free flow oxygen or stimulation then code here. Typically all infants who require

If resuscitation	resuscitation beyond this stage have this stage completed except babies with meconium stained liquor. 4. Only CPAP: Check this box if the infant is given only CPAP without artificial inflations or positive pressure ventilation. 5. PPV via bag and mask or T piece: Check this box if positive pressure ventilation is performed, including artificial inflations, using bag and mask or T piece. 6. PPV via ET tube: Check this box if positive pressure ventilation is performed on an infant who received endotracheal intubation. Note that ETT insertion for the purpose of suction of meconium is excluded and should not be marked here. However, if the same infant after suction requires intubation and receives positive pressure ventilation, then such infants would classify as yes in this category. 7. Chest compression for ≥30 seconds: For chest compressions provided for <30 seconds, do not check box. If duration is unknown and can not be inferred from supplemental information such as need for multiple doses of epinephrine etc; leave this unchecked. Compressions for <30 seconds are not collected. 8. Epinephrine (ET or IV): If the baby received epinephrine via ETT or PIV or umbilical catheter, then check this box. 9. Palliative care or DNR order: If the infant was planned to have palliative care after birth due to life limiting diagnoses and no active resuscitation was planned because of extreme prematurity or any other causes, check this box. Distinguish this clearly from first category where infant does not need resuscitation (maximum 50 characters in "Other" category). If the infant received any form of active resuscitation, you would indicate what was the initial gas used
provided	during resuscitation (air, supplemental oxygen or 100% oxygen). This would be the amount of oxygen the infant received at first attempt of resuscitation. Only enter value that was used during initiation irrespective whether it was increased within a few seconds or not. Usually your unit policy should clearly indicate this. For Supplemental Oxygen > 21%, enter a value between 22 – 99%.
Maximum oxygen in % provided during resuscitation (note resuscitation may last <30 minutes)	Indicate what was the maximum concentration of oxygen used during resuscitation (only during resuscitation). If initial gas provided during resuscitation is 100%, this field will be automatically populated indicating that maximum concentration was 100%. If any other concentration was used, you need to indicate maximum oxygen given during resuscitation. If it is unknown, leave the field blank.
Discontinuation of resuscitation due to no or poor response	For some unfortunate infants, resuscitative measures are not successful and at times resuscitation is discontinued. Note after how many minutes of resuscitation these measures were discontinued (note that this may be longer than 30 minutes).
Delayed cord clamping	Indicate whether delayed cord clamping was attempted. If yes, enter the duration of the delay in seconds. Valid range from 5 to 300 seconds. Enter 300 if duration was longer than 300 seconds. Enter the lowest number if a range was given. Leave blank if timing is unknown. (Duration is an optional field)
Umbilical cord milking	Umbilical cord milking is defined as milking of the cord 3-5 times from placenta towards the baby at a rate of 5-10cm/second. Record "yes" if it is documented as cord milking was performed irrespective of number of times and rate of milking.

SCREEN 4: TRANSPORT SHEET SCREEN DEFINITIONS



Complete the Transport Sheet for all outborn or readmitted infants transported into the study NICU from another hospital.

Do not complete, but select "No" for Transport Data Available and check "Data not available", for:

- inborn late admissions (see definition under Admission Status, pg. 22)
- patients transported between wards within your hospital
- patients admitted for the first time from home
- those born at home and transported to the hospital by ambulance
- infants transported out of your hospital
- cases admitted via emergency department or other internal departments.

Transport Data	Indicate whether or not any data to complete this screen is available for the infant. The rest of the fields will
Available	be activated only if the selection is "yes" (mandatory field).
Distance of	Refers to the distance <i>between</i> the referring (departing) hospital and the destination (arriving) hospital, <i>one</i>
transport	way, entered in km. If distance is unavailable, approximate the distance for both ground and air transport.
Departure date	Date of transport from the transferring NICU. If transport occurred over midnight (i.e. two days) record the
/Departure time	date that transport began Also record the time at which the transport team left the departing hospital. Do <i>not</i>
from transferring	record the time at which the transport team first arrived at the departing hospital. <i>Note: the time at which the</i>
NICU	transport team first begins recording vitals is NOT necessarily the departure time. If at midnight, record as
	00:00 (where midnight (00:00) is the first minute of a new day; for example: 23:59 on Jan 3 rd and 00:00 on
	Jan 4 th is 1 minute later). If unknown leave blank.
Date of transport /	Date of transport into the study NICU and the time at which the transport team arrives at the receiving
Arrival time	hospital and vitals are being taken by your study hospital. This time is often analogous to the admission time.
Mode of transport	Record mode of transport as air or ground. Indicate both methods of transport by checking both boxes if
	applicable. If unknown leave blank.

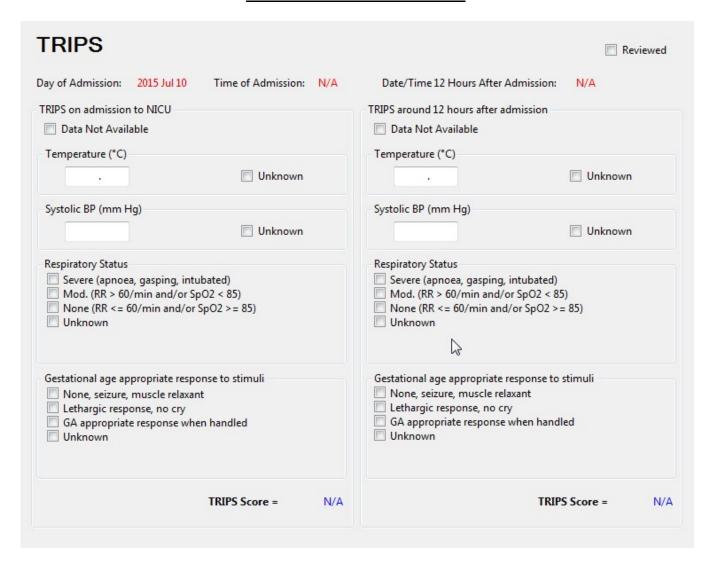
Team Personnel	Record personnel in attendance throughout transport. MD (Doctor), RN (Registered Nurse), RT (Respiratory
	Technician) EMT (Emergency Medical Technician; paramedic), CNS/NNP or other. Indicate multiple types
	of personnel in attendance by checking multiple boxes. If unknown leave blank.

PRE-TRANSPORT

Refers to the outcomes on arrival of the transport team to the referring (departing) hospital (i.e. the condition in which the team finds the infant on arrival). If, for some reason, the transport team does not assess the patient for a particular item, use measurements taken from the referring hospital within two hours of the team's arrival. If no measurement within two hours is available for a particular item, check "unknown". A TRIPS score will be generated after all necessary information has been entered (i.e. no "unknown") and you click the Save button. If baby was not transported, select "Data Not Available" (mandatory field) in the first box. Select "unknown" for all fields if baby was transported but information is not found.

Temperature	Body temperature in Celsius. Range valid from 25°C to 45°C. Use axillary or rectal, but not skin probe (temperature of the baby taken by the incubator). If no appropriate recording select unknown (mandatory field).
Systolic BP	Systolic blood pressure in mm Hg. Range valid from 5 mm Hg to 300 mm Hg. Arterial line pressures and cuff pressures should be weighted equally. If more than one blood pressure is recorded, score the average blood pressure. If no appropriate recording is available select unknown (mandatory field).
Respiratory Status: Severe	Record if infant is intubated and receiving mechanical ventilation. Also record if the infant is not intubated, but suffers from apneic spells or gasping or if the infant is being bagged.
Respiratory Status: Moderate	Record if respiratory rate is <i>greater than</i> 60 resps per minute <i>OR</i> oxygen saturation recording (SPO2) is <i>less than</i> 85 regardless of mode of ventilation (i.e. CPAP or oxygen treatment). Therefore, a patient breathing less than or equal to 60 resps per minute but who is actually on CPAP will be scored as 'respiratory statusnone'. This is because we are looking to capture changes in patient condition as opposed to severity of the condition itself. If both severe and moderate symptoms are displayed, score as severe (the higher of the two).
Respiratory Status: None	Record if respiratory rate is <i>less than</i> or equal to 60 breaths per minute <i>AND</i> oxygen saturation recording (SPO2) is <i>greater than</i> or equal to 85 regardless of mode of ventilation (i.e. CPAP or oxygen treatment). Therefore, a patient breathing less than 60 resps per minute but who is actually on CPAP will be scored as 'respiratory status-none'. This is because we are looking to capture changes in patient condition as opposed to severity of the condition itself.
Respiratory Status: Unknown	Record if respiratory rate is missing. Selecting one field in Respiratory Status is mandatory.
Gestational age	Record if infant shows no gestationally appropriate response to stimulus. <i>Also record</i> if the infant has had
appropriate	seizures or been given muscle relaxants (i.e. pancuronium) within the last few hours of the scoring time.
response to	
stimulus:	
None	
Gestational age	Record if infant has a lethargic gestationally appropriate response (i.e. slow to respond, no crying, reduced
appropriate	activity, poor response to painful stimuli such as heel prick or venepuncture, etc.) when exposed to a
response to	stimulus.
stimulus:	
Lethargic response,	
no cry	
Gestational age	Record if infant shows a normal gestationally appropriate response when exposed to a stimulus.
appropriate	
response to	
stimulus:	
GA appropriate	
response when	
handled Costational and	Depart if a gastationally appropriate response to stimulus is missing
Gestational age	Record if a gestationally appropriate response to stimulus is missing.
appropriate	Salasting one field in Costational age appropriate response to stimulus is mandatory
response to stimulus:	Selecting one field in Gestational age appropriate response to stimulus is mandatory. Score the most intense response demonstrated or documented.
Unknown	Score the most intense response demonstrated of documented.
UHKHOWH	

SCREEN 5: TRIPS SCREEN



TRIPS score data are mandatory collection for all inborn and outborn infants.

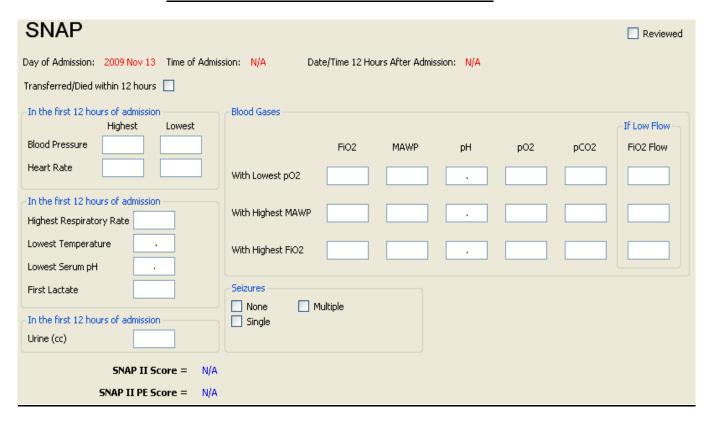
They are collected at two time points:

- (a) Trips on admission to NICU: This is collected upon arrival to your NICU for outborn infants and from first set of vitals collected after stabilization and admission to NICU for inborn infants.
- (b) Trips around 12 hours after admission: This is collected after 12 hours (\pm 4 hours) of first data collection for both inborn and outborn infants

The same four items are recorded here as in pre-transport on the transport sheet: temperature, systolic blood pressure, respiratory status and response to a gestational age appropriate stimulus.

Refer to the definitions listed above for these items, but remember to record them in the appropriate scoring time period. The TRIPS scores will be generated automatically after all necessary information has been entered (i.e. no "unknown" selections) and you click the Save button or go to another screen.

SCREEN 6: SNAP SCREEN DEFINITIONS



SNAP data on day one should be scored from the time of admission (defined as the time of first vitals in the NICU) for twelve (12) hours. Values occurring during an operation should be included. If you are missing information from the scoring period either because a flow sheet is missing, because the baby was transferred out, or died during the scoring period, complete the following screen using the information that is available. The SNAPII and SNAPIIPE scores are generated automatically once appropriate information is entered and the user clicks Save or moves to another screen. If the baby is transferred/died within the 12 hours, tick the checkbox at the top of the screen accordingly. If it is ticked, the SNAP score will not be calculated since it is based on twelve hours only.

Vital Signs:

Vital signs recorded while a baby is in the most stable condition possible. Score only non-zero values recorded in the chart for babies who are dying (i.e. do NOT score the low heart rate as zero for babies who die during a scoring period).

Values listed as a range should be scored as the midpoint. Values listed as "< a certain value" should be scored as point one (0.1) less than the value listed (e.g. a low temp of <34 should be scored as 33.9). Similarly, values listed as "> a certain value" should be scored as point one (0.1) more than the value listed.

Lab Values:

Lab values should be included in the scoring period if they are *drawn during the scoring period*. Time of draw should be taken from the flow sheet when this is explicitly recorded. If the time of the draw is not explicitly recorded on the flow sheet, assume the time the lab received the samples is within 15 minutes of the draw (in other words, include values listed as occurring within 15 minutes of the end of the scoring period).

Computer values should generally be considered more accurate than flow sheet/progress note values unless they are clearly being discounted by the clinicians. Lab values discounted by clinicians should not be recorded on SNAP. Hemolyzed values are acceptable. Pathology blood draws, cord specimens and other non-blood draws (CSF, urine) should *not* be scored on SNAP. Do not score "diluted" lab values or samples that are contaminated.

Transferred / Died within 12 hours	If this applies to the patient, check the box	
Blood Pressure: High	Highest mean arterial pressure (MAP), also called mean blood pressure (MBP), during the <i>time period</i> , as recorded in the nursing flow sheet. Arterial line pressures and cuff pressures should be weighted equally in choosing high/low values. However, if these values are very different, ask a staff member in the NICU or contact the CC for advice. If only systolic and diastolic pressures are recorded, assume <i>mean blood pressure = diastolic + 1/3 (systolic - diastolic)</i> . E.g. 55/43: MBP = 43 + 1/3 (55-43) = 47. If only one blood pressure is recorded during the scoring period, enter this value as both the high and low value.	
Blood Pressure: Low	Lowest mean arterial pressure (MAP), also called mean blood pressure (MBP), during the <i>time period</i> , as recorded in the nursing flow sheet. Arterial line pressures and cuff pressures should be weighted equally. If these values are very different, ask a staff member in the NICU or contact the CC for advice. If only systolic and diastolic pressures are recorded, assume mean blood pressure = diastolic + 1/3 (systolic - diastolic). If only one blood pressure is recorded during the scoring period, enter this value as both the high and low value. <i>Do NOT score the low blood pressure as 0 for babies who die during a scoring period</i> .	
Heart Rate: High	Highest heart rate during the <i>time period</i> sustained for more than one minute continuously. Do not include transient heart rate values that reflect bradycardia associated with apnea/desats. If only one heart rate is recorded during the scoring period, enter this value as both the high and low value.	
Heart Rate: Low	Lowest heart rate during the <i>time period</i> sustained for more than one minute continuously. Do not include transient heart rate values that reflect bradycardia associated with apnea/desats. If only one heart rate is taken during the scoring period, enter this value as both the high and low value. <i>Do NOT score the low heart rate as zero (0) for babies who die during a scoring period.</i>	
Respiratory Rate	Highest respiratory rate sustained for more than one minute during the <i>time period</i> . Count spontaneous respirations only. If on ventilator with no breaths above the vent, score as zero (0). At some sites you may need to subtract the vent rate from the listed respiratory rate in order to find the number of spontaneous respirations. If on HFO and no spontaneous breaths please score highest respiratory rate as zero (0).	
Lowest Temperature	Lowest body temperature during the time period (axillary or rectal but not skin probe, which is the baby's temperature recorded through the isolette) recorded in Celsius.	
Lowest Serum pH	Lowest pH during the <i>time period</i> . This may be obtained by arterial (ABG), capillary (CBG) or venous (VBG) blood gases.	
First Lactate	Record the first lactate or lactic acid value reported from baby's blood. It could be venous, capillary or arterial. Do not include cord blood values.	
Urine CCs	Total CCs of urine output during the <i>time period</i> . Do <i>not</i> divide by birth weight. <i>If notes indicate that</i> 20% or more of the total output for the time period was lost/unmeasured (recorded as mixed with stool, "VOID", or overflow) then leave the field blank. To calculate whether 20% was lost, if all urine output values list specific numbers, determine whether the uncertain values (CCs recorded as mixed with stool or overflow) make up 20% of the total CCs. If some values are not recorded at all (recorded as "VOID") then determine whether the uncertain values (unmeasured diapers) make up 20% of the total number of diapers.	
Seizures	If only one seizure was confirmed, score as "single." If more than one seizure was confirmed, score as "multiple." Otherwise, check "none." Confirmed is defined as witnessed by two (2) or more clinicians or diagnosed by EEG or amplitude integrated EEG. Use of antiepileptics (phenobarbital) ALONE is not enough evidence for diagnosis. However, if antiepileptics are ordered by one clinician, and seizure is observed by a DIFFERENT clinician, assume the seizure is confirmed.	

BLOOD GASES

Record only **arterial** blood gases, if there are no arterial blood gases recorded during the scoring period then leave this entire section blank. If there is only one arterial blood gas, enter the information required in the first line of blood gas with lowest pO2, and leave the remaining lines blank. When two values are available for blood gases (original and corrected for body temperature), use the corrected values.

Blood Gas with lowest pO2

Select the *arterial* blood gas (ABG) with the lowest pO2. If there are several blood gases at the same lowest pO2, record the one occurring first. Record the FiO2 (21% - 100%) and MAWP (in cm-water) at the time blood was drawn, and the pH, PO2 and PCO2 from this blood gas.

FiO2 should be left blank if the baby was on blow-by oxygen at the time of the draw or if the FiO2 is unavailable. If the baby was on room air, record FiO2 as 21. FiO2s listed while "bagging" should be recorded.

If the baby is on low flow oxygen during SNAP scoring period, please enter the value of the flow of additional gas in ml/min in the last column. At the same time you will need to enter how much oxygen concentration is given to the baby in the flow mixture.

Examples:

- 1. If baby is getting 20 cc of low flow air- enter 20 cc in the last column and 21% in the FiO2 column.
- 2. If baby is getting 20 cc of low flow oxygen enter 20 cc in the last column and 100% in FiO2 column.
- 3. If baby is getting 20 cc of air and 50% oxygen mixture enter 20 cc in the last column and 50% in FiO2 column.

MAWP-If on CPAP only, you may use CPAP value as MAWP if there is no MAWP listed. No distinction is made between nasal (facial) and endotracheal CPAP. If MAWP recordings to do not correspond with blood gas times, assume constant MAWP between recordings.

MAWP should be left blank if the baby was *not* receiving assisted ventilation (mechanical vent or CPAP) at the time of the draw (i.e. nasal cannula, blow-by oxygen or room air).

MAWP should be left blank if the baby was receiving hand-bagging or if MAWP is unavailable.

Blood Gas with highest MAWP

Select the *arterial* blood gas with the highest mean airway pressure. If this is the same gas recorded above in the lowest PO2 row, select the gas with the next highest MAWP instead. If there are several blood gases at the same highest MAWP, record the one with the lowest PO2. If there are several gases with the same highest MAWP *and* the same lowest PO2, record the gas occurring first. If MAWP is '0' for the entire scoring period because the baby was never on assisted ventilation or no MAWPs are available, leave this row blank.

Record the FiO2 (21% - 100%) and MAWP (in cm-water) at the time blood was drawn, and the pH, PO2 and PCO2 from this blood gas.

FiO2 should be left blank if the baby was on blow-by oxygen at the time of the draw or if the FiO2 is unavailable. FiO2s listed while "bagging" should be recorded.

If the baby is on low flow oxygen during SNAP scoring period, please enter the value of the flow of additional gas in ml/min in the last column. At the same time you will need to enter how much oxygen concentration is given to the baby in the flow mixture.

Examples:

- 1. If baby is getting 20 cc of low flow air- enter 20 cc in the last column and 21% in the FiO2 column.
- 2. If baby is getting 20 cc of low flow oxygen enter 20 cc in the last column and 100% in FiO2 column.
- 3. If baby is getting 20 cc of air and 50% oxygen mixture enter 20 cc in the last column and 50% in FiO2 column.

MAWP-If on CPAP only, you may use CPAP value as MAWP if there is no MAWP listed. No distinction is made between nasal (facial) and endotracheal CPAP. If MAWP recordings to do not correspond with blood gas times, assume constant MAWP between recordings.

MAWP should be left blank if the baby was *not* receiving assisted ventilation (mechanical vent or CPAP) at the time of the draw (i.e. nasal cannula, blow-by oxygen or room air).

MAWP should be left blank if the baby was receiving hand-bagging or if MAWP is unavailable.

Blood Gas with highest FiO2

Select the *arterial* blood gas with the highest FiO2. If this is the same gas recorded above in the lowest PO2 row OR in the highest MAWP row, select the gas with the next highest FiO2 instead. If there are several blood gases with the same highest FiO2, select the one with the lowest PO2. If there are several gases with the same highest FiO2 *AND* the same lowest PO2, select the gas occurring first.

Record the FiO2 (21% - 100%) and MAWP (in cm-water) at the time blood was drawn, and the pH, PO2 and PCO2 from this blood gas.

FiO2 should be left blank if the baby was on blow-by oxygen at the time of the draw or if the FiO2 is unavailable. FiO2s listed while "bagging" should be recorded.

If the baby is on nasal canula or oxygen hood during SNAP scoring period, please enter the value of the flow of additional gas in ml/min in the last column. At the same time you will need to enter how much oxygen concentration is given to the baby in the flow mixture.

Examples:

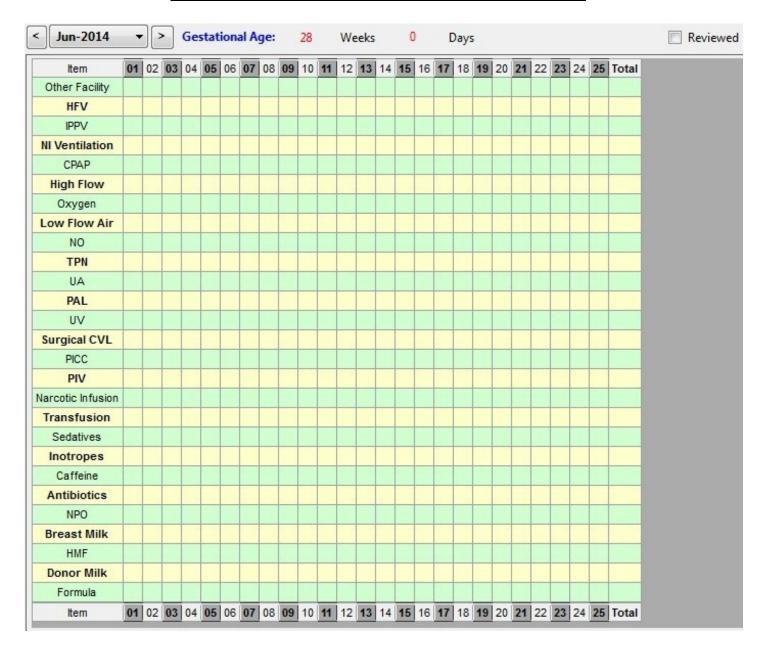
- 1. If baby is getting 20 cc of low flow air- enter 20 cc in the last column and 21% in the FiO2 column.
- 2. If baby is getting 20 cc of low flow oxygen enter 20 cc in the last column and 100% in FiO2 column.
- 3. If baby is getting 20 cc of air and 50% oxygen mixture enter 20 cc in the last column and 50% in FiO2 column.

MAWP-If on CPAP only, you may use CPAP value as MAWP if there is no MAWP listed. No distinction is made between nasal (facial) and endotracheal CPAP. If MAWP recordings to do not correspond with blood gas times, assume constant MAWP between recordings.

MAWP should be left blank if the baby was **not** receiving assisted ventilation (mechanical vent or CPAP) at the time of the draw (i.e. nasal cannula, blow-by oxygen or room air).

MAWP should be left blank if the baby was receiving hand-bagging or if MAWP is unavailable.

SCREEN **7**: PATIENT CHART SCREEN DEFINITIONS



This chart describes daily resource utilization by individual patients (absolute calendar day value. Day is considered from 00:00 hours to 23:59 hours on that calendar date). Resuscitation details which occur in the first 30 minutes after birth should be recorded in the Resuscitation Screen.

You need to click only boxes that apply to your patient. The chart will start from the date of admission and can be moved forward or backward as needed in terms of time. Until you submit the data this chart is editable. You can easily correct mistakes made in data entry. A group of respiratory support variables are included. Please read the explanation carefully as we would like to collect only the highest support received to a patient on a particular day. The chart is customizable for colour of row and column highlight as well as day to start and complete database. Please note that you can select multiple consecutive days by clicking on the start date, pressing and holding down the "Shift" key, and clicking again on the end date to automatically select all in between. *Therapies administered during an operation should be included*.

Item	Standard list of treatment items to select if patient is on item on a given day. Up to four additional items can be added for an individual site's own data collection upon request to CC.
	Day on which an item is administered.
	If the selected month is the admission month, the first day column displayed will be the admission day.
Dani	If the selected month is the current month and baby is not discharged, the last day column shown will be today.
Day column	If the selected month is the current month and the baby is discharged already, the last day column shown will be the discharge day.
	This is the absolute calendar day value. Day is considered from 00:00 hours to 23:59 hours on that calendar date.
	The Day Of Admission and Day Name can be displayed if you hover over the header cell.
Total	Total number of days during the entire patient stay that a certain item was administered. This value is updated dynamically when cells are checked/unchecked.

Below are details and explanation of what should be included in each grid of data collection. Note that for respiratory support, you will have to select the highest support received by infant on a particular day and not all types of support that the patient received.

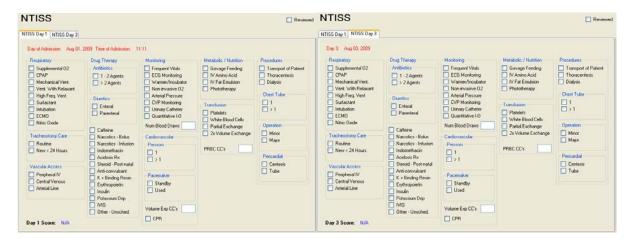
#	Item	Description of Item and Selection	n Criteria
1	Other facility	If patient has gone to other facility for >a majority of part your facility click this box. Do not use this box if patient and is not expected/not returned within 24 hours. This bot have left your unit temporarily and are likely to return or within 24 hours.	is discharged from your hospital ox is only for those patients who
2	HFV	High frequency ventilation which includes HFOV (oscillatory) and HFJV (Jet) ventilation.	
3	IPPV	Intermittent positive pressure ventilation. This includes all forms of mechanical ventilation with endotracheal tube (except HFV), as well as NAVA (Neurally Adjusted Ventilatory Assist).	These five items are indicative of respiratory support. Only one of these items should be checked for a particular day.
4	NI Ventilation	Non invasive ventilation: This includes all forms of non-invasive positive pressure support such as nIPPV, SNIPPV (synchronized nasal intermittent positive pressure ventilation), where on the ventilator machine the respiratory rate is set. NIHFOV (non-invasive high frequency oscillation ventilation) and non-invasive NAVA (Neurally Adjusted Ventilatory Assist) are included.	The highest support received by an infant should be checked for each day. Please do not include bagging for the treatment of apnea. The hierarchy would be HFV followed by IPPV, followed by
5	СРАР	Continuous positive airway pressure: Includes bubble CPAP, infant flow driver CPAP, BiPAP (Biphasic positive airway pressure), SiPAP (Sigh-positive airway pressure). Please note that in SiPAP mode of ventilation, the rate set is for cycling between two levels of CPAP and not rate of ventilation. CPAP is scored regardless of route administered. Eg. Endotracheal tube, tracheostomy or prongs.	NI Ventilation followed by CPAP and then High Flow. Enter the maximum support even if baby did not respond to the highest support.
6	High Flow	Click this if the infant is receiving oxygen or air at a rate >1.5 litres/minute	

7	Oxygen	Click this if infant is receiving oxygen higher than 21% at any given time of day. This is irrespective of whether patient is receiving mechanical ventilatory support or not. This includes if patient is receiving oxygen only for suctioning or while bottle feeding etc. This will also capture low flow oxygen as only this box will be checked.
8	Low Flow Air	Click this if infant is receiving low flow air via nasal cannula and not oxygen. Low flow air can be selected only if the patient is on low flow air without oxygen and is not receiving any of items 2-6 (HFV, IPPV, NI ventilation, CPAP, or High Flow) at any time during the 24 hour period.
9	NO	Use of nitric oxide on that day
10	TPN	Use of total parenteral nutrition (aminoacids with or without lipids) on that day
11	UA	Umbilical arterial catheter on that day. Only report if it was being used in NICU.
12	PAL	Peripheral arterial catheter on that day. Only report if it was being used on that day. Patients with two catheters on same day will be marked once only.
13	UV	Umbilical venous catheter on that day. Only report if it was being used on that day. Mark even if it is only saline locked or heparin locked. Patients with two catheters on same day will be marked once only.
14	Surgical CVL	Central venous catheter other than umbilical venous catheter inserted surgically or by cutdown. This includes surgically placed catheters, broviac lines, HICKMAN TM catheters, central portacath etc. Only report if it was being used on that day. Patients with two catheters on same day will be marked once only. This also includes PICC lines inserted via "cut down" in the NICU or OR.
15	PICC	Peripherally inserted central catheter typically placed in the NICU. It may be placed by image guided therapy by a radiologist. Only report if it was being used on that day. Mark even if it is only saline locked or heparin locked. Patients with two catheters on same day will be marked once only.
16	PIV	Peripheral intravenous catheter (including intraosseous line) on that day. Only report if it was being used on that day. Mark even if it is only saline locked or heparin locked. Patients with two catheters on same day will be marked once only.
17	NPO	Days when infant is not fed anything orally over entire 24 hour period. If infant is receiving any oral feeds, including sham feeds, do not score this item.
18	Transfusions	Days when infant had received blood or platelet transfusion. Do not include any other blood products. Packed red blood cells – yes Whole blood (regardless of reason) – yes Platelets – yes Fresh Frozen Plasma - no Cryoprecipitate - no Albumin - no Immunoglobulin – no
19	Narcotic Infusion	Days when infant is receiving narcotic infusion. This includes morphine, fentanyl, alfentanyl and newer narcotics. Continuous infusion and not bolus infusion. Epidural given post-operatively is not included.
20	Sedatives	Days when infant is receiving sedatives such as midazolam, chloral hydrate, dexmedetomidine etc. This could be oral or parenteral, and intermittent or infusion.
21	Inotropes	Days when infant is receiving dopamine, dobutamine, epinephrine, norepinephrine, milrinone and phenylephrine. Use of epinephrine for resuscitation does not count in this field.
22	Caffeine	Days when infant is receiving caffeine. Do not enter theophylline or aminophylline here.
23	Antibiotics	Days when infant is receiving treatment doses of systemic antibiotics. This includes antibiotics used in pre- and post-surgery, and antibiotics for Congenital Toxoplasmosis. <u>Excludes</u> : topical antibiotics, antibiotics used for other conditions (such as Trimethoprim for reflux, UTI prophylaxis)
24	Breast Milk	Any use of biological mother's breast milk given enterally – (not oral immune therapy purposes) irrespective of volume or frequency during a given day.
25	HMF	Any use of human milk fortifier given enterally irrespective of volume or frequency during a given day.
26	Donor Milk	Any use of donor human breast milk (including that from non-biological mother) given enterally irrespective of volume or frequency during a given day.

27	Formula	Any use of formula given enterally irrespective of volume or frequency during a given
		day.

Note: For items 17-21, please indicate the days on which medication was actually administered.

SCREEN 8: NTISS SCREEN DEFINITIONS (OPTIONAL ENTRY)



General:

Date of birth should be counted as DAY 1 for this screen.

NTISS should be scored from the time of admission (defined as the time of first vitals in the NICU) for twenty-four (24) hours and on **Day 3 from 0:00am to 23:59pm**. In the final calculation of scores for NTISS, points are assigned only for the most intense therapeutic intervention. For example, a patient who began a scoring period on nasal CPAP and was then placed on mechanical ventilation, would receive only final points for mechanical ventilation. In completing the scoring period data collection, however, both of these respiratory therapies should be selected, as this provides maximal information regarding the patient's hospital course. Review both the NICU flow sheet and the nursing/physician progress notes in order to obtain all valuable information regarding the performance of procedures. *Therapies administered during an operation should be included*. NTISS scores (Day I and III) will be generated once relevant information is entered and screens are saved.

Medications:

The best strategy is to check the medication sheets to confirm that each medication was administered during the time period. Score medications (diuretics, aminophylline, narcotics, steroids) administered during the time period whether given po, pg, ng, IV, IM or aerosol. Score any medications classified as pressor, antibiotic, acidosis treatment, insulin drip, IVIG and "other" (unscheduled) only if the medication was administered via IV (parenterally), IM or via aerosol (inhaled, nebulized). For categorizing medications into types refer to appendix I.

RESPIRATORY

Supplemental O2	Receipt of <i>continuous</i> enriched oxygen concentration (>.21 FiO2) by oxyhood, nasal cannula, nasal catheter, facemask <i>or other forms of respiratory support</i> . Continuous means that the patient is receiving oxygen throughout the time period and not just in brief episodes as needed, ie. during feeds. "Blow-by" oxygen does not count unless it is the mode of oxygen administration used in a transport situation. <i>Do not score oxygen given as part of a hyperoxia test</i> .
CPAP	Use of Continuous Positive Airway Pressure (or Continuous Negative Airway Pressure). This may be administrated either by a nasal prong or nasopharyngeal CPAP apparatus, or via an endotracheal tube. Nasal cannula oxygen (occasionally labeled "prongs") does not count as CPAP, but should be counted as Supplemental O2. Do not assume that "prongs" means nasal cannula: score as CPAP if there is pressure recorded, otherwise score as Supplemental O2. <i>Do not score facial CPAP as CPAP, even if there is a pressure recorded.</i>
Mechanical vent.	Use of conventional mechanical ventilation during the <i>scoring period</i> , regardless of IMV rate. If pavulon/pancuronium was used then score as mechanical ventilation with muscle relaxation.
Vent. with relaxant	Mechanical Ventilation along with administration of muscle relaxants (pancuronium, pavulon, succynyl choline (sux), vecuronium (vec)). At least one dose of relaxant must be given during the <i>scoring period</i> . Residual effects of drug given before the beginning of the <i>scoring period</i> do not count. Score HIFI with relaxants as HIFI only. In this case, do not score Pavulon (<i>or other muscle relaxants</i>) under "other meds."

High freq. vent.	Use if HIFI (high frequency ventilation, by oscillator, jet or flow-interrupter) at any time during the SCORING PERIOD. Score HIFI with relaxants as HIFI only. In this case, do not score Pavulon (or other muscle relaxants) under "other meds."
Surfactant	Receipt of exogenous surfactant replacement therapy (Bles, Exosurf, Survanta, Curosurf, Infasurf) during the <i>scoring period</i> .
Intubation	Undergoing an intubation procedure during the <i>scoring period</i> . This can be placement of new endotracheal tube (ETT), the exchange of an existing ETT for a new one (for example replacing of an oral tube with a nasal tube) or the reinsertion of an ETT which had become dislodged. Continuous presence of an ETT does NOT score points, nor does re-taping of an existing ETT. Do not count intubation occurring prior to the <i>scoring period</i> , such as intubation in the delivery room. <i>Nasal prong CPAP insertion does not count as endotracheal intubation</i> .
ЕСМО	Infant was on Extra Corporeal Membrane Oxygenation (ECMO) at any time during the scoring period. ECMO starts when the patient is removed from pump/bypass, <i>not</i> at the time of decannulation.
Nitric Oxide	Treatment with <i>nitric oxide</i> (NO) by inhalation. It is included on the NTISS checklist in order to identify treated infants and to track the frequency of use.

TRACHEOSTOMY CARE

Routine	Tracheostomy routine care on any patient with a tracheostomy in place for more than 48 hours.
New, <24 hr	Presence of a tracheostomy placed surgically within the <i>scoring period or the 24 hours preceding the scoring period</i> . Do not double count this with Major Operation.

VASCULAR ACCESS

Peripheral IV	Presence of one or more intravenous catheters (including heparin locks for drug administration) during the scoring period .
Central venous	Presence of a central line (CVL) during the scoring period, including: umbilical venous lines (UVL), BROVIAC TM catheter lines (or other surgically placed, i.e. CVL lines) or percutaneous ("spaghetti") lines which are placed centrally. Score lines regardless of whether central placement is achieved. Do not score lines that are never successfully placed. Where it is unclear whether the line was successfully placed, score based on whether the line has begun infusing solutions or not. CVP monitoring is scored separately.
Arterial line	Presence of any arterial line (umbilical (i.e. UAL) or peripheral (i.e.PAL) during the scoring period . If the arterial line is monitored for on-line blood pressure, score "Arterial Pressure Monitoring" as well.

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DRUG THERAPY

Antibiotics: 1-2 agents or >2 agents	Receipt of <i>intravenous</i> antibiotics during the <i>scoring period</i> . Topical antibiotics <i>should not be scored</i> . If <i>one or two</i> antibiotics are administered <i>concurrently</i> , select "1-2 agents." If three or more antibiotics are administered <i>concurrently</i> , select ">2 agents." If three antibiotics are administered during the scoring
	period, but one is terminated before another is initiated (only two are administered concurrently), select
	"1-2 agents." Antibiotics include acyclovir, amphotericin, ampicillin, cefazolin, cefotaxime, clindamycin, fluconazole, gentamicin, kefzol, penicillin and vancomycin.
Diuretics: Enteral or Parenteral	Administration of any diuretics during the <i>scoring period</i> . If <i>any of</i> the diuretics are administrated intravenously at any time during the scoring period, select " <i>parenteral</i> ." If all diuretics are administrated orally (po/pg), select " <i>enteral</i> ." If no diuretics are given, do not score. Diuretics include: aldactone (spironolactone), diamox, diuril (chlorothiazide or CTZ), hydrochlorothiazide (HCTZ) and lasix (furosemide).
Caffeine	Caffeine administration PO or IV during the <i>scoring period</i> .

Narcotic-bolus	Any single or multiple dose (also known as "push") administration of narcotics, IV or PO during the <i>scoring period</i> that is not a continuous infusion. Narcotics include fentanyl, meperidine, methadone, morphine, morphine sulphate (MSO4) and opium solutions (i.e. Dilute tincture of opium (DTO)).	
Narcotic-infusion	Any <i>continuous infusion</i> of narcotics during the <i>scoring period</i> . Narcotics include fentanyl, meperidine, methadone, morphine, morphine sulphate (MSO4) and opium solutions (i.e. Dilute tincture of opium (DTO)).	
Indomethacin	Receipt of <i>any</i> dose (complete or not) of indomethacin (Indocin) during the <i>scoring period</i> .	
Acidosis Rx	Use of IV bicarbonate ("neut"), <i>THAM</i> or <i>NaHCO3</i> (<i>sodium bicarbonate</i>) during the <i>scoring period</i> . These drugs are usually used to treat serious acidosis, although this is <i>not</i> a requirement for scoring. Use of acetate in the IV fluid (i.e. Na acetate or K acetate) does <i>not</i> count for this variable.	
Steroid - post-natal	Steroid use (IV, po or nebulized <i>but not topical</i>) during the <i>scoring period</i> , regardless of indication. Steroids include beclamethasone, beclovent puffs, cortisol (solucortef), dexamethasone (decadron), hydrocortisone, methylprednisolone (solumedrol) and prednisone.	
Anti-convulsant	Anti-convulsants given regardless of reason for administration, during scoring <i>period</i> . Includes ativan, diazepam, dilantin, diphenyl hydantoin (DPH), lorazepam, phenobarbital, phenytoin and Valium.	
K+ binding resin	Administration of potassium binding resin (Kay-exylate) either via gavage or rectal tube <i>during the scoring period</i> .	
Erythropoietin	Administration of erythropoietin during the <i>scoring period</i> .	
Insulin	Use of insulin (IM or IV but usually by infusion) during the <i>scoring period</i> .	
Potassium drip	Initiation of a concentrated potassium (K+) infusion or bolus during the scoring period. Concentration must be at least 60 meq\L (6 meq\100 ml) or 450 mg\100ml or 60 mmol\L (6 mmol\100ml) (Conversion: 1 mEq\L of potassium = 75 mg\L)	
IVIG	Intravenous Immune Globulin (IVIG) given for any reason during the <i>scoring period</i> . Usually documented in the nursing medication sheets as single dose medication.	
Other - unscheduled	Any parental or inhaled drug beyond those already noted (antibiotics, diuretics, aminophylline, narcotics, indomethacin, acidosis treatment drugs, steroids, anticonvulsants, erythropoietin, insulin, potassium, surfactant, pressors). Such drugs might include sedatives, inhaled agents or clotting factors. In general, <i>drugs given by mouth are not scored</i> . However, if you are unsure contact the CC for advice. Do <i>not</i> count routine vitamin K injections, eye prophylaxes, routine IV fluids (including electrolyte (NaCl, KCl) and heparin additives) or glucose solutions (i.e. D10W). Do <i>not</i> count hepatitis vaccine. Do <i>not</i> score calcium given routinely in IV fluids, <i>but do</i> score calcium bolus/push ordered separately. Do <i>not</i> score any topical medications or vitamins.	

MONITORING

Frequent vital	3 out of the 4 vital signs (heart rate, respiratory rate and either temperature or blood pressure) recorded in nursing notes\flow sheet 2 or more times in any given hour, OR 6 or more times in any 8 hours. Ventilator rate may be substituted for respiratory rate where applicable. Score based on heart rate and either temperature or blood pressure only, for babies on HiFi ventilation when no respiratory rates are listed. Skin probe temperatures (the baby's temperatures taken through the incubator) do not count as temperature for this item.
ECG monitoring	Use of a cardiac and/or apnea monitor during the <i>scoring period</i> .
Warmer/incubator	Use of an infant warming device during the <i>scoring period</i> . This includes warming tables and incubators. Short term use in the delivery room does not qualify, nor do portable warming lights.
Non-invasive O2	Use of any non-invasive blood gas monitoring devices during the <i>scoring period</i> . Such devices include: Transcutaneous O2 monitors (TcO2 or TCM), Transcutaneous CO2 monitors (TcCO2), Pulse oximeters (SaO2, SpO2, or Sat monitors), End-tidal CO2 (ETCO2), Mass Spectrometer.

Arterial pressure	On-line arterial pressure monitoring during the <i>scoring period</i> . This may be umbilical or peripheral. <i>Score transducer (invasive)</i> , <i>but not Doppler (non-invasive) monitoring</i> . Points for this variable are additive with those for arterial line.
CVP monitoring	Monitoring of Central Venous Pressure (CVP) at any time during the <i>scoring period</i> . This is differentiated from CVL by the use of a pressure transducer. CVL presence should be scored separately.
Urinary catheter	Presence of a urinary catheter regardless of reason used during the scoring period . This should be scored when present in addition to scoring strict monitoring of Input & Output.
Quantitative I-O's	Strict measurement of Input & Output for any portion of the <i>scoring period</i> . This would be marked by numeric measurement of urinary output, such as weighted diapers or measured output from a urinary catheter. Qualitative output (checks or plus signs for voids) do not score.
Num Blood draws	Number of <i>separate blood draws during the scoring period</i> , regardless of the number of tests obtained per blood draw, or the volume withdrawn for tests. A blood draw is indicated by a cluster of tests noted on the flow sheet as drawn at the same time. Glucose reagent strips (Dextrostix, others) <i>also count as a draw</i> if done by heel or finger prick. If no blood draws occurred during the scoring period enter 0, if number of draws unknown leave the field blank.

CARDIOVASCULAR

Pressors: 1 or >1	Use of <i>intravenous blood pressure medications</i> (pressors or vasoactive drug infusions) given <i>concurrently during the scoring period</i> . If only a single infusion is administered at once, score as "1". If a second infusion was in use at the same time during the scoring period then ">1" should be scored instead. Blood pressure medications include dobutamine, dopamine, hydralazine, isoproterenol (isuprel), nitroglycerine (NTG), nitroprusside (nipride), phenylephrine, priscoline, prostaglandins and tolazoline. Epinephrine (epi drip) should be scored here <i>unless</i> given as part of CPR. If given as part of CPR, score as CPR only. Do <i>not</i> score inhaled nitric oxide here.
Pacemaker: Standby or Used	If cardiac pacemaker available on standby but never used during the <i>scoring period</i> , then select "standby". If the pacer is actually used during the <i>scoring period</i> select "used." Any form of external pacing qualifies including direct pacer wires, trans-esophageal pacing, trans-catheter.
Volume Exp CCs	Total CCs of volume support <i>initiated during the scoring period</i> , even if some of the volume is administered <i>after</i> the scoring period. This should be distinct from routine IV stock, and includes albumin (5%), albumin (25%), fresh frozen plasma (FFP), lactated ringers (LR), NaCl bolus, normal saline (NS, 0.9% Saline), plasmanate and thawed plasma. If different types of volume expansions are given during the <i>scoring period</i> , include the sum of all these. This should <i>not</i> include bolus volume administered of bicarbonate, THAM or RBCs. Do <i>not</i> score such things as D10W, .25NS, .5NS or routine HepNS. If no volume given for expansion support, or if volume unknown leave the field blank.
CPR	Cardio-Pulmonary Resuscitation (CPR) <i>administered during the SCORING PERIOD</i> . There must be documentation of cardiac compressions for either bradycardia or electro-mechanical dissociation. The use of bicarbonate and/or epinephrine alone is insufficient.

METABOLIC / NUTRITION

Gavage feeding	Feeding using tubes to deliver formula. Specific modes include: naso-gastric (NG) or oro-gastric (OG), naso duodenal (ND), or via gastrostomy. This should be scored if <i>any</i> feeding is delivered in this manner, including water. <i>Do NOT score gavage feeding if a gavage tube was not being used to deliver formula, but rather was in place only to deliver medications</i> . Gavage feeding is often marked in nursing flow sheets with numbers in the "feeding type" column (i.e. "#8" for use of an 8-french feeding tube) or with an abbreviation such as PG, NG, G or g.
IV amino acid	Parenteral nutrition (PN) / hyperalimentation (HAL) <i>initiated or continued</i> during the <i>scoring period</i> . The IV stock must contain <i>amino acids</i> (AA) to be scored as parenteral nutrition; high glucose concentration alone is not sufficient.
IV fat emulsion	Use of IV fat emulsion (intra-lipids, lipids) initiated or continued during the scoring period.

Phototherapy	Phototherapy initiated or continued during the scoring period.

TRANSFUSION

Platelets	Transfusion of platelets given at any time during the scoring period.
White blood cells	White Blood Cell (neutrophil) transfusion <i>initiated during the scoring period</i> .
Partial or reduction exchange	Partial or reduced volume exchange transfusion <i>initiated during the scoring period</i> . This is done to treat polycythemia (high hematocrit). It does not matter whether volume is replaced with albumin or normal saline (but not PRBC's or Whole Blood). Fluid given as part of exchange should <i>not</i> count as part of volume for the variable <i>volume expansion</i> .
Double Volume exchange	Exchange transfusion <i>initiated during the scoring period</i> . The volume of blood (double volume, single volume, partial) does not make any difference. The blood volume used in the exchange transfusion should <i>not</i> be counted towards the transfusion or extensive transfusion variable.
PRBC CCs	Total CCs of blood given in transfusions <i>initiated during the scoring period</i> , even if some volume was administrated <i>after</i> the scoring period. <i>Do not</i> include volume from transfusions initiated <i>before</i> the scoring period, even if some volume was administrated during the scoring period. This can be either packed cells (PC, PRBC) or whole blood. Blood used for exchange transfusions does not count. If no blood was transfused during the scoring period, or if unknown leave the field blank.

PROCEDURES

Transport of patient	Transport of patient within the hospital or between hospitals if applicable (e.g. radiological procedure such as fluoroscopy, CT scan or MRI). Do not score for outborn infants who arrive via ambulance. It is mutually exclusive with trips to the operating room or cath lab (Major Operation and Minor Operation). Do not score if the infant is transported for non-medical reasons (e.g. baby transported to be with the mother) and do not score for circumcision.
Thoracentesis	Needle thoracentesis or paracentesis (diagnostic needle aspiration from chest) during the <i>scoring period</i> . If the needle aspiration occurs as part of chest tube insertion then it should <i>not</i> be counted.
Dialysis	Dialysis initiated or continued during the <i>scoring period</i> . The presence of a dialysis catheter alone is not sufficient.
Chest tube: 1 or >1	Presence of a chest tube at any time during the scoring period . Needle or Angiocath aspiration alone should be scored as Thoracentesis.
Operation or Procedures	Operations initiated or continued during the <i>scoring period</i> . Operations defined as all operations/procedures performed in the operating room and/or requiring anesthesia. If multiple operations were performed under the same anesthesia episode include them all.
	Four major operations/procedures we would like to capture: Laparotomy: Includes bowel resection, ileostomy, repair of abdominal omphalocele, NEC. This does not include laparoscopy. V-P shunt: This does not include craniotomy for other reasons. Thoracotomy: This includes ASD closure, BTS for tricuspid atresia, coarctation repair, vascular ring operation. This does not include thoracoscopy. ECMO
	Minor: all other operations/procedures go under this category. This includes placement of a HICKMAN TM catheter, reservoir or shunt CNS, re-section of an occipital encephalocele, myelomeningocele or omphalocele, bronchoscopy, cytoscopy, cryo/laser treatment, balloon septostomy, cardiac catheterization, CVL placement (with anesthesia), examination under anesthesia, gastrostomy, herniorrhaphy, laryngoscopy, nephrotomy, rectal biopsy, skin grafting and surgically placed catheters.

	(continue on next page) PLEASE do not include: Chest tube placement, cutdown venous access, ECMO, extra digit removal, peripheral arterial line placement, thora/paracentesis and UAL or UVL placement. For a complete list of major/minor and exclusion operations see appendix II.
Pericardial: Centisis	Pericardiocentisis performed at any time during the <i>scoring period</i> . This might be done to remove fluid or air. Centesis done as part of pericardial tube placement should score only as Pericardial Tube-not both. However, if a pericardiocentesis is followed several hours later by tube placement, both should be scored.
Pericardial: Tube	Presence or placement of a pericardial tube during any part of the scoring period . Points can also be scored for a pericardiocentesis performed before pericardial tube placement, but not concurrent with it.

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SCREEN 9: DIAGNOSIS/PROCEDURES SCREEN DEFINITIONS

rophylactic Interventions Indomethacin	PDA Nes N	o 🔲 Uni	nown	Any Time Operations/Procedure	es
Probiotics Antifungal Other	If Yes: Treated If Treated: Acetaminophen	Not Treated	Diagnosed By —	☐ Laparotomy ☐ VP Shunt ☐ Others	☐ Thoracotomy ☐ ECMO
NS Seizures None Treated Yes Suspected No Definite	Thrombosis	er Date]	Echo	Gastro-Intestinal Performance None NEC Related Spontaneous Unknown	SIP Date and Treatment [Enter Date] Surgical Peritoneal Drainage
HIE None Stage II Not Available Unknown Stage Stage I	Aortic Renal Cerebral Other Unknown	Venous Lo Umbilical venous Portal Renal Cerebral venous Other	nknown ocation Unknown	Highest Total Bilirubir Highest Total Bilirubir Date [Enter Date] Acute Bilirubin En	n (mmol/L)
Ings Dxygen Reduction Test No Unknown RDS None Uncertain Definite NA / Unknown	Treatment Conservative Catheter (line) rei Standard Hepari Low molecular w	ı eight heparin		NEC Stage 2 or Higher Yes If Yes NEC Date (first epis	No
neumothorax Pneumothorax If Yes Pneumothorax Date [Enter Date] Treatment Observation Chest Tube	Other treatment Unknown Method of diagnosi	5		NEC Treatment Surgical Peritoneal D Were there multiple	rainage
Needle Paracentesis 100% O2	Clinical	Imaging	Jnknown	Acquired Stricture	2
ongenital Anomalies Other Diagnosis			1		
Congenital Anomaly Type			System		

Score all major diagnoses and procedures that that you are aware for the infant on this screen. If a baby is transferred into your NICU, score only issues pertaining to the baby at the time of transfer into your NICU. If the initial diagnosis of a current issue occurred at the transferring hospital, use the admission date to your NICU as the diagnosis date.

Likewise for readmission records, you are not required to record diagnoses that have already been resolved in the initial admission. Note that only ongoing and new issues pertinent to this particular (readmission) visit need be recorded. Do *not* score questionable diagnoses except where the data item has an uncertain/suspected category (i.e. RDS, seizures). If there are conflicting diagnoses, where available use autopsy findings as they are more reliable than diagnostic tests.

Prophylactic	Check off any applicable interventions that have been given to the patient. These interventions have to be			
Interventions	given for prophylactic purposes only. They have to be administered before a relevant diagnosis is ascertained. These interventions are usually given in the first 24-36 hours after birth. For interventions not listed, select "other" and write the intervention in the free text box (maximum 50 characters).			
Indomethacin	Check this if indomethacin was used within the first 24 hours of life and was not used for the purpose of PDA treatment. There would have been a unit policy to give indomethacin for the prevention of IVH.			
Probiotics	Check this if infant was started on any form of probiotics for prevention of NEC and/or sepsis.			
Antifungal	Administration of antifungal agent e.g. Fluconazole, Nystatin or other antifungal agent from birth or immediately after birth with explicit interest of prevention of fungal infection. Initiation of antifungal agent for suspected fungal infection should <i>not</i> be counted here. This is usually based on unit policy.			
Other	If infant was commenced on any other intervention that were reported to be of prophylactic nature.			
CNS: Seizures	Occurrence of seizures at any time during the hospital stay. Score "None" if there were no seizures or seizure-like movements mentioned during the hospital stay.			
	 Score "Suspected" if: observed by only one clinician there were movements of uncertain significance observed by more than one person. Descriptions of seizure like movements should be considered movements of uncertain significance when not 			
	accompanied by a diagnosis of seizures or administration of phenobarbital. Score "Definite" if: witnessed by 2 or more clinicians diagnosed by EEC are EEC			
	 diagnosed by EEG or a-EEG there is one clinical observation of seizure like movements coupled with administration of phenobarbital <i>or</i> with a diagnosis of seizures by a <i>different</i> clinician. The use of antiepileptics/ anticonvulsants (i.e. phenobarbital) is not alone evidence of definitive diagnosis, but can be considered as confirmation if prescribed by a second clinician. When an EEG is normal and contradicts a seizure diagnosis, score according to attending physician/neurologist diagnosis made <i>after</i> reviewing the EEG results. 			
	Indicate whether the seizure was treated by checking the appropriate box to the right.			
CNS: Hypoxic- Ischemic encephalopathy	Score this section <i>only</i> if all of the following preliminary conditions are met 1. Documented evidence of an acute perinatal event such as fetal distress, cord prolapse, uterine rupture, reduced fetal movements, abruption, antepartum hemorrhage or emergency cesarean section			
(HIE)	due to fetal distress 2. Evidence of intrapartum hypoxia: at least one of: a. Apgar score of ≤5 at 10 minutes;			
	 b. Mechanical ventilation or resuscitation within 10 minutes; c. Cord pH < 7.00 (venous or arterial), or an infant arterial pH < 7.00 or base deficit ≥ 12 within 60 minutes of birth 			
	Record data for encephalopathy if the above criteria are met.			
	1. None: either baby does not meet entry criteria, or baby meets <u>some</u> criteria but has <u>no</u> evidence of encephalopathy			
	 2. Not available: baby died before a diagnosis could be made or data is unavailable 3. Unknown Stage: (either of) a. baby meets entry criteria but data on staging could not be ascertained 			
	 b. baby did not meet entry criteria but hypothermia treatment initiated 4. If the chart mentions staging of encephalopathy, use the highest grade at any time during the stay in NICU (Stage I = Mild; Stage II = Moderate and Stage III = Severe) 			
	 5. If seizures are present, record as Stage II or Moderate encephalopathy 6. If the chart is unclear about staging, check for data on neurological examination and record a. Stage I or Mild: irritability, jitteriness, hyperalertness 			
	b. Stage II or Moderate: lethargy, hyper-reflexia, miosis, bradycardia, seizures, abnormal tone, weak suck, incomplete Moro reflex, decreased activity, distal flexion, complete extension, hypotonia (focal or general), constricted pupils and periodic breathing			
	c. Stage III or Severe: stupor, coma, flaccidity, deviated, dilated or small to mid-position pupils which react poorly to light, decreased stretch reflexes, hypothermia, absence of Moro reflex and sucking, decerebrate posture, variable heart rate and apnea			
	The Encephalopathy screen will activate only when Mild, Moderate, Severe, or Unknown Stage is selected.			
PDA	First question would be whether infant has PDA or not, or if it is unknown.			

If infant has no clinical suspicion of PDA – enter no. If infant received treatment for PDA – enter yes. If infant had clinical or echocardiographic suspicion of PDA – enter yes. If PDA was detected, then was it treated? yes or no If it was treated – how? 1. Acetaminophen 2. Ibuprofen 3. Indomethacin 4. Surgical ligation & date (also score as Operations/Procedures "Others") You can choose multiple options here. Indicate how PDA was diagnosed – clinical or via echocardiography. Do not score only for the fact that infant had an echocardiogram, but score if PDA was diagnosed by this route. You can select both options if applicable. Oxygen Reduction Many units have adopted conducting oxygen reduction test at 36 weeks of GA for neonates who are in <30% Test oxygen and not on either CPAP or other positive pressure support. Based on the results of this test, they identify baby as having BPD or not. Please record "yes" if the baby had this test irrespective of whether baby was classified as "pass" or "fail". RDS Respiratory distress syndrome (RDS), sometimes called hyaline membrane disease (HMD), should be diagnosed within the first two days after birth (ie on day 1 or 2). The classical signs of RDS are less commonly seen today because of the use of exogenous surfactants for RDS prophylaxis and early rescue. RDS most commonly occurs in preterm babies but can occur in term babies. RDS is recorded by clinical certainty and not by severity. There is a hierarchy to the identification of a baby with RDS: firstly, the chest x-ray report from day 1 or 2; secondly according to physician diagnosis; and thirdly, if there is surfactant administration after 2 hours of age (on day 1 or 2). This hierarchy is to be used when there are conflicting diagnoses. However, if any one of the sources is definite, and the others are not, score RDS according to what definite information you have. If the evidence is conflicting, you may seek clarification from an involved physician. Score "Definite" if: (1) a chest x-ray report from day 1 or 2 reports definite RDS, Hyaline membrane disease (HMD) OR (2) clinicians specify definite RDS based on typical symptoms and signs (grunting and retractions and/or oxygen requirement), and/or a typical chest x-ray (diffuse granularity, "ground glass"), OR (3) exogenous surfactant is administered beyond 2 hours of age (on day 1 or 2) but not for meconium aspiration syndrome or pneumonia or pulmonary hemorrhage. If the CXR expresses doubt about the diagnosis, review the physician diagnosis with attention to dates of diagnoses as compared with x-ray dates, and find out if surfactant was given. Score "None" if: (1) the clinicians describe "respiratory distress" without a specific diagnosis of RDS, no X-ray confirmation, and no administration of surfactant, OR (2) there is a confirmed alternative pulmonary condition (for example "transient tachypnea of the newborn", "meconium aspiration syndrome", "congenital pneumonia") and no RDS according to any of the three definitions, OR (3) if there is no respiratory distress and none of the three definitions are satisfied. Score "Uncertain" if: (1) there was respiratory distress in the first 2 days of age but the clinicians recorded doubt about the diagnosis of RDS, OR (2) surfactant was given in the first 2 hours after birth and there was no subsequent confirmation of diagnosis of RDS by CXR or clinically, and no further treatment with surfactant. Do not score uncertain if you are unsure about what the clinicians are saying. In this case, investigate further

	in the chart, or ask one of the clinicians in the NICU about RDS.
	Score " <i>Unknown/NA</i> " if the baby died shortly after birth and no diagnosis of RDS was made.
Pneumothorax	Occurrence of pneumothorax, as diagnosed by chest x-ray, thoracentesis with documented removal of air or autopsy report. While placement of a chest tube is a common response, it is not necessary for diagnosis. This field does not include pulmonary interstitial emphysema (PIE).
Pneumothorax date and treatment	Date of occurrence of the <i>first definite</i> pneumothorax by chest x-ray, documented needle aspiration <i>or autopsy report. If the first time a pneumothorax is diagnosed is by autopsy report, score the date of death</i> (<i>not the date of autopsy</i>) <i>as the pneumothorax date</i> . If the baby is transferred in with a pneumothorax, record the pneumothorax date as the admission date and record the date <i>of the first pneumothorax diagnosed</i> at the transferring hospital in the comments box, if available. If date of first pneumothorax is unknown, leave the field blank. If no pneumothorax during this hospital visit, leave blank. Treatment of pneumothorax: 1. Observation (No intervention was performed) 2. Needle paracentesis or needle thoracentesis: This means draining air from pleural space with a needle and syringe and not leaving any permanent drainage. 3. Chest tube placement: This means placing a tube in chest wall and draining air via negative pressure suction. 4. 100% oxygen: Provision of 100% oxygen for treatment of pneumothorax.
Neonatal	Yes, no or unknown
Thrombosis	
- Location	The report should clearly mention about the presence of a thrombus. Presence of infarct, necrosis or ischemia does not necessarily mean that there was a thrombus. Please review the report completely for the reporting of "thrombus" or "clot".
	If Yes, select location (multiple selections are permissible): 1. Arterial 2. Venous 3. Location unknown
	For Arterial, select from the following options where appropriate (multiple selections are permissible): 1. Aortic 2. Renal 3. Cerebral (includes anterior, middle, posterior cerebral arteries etc.) 4. Other: (includes carotid, brachial, femoral arteries etc.) 5. Unknown
	For Venous, select from the following options where appropriate (multiple selections are permissible): 1. Umbilical venous 2. Portal 3. Renal 4. Cerebral Venus 5. Other (includes brachiocephalic, axillary, femoral, common iliac veins etc.) 6. Unknown
Neonatal Thrombosis - Method of Diagnosis	Select from (multiple selections are permissible): 1. Clinical (signs and symptoms include loss of pulsation, change in color, palpation of clot in the vessel and other symptoms depending upon location of thrombus) 2. Imaging (includes but not limited to ultrasonography, angiography, Doppler, CT scan or MRI etc.) 3. Unknown
Neonatal Thrombosis - Treatment	 Select from (multiple selections are permissible): Conservative (includes elevation of limb, compresses and careful observation etc.) Catheter (line) removal (includes removal of catheter after diagnosis of clot) Standard Heparin (unfractionated heparin usually used as continuous infusion, DO NOT include prophylactic use of heparin to prevent thrombus) Low molecular weight heparin (This includes Enoxaparin, Dalteparin, Tinzaparin, Nadroparin, Bemiparin, Centoparin, Nadroparin, Parmaparin or Reviparin etc.) Other anticoagulants (This includes Warfarin or other thrombolytic therapy such as urokinase)

7	 Other treatment (This includes surgical removal of thrombus, or image guided therapy to snare thrombus and any other method specifically designed to remove or dissolve thrombus not specified above) Unknown

OPERATIONS / PROCEDURES

*Record operations that occurred at any time during infant's stay. Some operations may not have been performed in your hospital (such as PDA ligation or ROP surgery). These should be documented in patient charts as for some of them an infant may go to another hospital for less than 24 hours and the data may not be captured. If relevant make a note of these instances in the comments box. If multiple operations were performed under the same anesthesia episode, include them all.

Laparotomy	Laparotomy (<i>abdominal exploration</i>) for surgical resolution of a variety of problems, excluding laparoscopy. For a complete list of procedures included under laparotomy, see appendix II.
VP shunt	Placement of reservoir or shunt for drainage of cerebro-spinal fluid (CSF).
Thoracotomy	Thoracotomy (<i>chest exploration</i>) for surgical resolution of a variety of reasons, excluding thorascopy. For a complete list of procedures included under thoracotomy, see appendix II.
ЕСМО	On extra corporeal membrane oxygenation (ECMO) at any time during the hospital stay. ECMO given as part of an operation <i>should</i> be scored here, but a note should also be made in the comments box that ECMO was given as part of an operation and not as a procedure unto itself.
Others	Check box for any other operation. You can score operations such as BAS, surgically placed catheters, gastrostomy tube insertions, hernia repairs, rectal biopsies, PDA ligations and ROP therapies. Refer to appendix II for a list of operations that can be included in Others.
Highest Total Bilirubin	Highest <i>total</i> bilirubin within first 14 days of birth (conjugated and unconjugated). If no bilirubin measurements were performed during first 14 days, leave this field blank. Consider Day 1 as the day of birth and include day 14 in your calculation.
Highest Total Bilirubin: Date	Date of highest <i>total</i> bilirubin within first 14 days of age (conjugated and unconjugated). If several dates on which the same highest bili occurs, record the first date. Record as YYYYMMDD. If no bilirubin measurements were performed or date of highest bilirubin is unknown, leave the field blank.
Acute bilirubin encephalopathy	In a severely hyperbilirubinemic infant presence of increasing hypertonia, especially of extensor muscles, with retrocollis and opisthotonos, in association with varying degrees of drowsiness, poor feeding, hypotonia, and alternating tone is classified as acute bilirubin encephalopathy. If chart mentions "possible kernicterus", "kernicterus" or "bilirubin induced neurological dysfunction (BIND)" then also click Acute bilirubin encephalopathy (mandatory field).
Exchange transfusion	Check if patient received single volume or double volume or partial exchange transfusion (mandatory field).
Gastro-Intestinal Perforation	Presence of free air under diaphragm or aspiration of intestinal contents from abdominal cavity suggest intestinal perforation. Possible causes are 1. NEC related: Perforation in a patient who is also diagnosed as having NEC (see below) 2. Spontaneous: In a patient who does not show evidence of NEC. Typically this occurs in the first week after birth. 3. Unknown: If the type is not identified then select unknown. This also includes gastric perforation. SIP Date and Treatment is enabled when "Spontaneous" is checked above.
	Spontaneous Intestinal Perforation (SIP): Approximately 50% of neonates who are diagnosed with intestinal perforation are now classified as SIP. This means that on further clinical, sonographic and operative assessment revealed no signs of ischemia or inflammation in baby diagnosed with perforation. This entity is termed as SIP. Treatment for this is similar to NEC related perforation with peritoneal drainage alone, surgery alone or peritoneal drainage followed by surgery (3 options available).

NEC Stage 2 or	Necrotizing enterocolitis (NEC) according to Bell's criteria, stage 2 or higher (mandatory field). If there is
Higher	definite pneumatosis (air in the bowel wall) or portal/hepatic air (air in the liver) diagnosed by x-ray or
	other imaging techniques, or if there is a surgical or autopsy diagnosis of NEC. If surgical/autopsy diagnosis
	conflicts with x-ray diagnosis, the surgical/autopsy diagnosis takes priority. X-rays showing free air
	WITHOUT pneumatosis do NOT count as NEC diagnoses. Bloody stools without pneumatosis may lead to
	a suspected diagnosis and treatment, but is <i>not</i> counted as NEC diagnosis. <i>Score "No" if:</i> there was no NEC
	diagnosed according to our definition during the hospital stay.
	If yes, mention stages:
	Stage II: medical suspicion confirmed by pneumatosis
	2. Stage III: Perforation
	3. Unknown: If it is not known whether to call stage II or III.
NEC Date	Date of the first definitive diagnosis of NEC (by x-ray of pneumatosis, or by surgery). Record as
	YYYYMMDD. If pneumatosis is suspected on x-ray and then NEC is diagnosed definitively by
	surgery/autopsy, score the date of onset of NEC as the date of the first x-ray that showed a suspicion of pneumatosis. If unknown leave the field blank.
	pheumatosis. If unknown leave the field blank.
NEC Treatment	Record if patient required surgical treatment or peritoneal drainage. Surgical treatment includes laparotomy.
	Peritoneal drainage alone is not considered surgical treatment. However, if your unit practices "mini-
	laparaotomy" it should be included under surgical treatment and operations. You will be able to select
	both if patient initially had peritoneal drainage and later went for surgery.
Multiple Episodes	
	Indicate if more than one episode of NEC occurred prior to discharge.
Acquired Stricture	If patient was later diagnosed as stricture possibly related to NEC, mention it here. Patients may or may not
	have stage 2 or stage 3 NEC diagnosis in the early period (mandatory field).

CONGENITAL ANOMALIES / OTHER DIAGNOSIS

Congenital Anomaly Type	Record all diagnosed congenital anomalies of a patient. Record only confirmed anomalies, anomalies that are "questionable" or "pending" should <i>not</i> be recorded. Anomalies are grouped under the systems they relate to and then listed alphabetically. Selecting the appropriate system from the system scroll down list will limit the anomalies that appear in the type scroll down list. If you cannot find a specific anomaly listed here check appendix IV for additional help in identifying how a given anomaly is recorded in the database. If you think a major anomaly is not listed, or if it requires specification, contact the CC for advice.
Other Diagnosis	Record additional major diagnoses included in the scroll down list provided. Record only confirmed diagnoses, diagnoses that are "questionable" or "pending" should <i>not</i> be recorded. Note that some diagnoses may be worded differently at various hospitals. For example a diagnosis of "hypoglycemia" is listed on the scroll down list as "hypoglycaemia". If you cannot find a given diagnosis in the list, check appendix III for additional help in identifying how a given diagnosis may be recorded in the database. Optional: For any diagnoses that are not listed in the "Diagnosis Type" scroll down menu, "Other" may be chosen and a free text field (maximum characters=100) for "Other Diagnosis Description" may be entered.

SCREEN 10: ENCEPHALOPATHY SCREEN DEFINITIONS

Encephalopathy		Reviewed
Did patient receive hypothermia treatment? Yes No Unknown If yes Method of hypothermia Selective Head Body Cooling When temperature receives hypothermia treatment? Timing Initia	tion of hypothermia [Enter Date]	Was hypothermia discontinued before 72 — hrs of treatment? Yes No If Yes Death Recovered Palliative care Unknown Other If No: Reasons
At initiation of At completion hypothermia of hypothermia Th	de effects during hypothermia Hypotension requiring treatment Yes No rombocytopenia requiring treatment Yes No Coagulopathy requiring intervention Yes No Persistent metabolic acidosis Yes No Any other side effects	Chromosomal anomaly Major congenital anomalies Weight < 2000g or GA < 35 weeks Extreme condition Head trauma or intracranial hemorrhage Mild HIE Unit policy Health care team preference Delayed transfer Parental request Unknown
Investigations Was Full Channel EEG performed? Yes No Unknown If yes Normal Burst suppression Discontinuous Iso electric Low amplitude Unknown Status Epilepticus Other Was CFM performed? Yes No Unknown If yes Normal Severe abnormality	Was neuroimaging (MRI/MRS) performed? Yes No Unknown If yes Date of first imaging [Enter Date] Normal Watershed injury / white matter Basal ganglia / thalamic injury / grey matter Porencephalic cyst Diffusion changes Spectroscopic changes Hemorrhage Unknown Other	Other Complications of Asphyxia PPHN Renal failure DIC Hepatic dysfunction Cardiac dysfunction
Mild abnormality Burst suppression Moderate abnormality Seizures Unknown Other		

This screen will be activated if in the "Diagnosis / Procedures" screen it was recorded that baby has any degree of encephalopathy except for none. You need to complete this screen if it is activated in order to complete the case.

Hypothermia treatment	Mention here whether patient received hypothermia treatment or not. If patient has received hypothermia further screen detailing treatment will be activated. Hypothermia treatment is defined as active attempts to maintain temperature below 35.5°C after HIE was diagnosed (mandatory field).
Method of Hypothermia	If hypothermia was given mention method of hypothermia. This usually confines to standard method used in your unit and very rarely changes between patients.
Timing	Enter timings for initiation of hypothermia, time when temperature reached target range, time when warming was initiated and time when temperature reached back to 36.8°C. In the event of baby's death whilst receiving hypothermia, please enter time of death as the time of rewarming.
Temperature	Select target temperature range that was planned. This will again conform to your unit policy and would be constant in your unit. From continuous monitoring of patient, enter the lowest and the highest recorded temperature during hypothermia treatment.
Clinical Status	From clinical records, enter HIE staging before (within 6 hours of initiation of hypothermia) and after hypothermia (6 hours after completion of hypothermia). Document if patient had seizures. If it is unknown, mention unknown or if it is normal, check normal.
Side Effects	 If patient developed any side effect during hypothermia record it here. Hypotension requiring treatment: Treatment could be in the form of fluid or inotropes. Thrombocytopenia requiring treatment: Treatment would be in the form of platelet transfusion. Coagulopathy requiring intervention: Intervention could be in the form of FFP, Plasma or blood transfusion. Persistent metabolic acidosis: Defined as pH <7.0 on two consecutive samples obtained at least 6 hours apart after initiation of hypothermia Any other complication / side effect not mentioned above can be free-texted (maximum 50 characters). Bradycardia should not be included in this as it is a known effect.
Was hypothermia discontinued before 72 hours of treatment?	Indicate whether hypothermia was discontinued before 72 hours by choosing "yes" or "no". If yes, please identify the reason for discontinuation of hypothermia from the list provided.
Reasons if No	If hypothermia was not provided please identify reason.
Investigations: EEG	Indicate if full channel EEG (12 or 16 channels/electrodes placed and full EEG tracing taken) was performed and classify findings of background activity as indicated. This refers to background activity only (mandatory field).
Investigations: CFM	If Cerebral Function Monitoring or amplitude integrated EEG (limited channel mostly 2 channel/electrodes are placed to capture this form of EEG) was performed indicate that here. If it was performed, indicate what the results were. If seizures were detected during CFM record it as well (mandatory field).
Investigations: MRI	If neuroimaging in the form of MRI or MRS was performed indicate the results and date of scan. Note that some of the results collected are broad (diffusion changes, restriction changes etc). In the initial period of data collection, this will be kept like this (mandatory field). The "Other" field can hold a maximum of 50 characters.
Other complications of asphyxia	 Complications of asphyxia should be mentioned here. You can choose multiple options. PPHN: Diagnosis will ideally require echocardiographic evidence; however, it may not be practical at every site and we will take any definition used in the chart. Renal failure: Urine output <0.5 ml/kg/hr OR rising creatinine >100 mmol/l at any time within first 72 hours DIC: Evidence of Coagulopathy for which no explanation could be provided. Hepatic dysfunction: AST or ALT >100 IU at any time in the first 7 days after birth Cardiac dysfunction: Need for inotrope to support BP or echocardiographic evidence of cardiac dysfunction.

Screen 11: NEUROLOGICAL FINDINGS / ROP SCREEN DEFINITIONS

rological Findings ROP					
ain Lesions (Ultrasound and/	or MRI)				
re neuroimaging or autopsy i	results available?	Yes No	Unknown		
FYes					
eft Brain			Right Brain		
Were they reported normal?	■ Yes	No	Were they reported normal?	■ Yes	Vo.
No			If No		
Blood in germinal matrix	Blood in ventricles	Intraparenchymal lesion	Blood in germinal matrix	Blood in ventricles	Intraparenchymal lesion
Present Suspected None Unknown	Present Suspected None Unknown	Present Suspected None Unknown	Present Suspected None Unknown	Present Suspected None Unknown	Present Suspected None Unknown
Ventricular enlargement None (<7 mm) Mild (7 to 10 mm) Moderate (11 to 15 mm) Severe (> 15 mm) Not measured Unknown		r leucomalacia (with cyst or confirmed by MRI)	Ventricular enlargement None (<7 mm) Mild (7 to 10 mm) Moderate (11 to 15 mm) Severe (> 15 mm) Not measured Unknown		
Resolved before discharge	Other brain le	sion (describe if present)	Resolved before discharge	Other brain le	esion (describe if present)
☐ Blood in germinal layer ☐ Thalamic in		nfarct	Blood in germinal layer	☐ Thalamic	infarct
☐ Blood in ventricle ☐ Cerebellum		-	Blood in ventricle		m hemorrhage
☐ Intraparenchymal lesion ☐ Ventricular enlargement	Conatal cy		Intraparenchymal lesion	☐ Conatal c	
ventricular enlargement	Porenceph Choriod pl		☐ Ventricular enlargement		naiy olexus cyst
	Calcification			☐ Calcificat	
	Other			Other	



Score the Neurological Findings portion of the screen based on all head ultrasounds, CT scans and MRIs done during this NICU admission and taken during the appropriate time periods. If you come across any serious outcomes, which are not included on the Neurological Findings & ROP screen mention them in "other" section. The following should not be scored here: "possible" or "questionable" diagnoses, subarachnoid hemorrhages, subdural hemorrhages, tentorial bleeds, fluid collections in the brain, arachnoid cysts, caudothalmic groove cysts, choroid plexus cysts, subependymal cysts or cysts other than those found in the brain parenchyma (the brain itself). If there are conflicting diagnoses, where available use autopsy findings as they are more reliable than diagnostic tests. Also note that MRI findings should be used over U/S findings.

See appendix III to confirm hemorrhages that are to be included under 'other diagnosis' on the diagnosis/procedures screen. Record only "congenital cerebral cysts" (found in the brain parenchyma) under congenital anomalies (nervous cyst); other cysts not mentioned below need not be scored.

INTRAVENTRICULAR HEMORRHAGE

- Score "questionable" and "possible" as None
- ❖ Score "suggestive of..." and "most likely..." as Suspected

Brain Lesions	Was any neuroimaging performed during infant's stay in NICU? Mark yes, no or unknown. Mark yes if you
(Ultrasound and/or	have report from autopsy of brain (mandatory field).
MRI)	
If Yes (both left	If it was performed, you will be asked to provide a report for both sides. If you report that it was abnormal,

and right brain)	then the following explanation will help you to fulfill appropriate boxes.
Findings on neuroimaging or autopsy	We are interested mainly in 5 types of cerebral ultrasound (or MRI) abnormalities, and any or all of these may be present at different times so you need to review all reports during the stay of an infant in the NICU and report any or all of these lesions if present at any time during the hospital stay.
	1. Evidence of blood in the germinal matrix or "germinal layer/matrix hemorrhage", "subependymal hemorrhage", "grade I intraventricular hemorrhage (IVH) (according to Papile)". This is usually seen in the first 7 days of life. It is recorded as "present", "suspected", "none", or "unknown" irrespective of the presence of other lesions.
	2. Evidence of blood in the ventricles or in the lining of the ventricles, also known as "intraventricular blood", "intraventricular hemorrhage", "grade II intraventricular hemorrhage (IVH) (according to Papile)". This is usually seen in the first 7 days of life. It is recorded as "present", "suspected", "none", or "unknown" irrespective of the presence of other lesions.
	3. Evidence of enlargement of the ventricular cavity (cavities) beyond the upper limits of normal (with or without intraventricular hemorrhage), also known as "hydrocephalus", "ventriculomegaly", "ventricular enlargement", "post-hemorrhagic hydrocephalus/ventriculomegaly", "grade III IVH (according to Papile)". If "grade III IVH" is reported indicate that both blood in the ventricles <i>and</i> ventricular enlargement are present. This is usually seen in the first 14 days, but may arise later. Document the most severe of the following:
	 a. "None": Measurement of ventricles is <7 mm at any level section of lateral ventricle. b. "Mild": Measurement is 7 to 10 mm at any level of the larger lateral ventricle. Use this if no mention is made of "ventricular enlargement", "ventriculomegaly" or "hydrocephalus", or if the most severe report is of "mild ventriculomegaly" or "mild ventricular enlargement", or if described as "suspected" c. "Moderate": Measurement is 11 to 15 mm at any level of the larger lateral ventricle on sagittal scan. Use this if the terms "grade III IVH", "ventricular enlargement", "ventriculomegaly" or "hydrocephalus" are used with "moderate", or with no descriptors. d. "Severe": Measurement >15 mm at any level of the larger lateral ventricle on a sagittal scan, or requiring ventricular drainage/shunting. If no measurement is made, document if the terms "severe" or "significant" are used to describe "grade III IVH", "ventricular enlargement", "ventriculomegaly" or "hydrocephalus". e. Not measured f. Unknown
	4. Evidence of focal intraparenchymal lesions (echodense or echolucent) in the white or grey matter (with or without intraventricular hemorrhage) also known as "parenchymal lesion", "parenchymal echodensity or echolucency", "focal infarction", "venous infarction", "intraparenchymal hemorrhage", "grade IV IVH (according to Papile)". Remember to indicate whether there is blood in the ventricles or ventricular enlargement if you document a focal lesion. This is usually seen in the first 14 days. A single "porencephalic cyst", or "cystic change" within a focal lesion also falls in this category – this should be distinguished from "cystic leukomalacia" which is more extensive, occurs later in age, is usually bilateral, and usually consists of multiple cysts.
	5. Evidence of diffuse brain lesions (usually echodense) in the white matter consistent with periventricular leukomalacia (PVL) or "white matter injury". To be "present" these <i>may</i> be confirmed by noticing development of multiple cysts on MRI or ultrasound ("cystic periventricular leukomalacia").
	Potentially all the boxes could be checked. When multiple ultrasounds have been taken, document all abnormalities noted. Note the highest degree of hemorrhage or lesions.
Other lesions	Mention any other lesions that were identified in the imaging or autopsy. Co-natal cyst is a term used for cystic lesions in the front of the lateral ventricles (frontal horn). The "Other" field can hold a maximum of 25 characters.
Resolved before discharge	These fields only become activated when corresponding indications are checked in previous selections. If from last imaging it is determined that there was resolution of abnormalities detected before, indicate here. If it is not known, leave boxes unchecked.

RETINOPATHY OF PREMATURITY (HIGHEST STAGE)

Was Screening	Mark yes, no or unknown. Patient may have been transferred to another facility before complete
LIONO	vascularization is noted. If one screen was performed in your hospital – mark it yes.
Done	If you select "no" or "unknown", a question will appear whether the reason for no is because patient died or
	was transferred to another facility prior to discharge (mandatory field).
	Do not record eye exams completed for purposes other than ROP Screening. You will know if the eye
	examination was performed for ROP Screening because the chart mentions any of the following (at least 1
	of, however, it is rare to see 1 without another): a) ROP, b) Zone, c) Stage, d) Plus Disease
Total # of ROP	Enter a numeric value greater than or equal to 1 (whole numbers only, two characters only).
Screening exams	Enter a value which represents a count or sum of the number of ROP Screening exams the patient
the patient	experienced while in your NICU. If a baby had two distinctly separate ROP Screening eye exams on the
received	same day, count each one. Count each ROP Screening exam as one event regardless of the number of eyes
I C/D: 1 · E	examined.
Left/Right Eye:	Selecting N/A in any field generates a default N/A selection for the other two. These selections, however, can be changed if desired. Maximum stage of retinopathy of prematurity (ROP) in left/right eye as defined by the
Stage	International Committee on Retinopathy of Prematurity (ICROP). Score according to the grade of ROP
	assigned on an eye exam done by an ophthalmologist. If there is no explicit grade listed, then score according
	to the descriptions given by the ICROP:
	The second process of
	-None denotes that there are no indications of an immature retina or ROP from the eye exam.
	-Stage 1 is characterized by a demarcation line between the normal retina near the optic nerve and the non-
	vascularized retina more peripherally.
	-Stage 2 ROP has a ridge of scar tissue and new vessels in place of the demarcation line. The white line
	now has width and height, and occupies some volume. It may take on a pink color as it becomes more
	vascularized. Small tufts of new vessels ("popcorn vessels") may appear posterior to the ridge.
	-Stage 3 ROP shows an increased size of the vascular ridge, with growth of fibro-vascular tissue on the ridge and extending out into the vitreous. Fibrous scar tissue is beginning to form in this stage, with
	attachments between the vitreous gel and the ridge.
	-Stage 4 refers to a partial retinal detachment. The scar tissue associated with the fibrovascular ridge
	contracts, pulling the retina away from the wall of the eye. There may also be an exudation of fluid under the
	retina, contributing to the detachment.
	-Stage 5 ROP implies a complete retinal detachment, usually with the retina pulled into a funnel-shaped
	configuration by the fibrovascular scar tissue. Eyes with stage 5 ROP usually have no useful vision, even if
	surgery is performed to repair the detachment.
	-Imm is the abbreviation for 'immature' retina. If a patient's eye exam states "imm" or "immature" look to
	see if this progresses further into a stage of ROP. If not, then score as 'imm'. - Score "N/A" if there is no eye exam during this hospital stay.
	- Score IVA II there is no eye exam during this hospital stay.
Left/Right Eye:	Record location of ROP in left/right eye by zone. Score according to eye exam having the greatest degree of
Zone Zone	ROP severity. Disease severity is worst in Zone 1 (optic disk to macula), very serious in Zone 2, (macula to
	periphery) and worrisome in Zone 3 (peripheral vision). If there is no eye exam or if stage is scored as
	"none", score as "N/A".
Left/Right Eye:	Presence of plus disease at any stage of ROP in the left/right eyes. Plus disease is indicated by extreme
Plus	tortuosity and redness of vessels, often accompanied by rapid progression of ROP disease. If an eye exam
W DOD	was completed, but there is no eye exam results available, score as "N/A".
Was ROP Treatment given?	Mark yes, no or unknown. If yes, complete the following sections about ROP treatment.
Treatment given? ROP Treatment	Record any complications associated with ROP Treatment.
Complications	None: Check this if no complication is recorded.
F	• Infection: Record any ocular infection that occurred within 2 weeks of ROP treatment. e.g.
	conjunctivitis, chorioretinitis, ophthalmitis etc.
	Hemorrhage: Record any intra-ocular haemorrhage that occurred within 2 weeks of ROP
	treatment. i.e. conjunctival, retinal, vitreal etc.
	• Unknown: Check this if data is not available or not known.
	• Other: Check this if a complication arose within 2 weeks of ROP treatment that is a result of the
	ROP treatment. Specify the complication using the free text box.
ROP Treatment(s)	ROP treatment. Specify the complication using the free text box. ROP Treatment(s) should also be scored as Operations/Procedures > "Others" on the Diagnosis/Procedures screen.

ROP Treatment Details

Date – Please enter the date on which the ROP treatment occurred. Enter by selecting from the calendar displayed or by typing in the date in the format YYYYMMDD. If the patient had ROP treatment on two different dates (example two different types of treatment or different eyes on different days), use a different line for each treatment date.

Type – Record the type of treatment using the drop down selections as follows:

- Laser Laser surgery for ROP (also known as diode laser or argon laser)
- **VEGF Blocker** VEGF Blockers for ROP (also known as anti-VEGF, VEGF Inhibitors, Macugen c [Pegaptanib Sodium], Lucentis c [Ranibizumab], and Avastin c [Bevacizumab])
- *Other Surgery* Retinal detachment surgery (seen on the operative record notes)
- Unknown

Eye(s) – Select the eye or eyes on which the ROP treatment occurred. Record "Right" or "Left", or record "Both" if both eyes treated with the same type of treatment and, if VEGF, the same type and dose of medication administered. If both eyes were treated on the same day with different treatment types and/or different type or dose of VEGF blockers, use more than one line and record the treatments separately. If one or both eyes were treated more than once in one calendar day, use more than one line to record all treatments.

VEGF Details

Type – Specify the type of VEGF Blocker used for ROP. These are also known as anti-VEGF or VEGF Inhibitors. If both eyes were treated with a different type of VEGF blocker on the same day, then use one line to record the left eye and one line to record the right eye noting the correct VEGF blocker type for each treatment.

- Avastin © [Bevacizumab]
- Lucentis © [Ranibizumab]
- Macugen © [Pegaptanib Sodium]
- Other
- Unknown

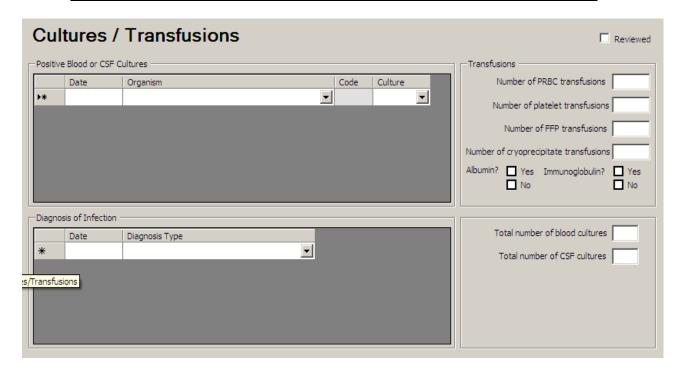
Dosage (mg) – Specify the dosage of the VEGF blocker used in milligrams (mg). If the dosage is unknown record 0.0 mg. If both eyes were treated with VEGF blockers on the same day with the same drug but with a different dosage in each eye, then use one line to record the left eye and one line to record the right eye noting the correct dosage for each treatment.

Left/Right Eye: Last Exam Result before Treatment

Record the last ROP screening result available from the most recent exam just before this ROP treatment regardless of if it was the worst or not the worst reported eye result. The results of this eye exam often lead to the decision to treat the patient. Record the Left and the Right eye exam result using the Stage, Zone and Plus results.

- Left/Right Stage Record severity of ROP in left/right eye by stage.
- Left/Right Zone Record location of ROP in left/right eye by zone.
- *Left/Right Plus* Record the presence of plus disease at any stage ROP.

SCREEN 12: CULTURES/TRANSFUSIONS SCREEN DEFINITIONS



POSITIVE BLOOD OR CSF CULTURES

- * Record only positive cultures that occur at your study hospital. If a blood culture is drawn at another hospital prior to this admission visit it should *not* be recorded. However, if this culture is positive AND the baby is considered to have an infection at the time of arrival to your hospital, this should be recorded as an infection episode under diagnosis of infection (given that this is an ongoing concern of the patient). In this instance, record the infection type according to the definitions of infection diagnosis listed in appendix V, and make a note in the comments box regarding the details of the positive culture including the organism discovered.
- Enter positive blood cultures found on **autopsy** here, and enter date of death as date of the blood or CSF draw.
- ❖ Admissions to NICU from your ER Department: Include positive cultures drawn in the emergency department of your hospital immediately prior to admission to NICU. Record the appropriate date of the culture (this may be the day before your admission date for those who are admitted after midnight.) These should also be counted in the "Total # of blood cultures" and "Total # of CSF cultures".

Date	For each positive blood or CSF culture, record the date of the blood draw, NOT the date the culture was found to be positive. Only positive cultures are listed in detail. Negative cultures are to be included in counting the total number of blood/CSF cultures, but are not listed in detail. Enter the date using the pull-down calendar. If date unknown leave the field blank.
Organism	Select the organisms found in all positive cultures from the scroll down list. For a list of organism names listed in alphabetical order with their coded abbreviations see Appendix VI. If an organism does not appear in the scroll down list, contact the CC to have it coded. Record all positive cultures even if noted or thought to be contaminants. If multiple organisms are found in the same culture, enter each organism separately on a new line in the table.
	Do not record repeat cultures that are considered part of the same infection. Therefore, a second positive blood culture containing the same organism (repeat culture) is NOT included if the culture date is within 7 days of the initial positive blood culture. However, a positive CSF culture OR a positive blood culture containing a new organism is included, even if the culture date is within 7 days of the initial positive blood culture. Any positive culture drawn after 7 days is considered a new episode of infection and should be included (regardless of the type of organism). If patients are transferred in with a positive culture, do not record here, but make a note in the comments box. Do not record information about resistance to antibiotics.

Code	An organism code is automatically entered once an organism has been selected. It has been included to easily identify contaminants. A <i>contaminant</i> is defined as 2 or more organisms identified in a single culture or more than 1 culture if the cultures are taken on the same day. Remember to include all positive cultures, even if noted to be contaminants. (This will become more useful in identifying valid infection diagnoses in the following section "Diagnosis of Infection".)
Culture	Source of positive culture. Choose from <i>blood or CSF</i> (cerebrospinal fluid).

TRANSFUSIONS

Include transfusions that took place in the Operating Room.

Number of PRBC	Include total number of Packed Red Blood Cell transfusions during infant stay. Does NOT include whole
Transfusions	blood transfusions. Enter zero if none occurred. Leave the field blank only if the answer is unknown.
Number of platelet	Include number of platelet transfusions during infant stay. Enter zero if none occurred. Leave the field blank
transfusions	only if the answer is unknown.
Number of FFP	Include number of Fresh Frozen Plasma transfusion during infant stay. Enter zero if none occurred. Leave the
transfusions	field blank only if the answer is unknown.
Number of	Include number of cryoprecipitate transfusion during infant stay. Enter zero if none occurred. Leave the field
cryoprecipitate	blank only if the answer is unknown.
transfusions	
Albumin	Score yes if 5% or 25% albumin is infused.
Immunoglobulin	Did patient receive immunoglobulin during stay? Infant may have received generic hyperimmune
	immunoglobulin for hyperbilirubinemia, thrombocytopenia. Do not include specific immunoglobulin such as
	Hepatitis B immunoglobulin (mandatory field).

DIAGNOSIS OF INFECTION

An "episode" of infection is defined as any event where infection is suspected and as a result begins with:

- (a) bacteriological or viral samples taken; OR
- (b) antibiotics are initiated; OR
- (c) a diagnosis of infection is made.

If samples are taken: an "episode" of infection includes all cultures drawn within 7 days of the initial positive culture, except in the following circumstances (which indicate then a new infection episode):

- 1. A new organism is drawn in a repeat culture within 7 days of the initial culture draw date.
- 2. The same (or new) organism is drawn in a *new type* of culture within 7 days of the initial culture draw date (provided neither the initial nor the new culture are blood cultures).

After 7 days any persistent infection should be considered a new infection episode. However, any repeat culture after 7 days that proves to be negative should be excluded entirely as it is neither the start of a new episode nor part of the previous episode (as it is beyond the 7 day limit).

CENTRAL NERVOUS SYSTEM INFECTION: Includes meningitis, ventriculitis, spinal abscess without meningitis and brain abscess (ie. epidural abscess).

Meningitis or ventriculitis must meet the following criterion:

a) A pathogen must be isolated from or detected in CSF.

PRIMARY BLOODSTREAM INFECTION: Includes laboratory-confirmed bloodstream infection and clinical sepsis.

Laboratory-confirmed bloodstream infection must meet one of the following criteria:

- 1. Recognized pathogen isolated from a blood culture *where* pathogen is not related to infection at another site. ¹
- 2. One of the following: fever (>38°C), chills, or hypotension *and* any of the following:
 - a) Common skin contaminant² isolated from 2 blood cultures drawn on separate occasions and where the organism is not related to infection at another site¹
 - b) Common skin contaminant isolated from patient's blood culture with intravascular access device and physician institutes appropriate antimicrobial therapy for at least 5 days
 - c) Common skin contaminant isolated from patient's blood culture and physician institutes appropriate antimicrobial therapy for at least 5 days
 - d) Positive antigen test on blood³ and organism is not related to infection at another site
- 3. Patient is less than or equal to 12 months of age and has one of the following: fever (>38°C), hypothermia (<37°C), apnea, or bradycardia *and* any of the following:
 - a) Common skin contaminant² isolated from 2 blood cultures drawn on separate occasions and where the organism is not related to infection at another site¹
 - b) Common skin contaminant isolated from patient's blood culture with intravascular access device and physician institutes appropriate antimicrobial therapy for at least 5 days
 - e) Common skin contaminant isolated from patient's blood culture and physician institutes appropriate antimicrobial therapy for at least 5 days

Positive antigen test on blood³ and organism is not related to infection at another site

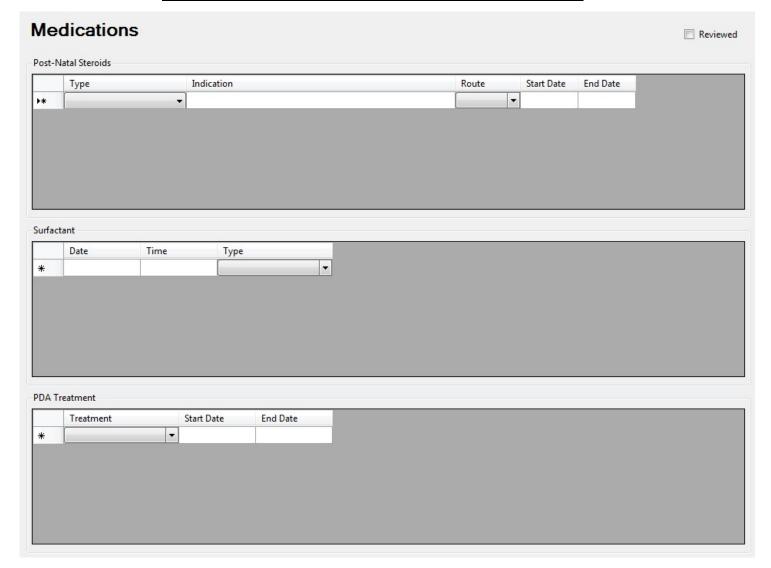
Date	Record the date of all diagnoses of infection. Enter the date using the pull-down calendar. If the date of infection is unknown record the date treatment began (i.e. day antibiotics initiated), otherwise leave the field blank. If the infection began at a previous hospital, but is still considered an ongoing concern at the time of arrival to your hospital, enter the date of admission as the infection date.
Diagnosis Type	Select the diagnosis type for each episode of infection from the scroll down list according to the descriptors of infection types given in appendix V.
Total # of blood cultures	Total count of all blood culture draws (regardless of whether culture is positive or negative) received by the clinical laboratory during this NICU admission. Two blood cultures taken at the same time from different sites (2 blood draws) count as two blood cultures. Two bottle aerobic/anaerobic combination count as 1 culture (1 blood draw). Also include blood cultures drawn in the ER if the patient is then admitted to the NICU. Includes blood cultures drawn for serology only.
Total # of CSF cultures	Total number of CSF culture draws (regardless of whether culture is positive or negative) received by the clinical laboratory during this NICU admission. If CSF is obtained without culture, do not include. Also include CSF cultures drawn in the ER if the patient is then admitted to the NICU.

¹ When an organism isolated from a blood culture is compatible with a related nosocomial infection at another site, the bloodstream infection is classified as a secondary bloodstream infection. Exceptions to this are intravascular device-associated bloodstream infections, all of which are classified as primary even if localized signs of infection are present at the access site.

² Organisms that are normal skin flora (eg. diphtheroids, Bacillus sp., Propionibacterium sp., coagulase-negative staphylococci, or micrococci).

³ Detection of bacterial, fungal, or viral antigen (eg. *Candida sp.,herpes simplex, varicella zoster, Haemophilus influenzae, streptococcus pneumoniae, Neisseria meningitidis, group B strptococci*) by rapid diagnostic test (eg. counterimmunoelectrophoresis, coagulation, or latex agglutination)

Screen 13: Medications Screen definitions



Refer to appendix I for a complete list of drugs and the categories they are classified under. If you are unsure what category a medication should be classified under contact the CC for advice. Record each complete course of a particular medication as a single line. Therefore if Dexamethasone is given for 11 days but only given every other day, this would be scored as 1 'course', and each day need **not** be scored separately on a new line. Generally if a medication is stopped for more than 48 hours and restarted, this is considered the start of a new 'course'..

If a patient is transferred in on a particular medication, record the date of admission to your unit as the start date. *If a patient is transferred out* while still receiving a particular medication, record the discharge date as the end date.

POST-NATAL STEROIDS

Туре	Select from the scroll down list the type of post-natal steroid administered. Common steroids include: <i>dexamethasone</i> , <i>budesonide</i> , <i>hydrocortisone and beclamethasone</i> . If a steroid is not included in the list, select "Other"
Indication	Select indication from the list. Select "other" when an indication is not in the list and "unknown" when not specified. The list of relevant items will be displayed for the given selected steroid type.
Route	Select route of administration from the pull-down list. If the route is IM, select "parenteral".

Start Date	First date of administration of all post-natal steroids given during this hospital admission. Record regardless of method of administration (i.e. bolus or infusion). <i>Do NOT score steroids given to the mother prior to the infant's birth</i> . Enter the date using the pull-down calendar.
End Date	Last date of administration of the listed steroid. Enter the date using the pull-down calendar. <i>If a medication</i> is only given for 1 day score that day as both the start and end date.

SURFACTANT

Here you will enter date, time and type of surfactant administration.

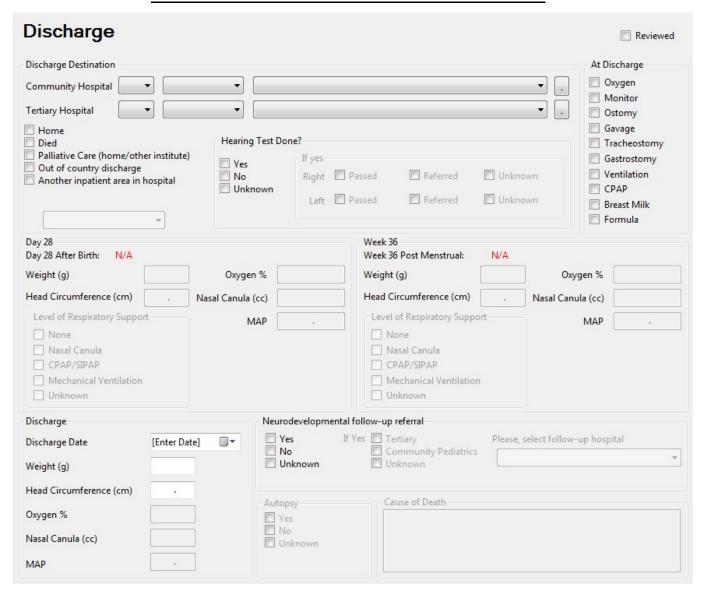
Once you enter details of first dose a second row will be populated which will allow you to enter subsequent doses if patient has received. Note that date of second dose could not be prior to first dose.

Date of administration	Enter the calendar date in which the dose of surfactant was given (regardless of whether the dose was given while the infant was admitted to your NICU or not). The default date of the calendar will be set to the birth date, however if this is not correct, you will need to edit this date. If the date on which surfactant is given is unavailable, leave the field blank.
Time of Dose	Record the time in <i>military time</i> (24 hr clock) at which the dose of the particular surfactant was given (should correspond to the date listed above). Record midnight as 00:00 (and the first minute of a new day; for example: 23:59 on Jan 3 rd and 00:00 on Jan 4 th is 1 minute later). If the time at which surfactant is given is unavailable, leave blank.
Туре	Score all surfactants (i.e. BLES, Survanta, Exosurf, etc.) given to a patient using the pull-down menu. <i>Score regardless of whether given at your hospital or at a different transferring/birth hospital</i> . If surfactant was given at a previous hospital record all the information below if available.

PDA TREATMENT

Treatment	Select the type of treatment from scroll down list. Options include Acetaminophen, Ibuprofen and Indomethacin.
Start Date	First date of administration of the PDA treatment during this hospital admission. Enter the date using the pull-down calendar.
End Date	Last date of administration of the PDA treatment. Enter the date using the pull-down calendar. If the medication is only given for 1 day score that day as both the start and end date.

SCREEN 14: DISCHARGE SCREEN DEFINITIONS



Complete this screen for all patients that are discharged from your NICU, regardless of whether or not they are transferred out of your hospital or to another ward/nursery within your hospital. If a patient is transferred within your hospital enter your hospital name and the nursery name under the appropriate level of care received there. If a patient is *discharged to another hospital for less than 24 hours for either surgical or medical care* that can not be given at your hospital, you need *not* count them as a discharged patient. Mention "other facility" in Patient Chart screen and continue data collection for the rest of the patient's hospital stay in the current data set. If however the patient is discharged for more than 24 hours, complete the discharge information and record their return as a readmission, entering the remainder of the hospital stay in the new 'readmission' data set.

Discharge	Indicate the destination on discharge from your NICU.
Destination	
	Select "Community Hospital" if: the baby was transferred to any term (level 1/level II/regular/healthy baby) nursery/ community hospital. Select from drop-down list (in which case you should follow up on this baby with the post-transfer screen, if possible).
	Score "Tertiary Hospital" if: the baby was transferred to one of the other study sites (in which case you should follow up on this baby with the post-transfer screen) or to another tertiary care centre. Select from drop-down list.
	Score "Home" if: the baby was discharged home (or into home foster care) from your NICU. If the baby is

	discharged into foster care it is <i>not</i> necessary to make a note in the comments box. We are only interested in the type of care the baby is to receive, i.e. home care versus hospital care. However, if the infant is discharged home on palliative care, record this as palliative care.
	Score "Died" if: the baby died during this hospital stay.
	Score "Palliative Care" if: Patient was discharged to home or other institution for palliative care.
	Score "Out of Country discharge" if: Patient was discharged to a facility outside of Canada or home outside of Canada
	Score "Another inpatient area in hospital" if: Patient was discharged to an inpatient area within your hospital. Selection of this field will activate the Post Transfer screen. The list of places are as follows:
	1. PICU/PCCU 2. L2N/SCN 3. Operation Room 4. CCU/CCCU
	5. Labor & Delivery6. Mother/Baby unit7. Pediatric Ward
	8. Others 9. Unknown
	If the baby was transferred to the OR, and does not return to the NICU (i.e. discharged from the NICU on the way to the OR), enter as OR (at your hospital), but do not score this operation on the diagnosis and procedures screen.
Hearing Test Done	Mention the results of hearing test if it was done in your hospital (mandatory field).

SUPPORT AT DISCHARGE

If the infant was not on any of the supports listed below at the time of discharge or transfer, leave this section blank. *Do NOT mark anything in this column if the baby died.*

Oxygen	Score this if the patient is on <i>continuous oxygen</i> /supplemental oxygen (FiO2 >21%) <i>at the time of discharge/transfer. Do not score</i> blow-by oxygen or nasal cannula oxygen given for feeds only as this is not a form of continuous oxygen.
Monitor	Score this if the patient is receiving continuous cardiac or apnea monitoring <i>at the time of discharge/transfer</i> . If the chart does not specify and discharge is to a level 2 or 3 community hospital, score monitor at discharge. If the chart does not specify and discharge is to the routine (level 1) nursery, do not score. If discharged home, there must be clear evidence of plans for home monitoring to score this item.
Ostomy	Score this if the patient has any ostomy (ileostomy or colostomy, but not tracheostomy or gastrostomy) at the time of discharge/transfer.
Gavage	Score this if the patient received gavage feeding (any PG or NG feeds) at any time in the 24 hours prior to discharge/transfer. If you are already scoring gastrostomy at discharge, do not score gavage at discharge as well.
Tracheostomy	Score this if the patient has a tracheostomy in place at the time of discharge/transfer.
Gastrostomy	Score this if the patient has a gastrostomy in place at the time of discharge/transfer.
Ventilation	Score this if patient is receiving artificial or mechanical ventilation at the time of discharge. Do not score this if patient died while on ventilator. Note that only one of "ventilation" and "CPAP" can be scored.
СРАР	Score this if the patient is on CPAP (nasal, facial or endotracheal) at the time of discharge/transfer. Do not score if the patient died while on CPAP. Score this if patient is on High Flow at the time of discharge/transfer.

Breast Milk	Record if patient was receiving any breast milk in the previous 24 hours of discharge. If only powder
	supplements are being added to breast milk and there are no liquid supplements (other than those who use the
	Similar version of HMF which is liquid), then it should be classified as breast milk.
Formula	Record if patient was receiving any formula in the previous 24 hours of discharge

DAY 28 / WEEK 36 / DISCHARGE

The scoring period for "day 28" data should be from 00:00 hr on day 28 of life to 23:59 hr (24 hours). For your convenience the calendar date of day 28 has been calculated and is listed in red. If you are missing part of the day 28 flow sheet and you can get accurate information from other sources (i.e. progress notes), then score based on these other sources. Otherwise, you should use the closest complete 24 hour scoring period (it is okay to adjust times of day), but it should not be more than 48 hours off from day 28.

The scoring period for "week 36" data should be from 00:00 hr on day one of **week 36** to 23:59 hr (24 hours). Otherwise, you should use the closest complete 24 hour scoring period (it is okay to adjust times of the day). For your convenience, the calendar date of the first day of week 36 has been calculated where appropriate and is displayed in red on the right upper corner of this screen.

Week 36 data need never be collected if the gestational age (see above for which gestational age to use) is 32 weeks or more. Week 36 also need not be collected if the baby is discharged home, to a level 1 nursery, or if the patient dies before 36 weeks. In these instances, the Week 36 weight and head circumference will be grayed out and you will not need to enter anything under this section. If a baby is transferred to another level 2 or level 3 hospital before week 36 where available, score according to the receiving hospital's records.

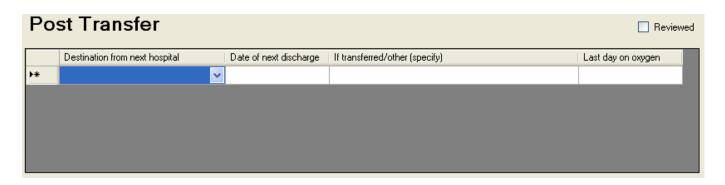
Date	These dates will be automatically calculated from the admission screen (mandatory field).
Discharge Date	Record the infant's date of discharge.
Weight	Record infant's weight nearest day 28, week 36 and discharge (within 2 days). If not weighed or data not available, leave the field blank.
Head	Record infant's head circumference nearest day 28, week 36 and at discharge (within 7 days). If the
circumference	information is unavailable, or if head circumference is not measured within 7 days, leave the field blank.
Level of	Score "None" if no respiratory support was being used or if the baby was only receiving humidity for
Respiratory	tracheostomy patients at day 28 or week 36 as appropriate.
Support	Score "Nasal cannula" if the baby is receiving air and /or oxygen via nasal cannula. This also includes "High
	Flow Nasal Prongs (HFNP)" and "low flow air" (item #8) as defined on the Patient Chart screen.
	Score "CPAP/SiPAP" if the baby is on CPAP/ SiPAP as defined on the Patient Chart Screen as "NI
	Ventilation" (item #4) or "CPAP" (item #5). This includes high flow.
	Score "Mechanical Ventilation" if baby was receiving mechanical ventilation as defined on the Patient Chart
	Screen as "HFV (item #2) or "IPPV" (item #3). Route must be endotracheal tube or tracheostomy tube.
Oxygen %	Record the level of oxygen percentage on day 28 or week 36 as appropriate and at discharge. Please enter
	maximum oxygen percentage given on that day between 21 and 100. Enter 1.00 if patient is on oxygen via
	nasal cannula.
Nasal Cannula	Record the flow of gas in cc per minute given via the nasal cannula on day 28 or week 36 as appropriate and at
(cc)	discharge. (maximum value 10,000 cc)
MAP	Record the highest mean airway pressure given on day 28 or week 36 as appropriate and at discharge. If baby is on CPAP record the CPAP level as MAP.

Neuro-	This field is applicable to babies less than 29 weeks GA and for the purpose of linking to CNFUN babies.	
developmental	Record whether the infant was referred to a neonatal follow-up clinic for neurodevelopmental assessment.	
follow-up	Select yes, no or unknown.	
referral	If yes, select whether it was a tertiary hospital, community pediatrics or location unknown.	
	If tertiary was selected, choose from the list of CNFUN sites.	
	Do not score neurodevelopmental follow-up at neurology clinic.	

If the death is selected as disposition of the infant during this admission, then the following screen will be activated and require completion.

Autopsy	Record whether autopsy consent was obtained. This information will be used to recall charts later to verify causes of death.
Cause of death	Record the principle cause of death as stated by the attending physician or autopsy findings (maximum 255
	characters). Where there is a discrepancy, ask the physician to verify the cause of death. <i>Use underlying</i>
	diagnoses, NOT terminal events like "cardiac arrest." Field is only activated if "Died" was selected from the
	Discharge Destination field and it becomes mandatory in this case.

SCREEN 15: POST TRANSFER SCREEN DEFINITIONS



Complete this screen only for patients that are discharged to another level 2 or level 3 nursery from your NICU. If patients are discharged home or to a level 1 nursery from your NICU leave this screen blank. Once a patient has been classified as being included under 'post-transfer' then you should continue to complete this screen for each time there is a transfer, until the patient is finally discharged home or to a level 1 nursery. If the information for certain items will never be available (e.g. the baby has been discharged from the next hospital and they do not have the oxygen information anymore), score these items as "unknown" or leave the field blank where appropriate.

Destination from next hospital	Record destination on discharge from second hospital here. If second discharge is to another hospital or the baby died at the subsequent location <i>score</i> "other" and record the destination/death in the "if transferred/other (specify)" box. If second discharge is unknown score as "unknown or N/A". If baby has died, select "Death".
Date of next discharge	Record the date of discharge from the subsequent location. If that discharge was to a destination other than home, record the next discharge information again in the box that pops up below.
If transferred/other, specify	If discharge destination is other than home, record destination from second hospital here.
Last day on oxygen at hospital	If the infant was still on oxygen at the time of the primary discharge, attempt to ascertain from the receiving hospital what day supplemental oxygen was finally discontinued. If the baby did not receive O2 at the receiving hospital, leave blank. If the baby went home or to another hospital/nursery on O2 and last day on O2 is unknown, use the date of discharge.

SCREEN 16: VALIDATE CASE SCREEN DEFINITIONS



Here you can enter any notes that you did not have enough room previously to enter (maximum characters=255). Press "Validate Case" for an error report that will highlight missing, inaccurate or conflicting data and dates, or an acknowledgement of a correctly entered case.

You can print off a status report if you so desire by selecting the "Print Status" link to the upper right of the "Validate Case" button.

APPENDIX I

CNN / EPIQ DRUG CLASSIFICATION LIST

CNN medications include only those drugs classified as: nitric oxide, narcotics, sedatives, muscle relaxants, inotropes, caffeine, antibiotics, post-natal steroids, surfactant.

DRUG NAME	CLASSIFICATION	Description
ACETAMINOPHEN (Tylenol)	ANALGESIC	NOT SCORED
ACETAZOLAMIDE	DIURETIC	Score as Diuretic on NTISS screen only
ACYCLOVIR	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
ADENOSINE	NOT SCORED	this is not inotrope
ALBUTEROL	BRONCHODILATOR	NOT SCORED (bronchodilator)
ALDACTAZIDE	DIURETIC	Score as Diuretic on NTISS screen only
ALDACTONE	DIURETIC	Score as Diuretic on NTISS screen only
ALFENTANYL	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
ALLOPURINOL	NOT SCORED	
AMICAR	NOT SCORED	
AMIKACIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
AMINOPHYLLINE	RESPIRATORY STIMULANT	Score as Caffeine on Patient Chart Screen and NTISS Screen
AMIODARONE	ANTIARRYTHMIC, CARDIOTROPIC	NOTSCORED
AMOXICILLIN (same as Amoxil)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
AMPHOTEROCIN B	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
AMPICILLIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
ANCEF	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
ANECTINE (same as Succynylcholine)	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
ANESTHESIAS	NOT SCORED	
ASPIRIN	NOT SCORED	
ATIVAN (same as Lorazepam)	SEDATIVE, ANTICONVULSANT	SEDATIVE, Score as sedative on patient chart screen
ATRACURIUM	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
ATROPINE	NOT SCORED	
ATROVENT	BRONCHODILATOR	NOT SCORED (bronchodilator)
AZT (same as Retrovir and Zidovudine)	HIV medication	Score as Antibiotic on Patient Chart Screen and NTISS Screen
BACITRACIN	NOT SCORED	
BACTIGRAS	NOT SCORED (topical dressing)	
BACTRIM (same as Septra)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
BACTROBAN (same as	NOT SCORED (topical	
Mupirocin)	antibiotic)	
BECLOMETHASONE	STEROID	Score as Post-Natal Steroid on Medications Screen
BECLOVENT PUFFS	STEROID	Score as Post-Natal Steroid on Medications Screen
BENADRYL (same as Diphenhydramine)	NOT SCORED	

BLAXIN (same as Clarithromycin)	BETAMETHASONE	STEROID	Score as Post-Natal Steroid on Medications Screen
BICITRA NOT SCORED Oucidosis treatment) BLES SURFACTANT SCORE SCORE SOUR SURFACTANT AND SCORED AS SURFACTANT SCORE SOUR SELES SURFACTANT SCORE SOUR SOUR SELES SURFACTANT NOT SCORED AND SCORED CAFFEINE RESPIRATORY STIMULANT CAFFEINE RESPIRATORY STIMULANT SCORED CAPTOPRIL NOT SCORED (antihypertensive agent) CARNITINE NOT SCORED (antihypertensive agent) CEFAZOLIN ANTIBIOTIC SCORE SANTIBIOTIC SCORE SANTI	BIAXIN (same as Clarithromycin)	ANTIBIOTIC	
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	COSYNTROPIN	STEROID	·
Septra) Screen	•		
CREON 8 NOT SCORED		NOT SCORED	

CROMOLYN (same as Intal)	NOT SCORED (antihistamine)	
CTZ (CHLORTHIAZIDE)	DIURETIC	Score as Diuretic on NTISS screen only
CUROSURF	SURFACTANT	Score as Surfactant - Other on Medications Screen
CYCLOPENTOLATE	NOT SCORED	
D10W BOLUS	NOT SCORED	
DECADRON	STEROID	Score as Post-Natal Steroid on Medications Screen
DEXAMETHASONE	STEROID	Score as Post-Natal Steroid on Medications Screen
DEXMEDETOMIDINE	SEDATIVE	Score as Sedative on Patient Chart Screen
DIAMOX	DIURETIC	Score as Diuretic on NTISS screen only
DIAZEPAM (same as Valium)	SEDATIVE,	Score as Sedative on Patient Chart Screen if used as
DIAZEPAW (same as vanum)	ANTICONVULSANT	sedative and not as anticonvulsant, If uncertain as to reason score as sedative
DIAZOXIDE	NOT SCORED (antihypertensive agent)	
DIGOXIN	NOT SCORED (anti- arrhythmia agent)	
DILANTIN (same as Phenytoin)	NOT SCORED (anticonvulsant)	
DIPHENHYDRAMINE (same as Benadryl)	NOT SCORED	
DITROPAN	NOT SCORED	
DIURIL	DIURETIC	Score as Diuretic on NTISS screen only
DOBUTAMINE	PRESSOR	Score as Inotropes on Patient Chart Screen
DOMPERIDONE	NOT SCORED (acid reflux med.)	
DOPAMINE	PRESSOR	Score as Inotropes on Patient Chart Screen
DOXAPRAM	NOT SCORED	_
DPH (DIPHENYL	NOT SCORED	
HYDANTOIN)	(anticonvulsant)	
DTO (DILUTE TINCTURE OF OPIUM)	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
EDECRIN	DIURETIC	Score as Diuretic on NTISS screen only
ENERGIX	NOT SCORED	
ENOXAPARIN	NOT SCORED (anti- coagulant agent)	
EPINEPHRINE (EPI DRIP)	PRESSOR	Score as Inotropes on Patient Chart Screen
ERYTHROMYCIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
ERYTHROMYCIN EYE OINTMENT	NOT SCORED	
ETHACRYNIC ACID	DIURETIC	Score as Diuretic on NTISS screen only
EXOSURF	SURFACTANT	Score as Surfactant - Other on Medications Screen
FENTANYL	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
FERRINSOL	NOT SCORED	
FILGRASTIM	NOT SCORED	
FLAGYL (same as Metronidazole)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
FLAMAZINE	NOT SCORED (topical	Beteen
	antibiotic)	
FLORANE	NOT SCORED	
FLORINEF	STEROID	Score as Post-Natal Steroid on Medications Screen
FLOVENT (same as flonase,	STEROID (inhaled)	Score as Post-Natal Steroid on Medications Screen
, , , , , , , , , , , , , , , , , , , ,	79	

fluticasone)		
FLUCONAZOLE	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
· - 		Screen
FLUCYTOSINE	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
FLUDROCORTISONE	STEROID	Score as Post-Natal Steroid on Medications Screen
FLUTICASONE	STEROID	Score as Post-Natal Steroid on Medications Screen
FOLIC ACID	NOT SCORED	
FORANE (Anaesthetic)	NOT SCORED	
FUROSEMIDE	DIURETIC	Score as Diuretic on NTISS screen only
GANCYCLOVIR	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen and NTISS
		Screen
GARAMYCIN	NOT SCORED (topical)	
GARAMYCIN OPHTHALMIC	NOT SCORED	
OINTMENT	(topical)	
GARASONE OPHTHALMIC	NOT SCORED	
OINTMENT	(topical)	
GENTAMYCIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
GENTAMYCIN EYE DROPS	NOT SCORED	
GLUCAGON	NOT SCORED	
GLYCERINE SUPPOSITORY	NOT SCORED	
HALOTHANE (Anaesthetic)	NOT SCORED	
HCTZ	DIURETIC	Score as Diuretic on NTISS screen only
(HYDROCHOLORTHIAZIDE)		,
HEPARIN	NOT SCORED	
HEPATITIS VACCINE	NOT SCORED	
HOMOTROPINE	NOT SCORED	
HYDRALAZINE	NOT SCORED	
HYDROCHOLORTHIAZIDE	DIURETIC	Score as Diuretic on NTISS screen only
(HCTZ) (same as hydrodiuril)		
HYDROCORTISONE	STEROID	Score as Post-Natal Steroid on Medications Screen
HYDROCORTISONE CREAM	NOT SCORED	
HYDRODIURIL (same as	DIURETIC	Score as Diuretic on NTISS screen only
hydrocholorothiazide)		
IBUPROFEN	COX INHIBITOR	If Prophylactic, score on Diagnosis / Procedures Screen
IMIPENEM	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
INDERAL (same as Propanolol)	NOT SCORED (anti- arrhythmia agent)	
INDOCIN (same as Indocid)	INDOMETHACIN (for	Score on NTISS screen
, , , , , , , , , , , , , , , , , , , ,	PDA treatment-score	If Prophylactic, also score on Diagnosis / Procedures
	on D&P screen)	Screen
INDOMETHACIN	INDOMETHACIN	Score on NTISS screen If Prophylactic, also score on Diagnosis / Procedures Screen
INFASURF	SURFACTANT	Score as Surfactant - Other on Medications Screen
INHALED STEROIDS	STEROID	Score as Post-Natal Steroid on Medications Screen
INSUFLON	NOT SCORED	Secretary 1 ost 1 man storon on medications serven
INSULIN	NOT SCORED	
INTAL (same as Cromolyn)	NOT SCORED	
INTAL (same as Cromoryn)	(antihistamine)	
IRON, ELEMENTAL	NOT SCORED (given	
,	for anemia)	
	70	1

ICO (ICOEL HODINE) : 1 -1 -1 -1	NOT CCORED	
ISO (ISOFLUORINE) inhalation (Aneasthetic)	NOT SCORED	
ISOFERAN	NOT SCORED	
ISONIAZID	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
		Screen
ISOPROTERENOL	BRONCHODILATOR	NOT SCORED (bronchodilator)
ISUPREL	NOT SCORED	
IV BICARBONATE (NEUT OR	NOT SCORED	
THAM)	(acidosis treatment)	
KEFLEX	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
KEFZOL	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
KETAMINE (Anesthetic)	SEDATIVE	Score as sedative on Patient Chart Screen
KETOCONAZOLE	NOT SCORED (anti-	Score as Antibiotic on Patient Chart Screen and NTISS
	fungal agent)	Screen
L-ARGININE	Prophlactic for NEC	If Prophylactic, Score on Diagnosis / Procedures Screen
LASIX	DIURETIC	Score as Diuretic on NTISS screen only
LEBETALOL	NOT SCORED	
	(vasodilator)	
LEUCOVORIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
LIDOCAINE (Anesthetic)	NOT SCORED	
LINEZOLID	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
LORAZEPAM (same as Ativan)	SEDATIVE,	Score as Sedative on Patient Chart Screen if used as
, , , , , , , , , , , , , , , , , , ,	ANTICONVULSANT	sedative and not as anticonvulsant, If uncertain as to
		reason score as sedative
LUGOL'S SOLUTION (same as Potassium Iodine)	NOT SCORED (anticonvulsant)	
MAGNESIUM SULFATE	DIURETIC	
MEPERIDINE	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
MEROPENEM	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
NEW ADOME	NA P COMYC	Screen
METHADONE	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
METHYLPREDNISOLONE	STEROID	Score as Post-Natal Steroid on Medications Screen
METHYLPREDNISONE	DIURETIC	Score as Post-Natal Steroid on Medications Screen
METICILLIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen
METRONIDAZOLE (same as	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
Flagyl)		Screen
MEZLOCILLIN	SEDATIVE	Score as Sedative on Patient Chart Screen
MIDAZOLAM	SEDATIVE	Score as Sedative on Patient Chart Screen
MILRINONE	PRESSOR	Score as Inotropes on Patient Chart Screen
MIVACURIUM	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
MOGADON (same as	NOT SCORED	
Nitrazepam)	(anticonvulsant)	d id I d i D i Dayson o
MORPHINE	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
MORPHINE SULFATE (same as	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen
MSO4)		If Infusion, also Score as Narcotic on Patient Chart Screen
MUPIROCIN (same as	NOT SCORED (topical	
Bactroban)	antibiotic)	
MYCOSTATIN (same as	See Nystatin (oral vs.	

Nystatin)	topical)	
NAFCILLIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
		Screen
NaHCO3 (same as Sodium	NOT SCORED	
Bicarb)	(acidosis treatment)	
NARCAN (same as Naloxone)	NOT SCORED	
NEOSTIGME	NOT SCORED	
NEOSYNEPHRINE	NOT SCORED	
NETILMICIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
NEVIRAPINE	HIV medication	Score as Antibiotic on Patient Chart Screen and NTISS Screen
NIFEDIPINE	NOT SCORED	
	(vasodilator)	
NIPRIDE	NOT SCORED	
NITRAZEPAM (same as	NOT SCORED	
Mogadon)	(anticonvulsant)	
NITRIC OXIDE (iNO)	NITRIC OXIDE	Score as NO on Patient Chart Screen
NITRIC OXIDE GAS	(vasorelaxant) NOT SCORED	
(Anaesthetic)	NOT SCORED	
NITROGLYCERINE (NTG)	NOT SCORED	
NITROPRUSSIDE	NOT SCORED	
NYSTATIN ORAL	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
SUSPENSION	ANTIBIOTIC	Screen
NYSTATIN	NOT SCORED (topical	Serech
POWDER/OINTMENT/CREAM	antibiotic)	
OMEPRAZOLE	NOT SCORED (ulcer	
	treatment)	
ONDANSETRONE (same as Zofron)	NOT SCORED	
OPIUM SOLUTION	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
OPTIMYXIN EYE DROPS	NOT SCORED	
OXACILLIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
PALIVIZUMAB	RSV IMMUNIZATION	NOT SCORED
PANCURONIUM (PAVULON)	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
PARALDEHYDE (given rectally)	NOT SCORED (anticonvulsant)	
PAVULON	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
PEDIAPRED	STEROID	Score as Post-Natal Steroid on Medications Screen
PENICILLIN G	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS
PENTOBARBITAL	SEDATIVE	Screen Score as Sedative on Patient Chart Screen
		Score as Sedative on Patient Chart Screen Score as Sedative on Patient Chart Screen if used as
PHENOBARBITAL	SEDATIVE, ANTICONVULSANT	sedative and not as anticonvulsant, If uncertain as to reason score as sedative. Do not score if given as treatment for cholestasis or other uses.
PHENOXYBENZAMINE	NOT SCORED (vasodilator)	
PHENTOLAMINE (same as	NOT SCORED	
Regitine)		
PHENYLEPHRINE	PRESSOR	Score as Inotropes on Patient Chart Screen
PHENYLEPHRINE EYE DROPS	NOT SCORED	
EREPROTE		

PHENYTOIN (same as Dilantin)	NOT SCORED (anticonvulsant)	
PIPERACILLIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
POLYSPORIN	NOT SCORED (topical)	
POLYSPORIN OPTHALMIC OINTMENT	NOT SCORED	
POLYTRIM EYE DROPS	NOT SCORED	
POTASSIUM IODINE (same as Lugol's Solution)	NOT SCORED	
PREDFORTE	NOT SCORED	
PREDNISOLONE	STEROID	Score as Post-Natal Steroid on Medications Screen
PREDNISONE	STEROID (post-natal only)	Score as Post-Natal Steroid on Medications Screen
PRESSORS	NOT SCORED	
PRISCOLINE (same as Tolazoline)	NOT SCORED	
PROBIOTICS	PROBIOTICS	If Prophylactic, Score on Diagnosis / Procedures Screen
PROCAINAMIDE	NOT SCORED (antiarrhythmia agent)	
PROLASTIN	NOT SCORED	
PROPAFENONE HCL	NOT SCORED (antiarrhythmia agent)	
PROPANOLOL (same as Inderal)	NOT SCORED (antiarrhythmia agent)	
PROPOFOL	SEDATIVE	Score as Sedative on Patient Chart Screen
PROPYLTHIOURACIL (PROPYL-THYRACIL)	NOT SCORED	
PROSTAGLANDINS (PGE) IV (PROSTIN)	NOT SCORED	
PROVENTIL	BRONCHODILATOR	NOT SCORED (bronchodilator)
PULMICORT	STEROID	Score as Post-Natal Steroid on Medications Screen
PULMOZYME AEROSOL	NOT SCORED	
PYRID OXIME	NOT SCORED	
PYRIMETHAMINE (oral)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
RACEMIC EPINEPHRINE (same as vaponefrin)	BRONCHODILATOR	NOT SCORED (bronchodilator)
RANITIDINE (same as Zantac)	NOT SCORED	
RECOMBIVAX	NOT SCORED	
	(hepatitis vaccine)	
REGITINE (same as	NOT SCORED	
Phentolamine) REGLAN	NOT SCORED	
RETROVIR (same as Zidovudine	HIV medication	Score as Antibiotic on Patient Chart Screen and NTISS
and AZT)		Screen
RIFAMPIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
RIVOTRIL	NOT SCORED (anticonvulsant)	
ROCURONIUM BROMIDE (same as Zemuron)	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
SALBUTAMOL	BRONCHODILATOR	NOT SCORED (bronchodilator)
SANDOSTATIN	NOT SCORED	

SEPTRA (same as Bactrim or Co- Trimoxazole)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
SEROFLURANE (anaesthetic)	NOT SCORED	
SILDENAFIL (same as Viagra)	NOT SCORED	
SIMETHICONE	NOT SCORED (anti- flatulent agent)	
SOLUCORTEF	STEROID	Score as Post-Natal Steroid on Medications Screen
SOLUMEDROL	STEROID	Score as Post-Natal Steroid on Medications Screen
SOLU-MEDROL	STEROID	Score as Post-Natal Steroid on Medications Screen
SPIRONOLACTONE	DIURETIC	Score as Diuretic on NTISS screen only
STREPTOMYCIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
SUCCYNYLCHOLINE (SUX)	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
SUFENTA	NARCOTIC	Score as either Infusion or Bolus on NTISS Screen If Infusion, also Score as Narcotic on Patient Chart Screen
SULFADIAZINE	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
SURVANTA	SURFACTANT	Score as Surfactant on Medications Screen
TAZOCIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
TEMPRA (same as acetaminophen)	ANALGESIC	NOT SCORED
THEOPHYLLINE	RESPIRATORY STIMULANT	Score as Caffeine on Patient Chart Screen and NTISS Screen
TOBRADEX EYE OINTMENT	NOT SCORED	
TOBRAMYCIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
TOLAZOLINE (same as Priscoline)	NOT SCORED	
TRIMETHOPRIM	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
TRI-VI-SOL	NOT SCORED	
TYLENOL (Acetaminophen)	ANALGESIC	NOT SCORED
UROKINASE	NOT SCORED	
URSODIOL	NOT SCORED	
VALIUM (same as Diazepam)	SEDATIVE, ANTICONVULSANT	Score as Sedative on Patient Chart Screen if used as sedative and not as anticonvulsant, If uncertain as to reason score as sedative
VALPROIC ACID	NOT SCORED (anticonvulsant)	
VANCOMYCIN	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen and NTISS Screen
VAPONEFRIN (same as Racemic Epinephrine)	BRONCHODILATOR	NOT SCORED (bronchodilator)
VECURONIUM (VEC)	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
VENTOLIN (inhalation)	BRONCHODILATOR	NOT SCORED (bronchodilator)
VIGABATRIN	NOT SCORED (anticonvulsant)	
VIGILON	NOT SCORED	
VITAMIN A	EPITHELIAL GROWTH PROMOTER	If Prophylactic, Score on Diagnosis / Procedures Screen
VITAMIN D	NOT SCORED	
VITAMIN K	NOT SCORED	
VZIG (Varicella Zoster Immuno-	NOT SCORED	

globulin)		
XYLOCAINE	LOCAL ANAESTHETIC	NOT SCORED
ZANTAC (same as Ranitidine)	NOT SCORED	
ZEMURON (same as Rocuronium Bromide)	PARALYTIC	Score as Musc. Relaxant on Patient Chart Screen
ZIDOVUDINE (same as Retrovir and AZT)	HIV medication	Score as Antibiotic on Patient Chart Screen and NTISS Screen
ZINC GLUCONATE	NOT SCORED	
ZOFRON (same as Ondansetrone)	NOT SCORED	

APPENDIX II

CLASSIFICATION OF OPERATIONS

Score all operations/procedures performed in the operating room and/or requiring anaesthesia. If multiple operations were performed under the same anesthesia episode, include them all.

LAPAROTOMY (MAJOR)	THORACOTOMY (MAJOR)

Abdominal omphalocele, repair of ASD closure Aneurysm in right internal iliac artery, removal of BTS for tricuspid atresia Bladder rupture, closure of Coarctation repair Cystic adenomatoid malformation, correction of Bowel, re-section of correction of atresia Cystic hygroma Colostomy Diaphragmatic hernia repair (from above the diaphragm) revision of prolapsing colostomy Esophageal atresia (laparo- or thoro- as per location) Corkscrew duodenum, release of Lobectomy Dermoid Cyst, removal of Lung biopsy (open chest) (laparo- or thora- depending on site) Pacemaker, insertion of (permanent) Diaphragmatic hernia repair (from under the diaphragm) Pneumonectomy Duodenojejunostomy Pulmonary artery banding Fundoplication Pulmonary artery plasty (Blalock-Tassug shunt placed) Enterotomy (for removal of meconium) Tracheoesophageal fistula (TEF), repair Epispadias repair Vascular ring operation Gastroschisis defect, closure of Ileostomy closure/reversal of ileostomy resection ileum & formation ileostomy/mucus-fistula closure of ileostomy & mucus fistula **NEC** Nephrectomy Omphalocele, closure or re-section of Omphalomesenteric duct fistula, repair of Orchidectomy Pyloromyotomy (for pyloric stenosis) **Pyloroplasty** Urinary ascites w/ bladder rupture, repair Vesicostomy, closure/revision of Volvulus correction VP SHUNT (MAJOR) ECMO (MAJOR)

OTHER/MINOR	OTHER/MINOR
Amputation, below the knee	Nephrostomy (tube placement)
Angiogram	Nerve biopsy (under general anaesthesia)
Anoplasty	Occipital encephalocele, re-section of
Ballon dilations of the esophagus (also of pulm. valve)	Orchiopexy
Ballon Septostomy	Osteomyletis, drainage of left tibial
Bone marrow biopsy	Pacemaker insertion of (if put in intracardiac)
Bronchoscopy	PDA ligation/closure (even if a thoracotomy is required)
Catheters, surgically placed	PDA closed during cardiac catherization with a coil
arterial (cardiac) catheterization	Penrose drain insertion
CVL placement (in OR or w/anaesthesia)	for spontaneous intestinal perforation
Elecath pacing catheter placement	for NEC (with or without general anaesthesia)
IVC catheter	Posterior laryngeal cleft, repair of through a laryngeal
peritoneal drainage catheter	fissure approach
Choanal atresia repair	Rectal biopsy
Circumcision	Right femoral artery, resection of w/ proximal
Craniotomy to drain subdural hematoma	thrombectomy & w/ a 4-compartment fasciotomy of
closure/re-section of	lower leg
Cryo/Laser treatment (for ROP)	Right forearm fasciotomy, both dorsal & volar
Cytoscopy	compartments
Embolization	Right groin wound, debridement & repair of
Esophagoscopy	ROP therapy
Examination under anaesthesia (i.e.)	Scalp wound debridement (with formation of multiple
Eye surgery to re-attach cornea (with or without banding)	flaps & skin grafts
Gastroscopy	Septum pellucidum fenestration for
Gastrostomy	hydrocephalus
G-tube insertion under general anaesthesia	Silo placement for gastroschisis
G-tube replacement (with general anaesthesia)	Skin grafting
Hepatic cyst drainage (in radiology under U/S guidance)	Stint Placement (even if only lidocaine applied)
Hernia repair	Subperiosteal release for mouth
Herniorrhaphy	Tethered cord, release of with operating
HICKMAN TM catheter line, placement of (if to superior	microscope
vena cava)	Tongue adhesion to palate, release of
Iridectomy	Tongue lip adhesion
Laryngoscopy	Tracheostomy (on Diagnosis/Procedures screen only)
Laryngo-bronchoscopy	cricoid split
Lensectomy	Tracheotomy
Lung biopsy (if by puncture)	Ventricular taps, multiple frontal
Myeloschisis, closure of	ventricular drain insertion (if w/ general anaesthesia)
Myringotomy tubes	Vitrectomy

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NO SCORE	NO SCORE
Ballon catheter in lung	Peripheral arterial line (PAL) placement
(with fentanyl & pavulon but not anaesthesia)	Skin biopsy
Chest tube placement	Thora/paracentesis
Cutdown venous access	Tooth extraction under local anaesthetic
CVL removal (or any line, unless sent to OR	Tracheostomy (only counts on Diagnosis/Procedures
specifically for removal)	screen)
Extra digit removal	UAL or UVL placement
10 French thoracotomy tube placement	Ventricular drain insertion
G-Tube replacement (without anaesthesia)	(with fentanyl but not general anaesthesia)
Lumbar puncture	Ventriculopuncture (through a previously implanted
Pacemaker insertion via esophageal lead	catheter)

APPENDIX III

CLASSIFICATION OF OTHER DIAGNOSIS LIST

Name	Number	Description
Anemia of prematurity	P61.2	Anaemia due to no identified cause or other causes in preterm infant
Apnea of prematurity	P28.4	Apnoea due to no other reason in a preterm infant
Arrhythmia	P29.1	Cardiac rhythm disturbances
Aspiration of amniotic fluid and mucus	P24.1	Amniotic fluid aspiration
Aspiration of meconium	P24.0	Meconium aspiration syndrome
Aspiration of milk and regurgitated food	P24.3	Milk aspiration
Birth injury, intracranial hemorrhage	P10.1	Intracranial hemorrhage due to birth injury
Birth injury, subarachnoid hemorrhage	P10.3	Subarachnoid haemorrhage due to birth injury
Birth injury, subdural hemorrhage	P10.0	Subdural haemorrhage due to birth injury
Birth injury, unspecified and other	P15.9	Trauma during birth
Cardiomyopathy	I42	Cardiomyopathy
Coagulopathy but not DIC	P61.6	Coagulation abnormalities not meeting diagnosis of DIC, Transient coagulation disorder
Coagulopathy due to Disseminated intravascular coagulation	P60	DIC
Congenital cytomegalovirus infection	P35.1	Congenital CMV infection
Congenital herpes viral [herpes simplex] infection	P35.2	Congenital herpes viral [herpes simplex] infection
Congenital rubella syndrome	P35.0	Congenital rubella infection
Congenital toxoplasmosis	P37.1	Congenital toxoplasmosis
Dehydration	P74.1	Dehydration
Fetal blood loss, other	P50.8	Other foetal blood loss
Fever	P81.9	Pyrexia
Gastroesophageal reflux disease	K21	GERD
Hemolytic disease due to ABO incompatibility	P55.1	ABO incompatibility
Hemolytic disease due to Rh incompatibility	P55.0	Blood group Rh incompatibility
Hemolytic diseases of fetus and newborn, other	P55.8	Other haemolytic diseases of foetus and newborn
Hemorrhage into co-twin	P50.3	Feto-fetal hemorrhage
Hemorrhage into maternal circulation	P50.4	Feto-maternal hemorrhage
Hemorrhagic disease due	P54	Neonatal hemorrhage

to cause other than		
Vitamin K Deficiency Hemorrhagic disease due to Vitamin K deficiency	P53	HDNB
Hydrocele	P83.5	Scrotal swelling
Hydrops fetalis due to isoimmunization	P56.0	Hydrops fetalis due to isoimmunization
Hydrops fetalis due to other and unspecified haemolytic disease	P56.9	Hydrops fetalis due to other and unspecified haemolytic disease
Hyperglycemia	R73.9	Hyperglycemia
Hyperkalemia	P74.31	Hyperkalaemia
Hypernatremia	P74.21	Hypernatraemia
Hypertension	P29.2	High blood pressure
Hypertonia	P94.1	Increasead tone
Hypoglycaemia	P70.4	Neonatal hypoglycaemia
Hypokalemia	P74.30	Hypokalaemia
Hyponatremia	P74.20	Hyponatraemia
Hypotension	R03.1	Low blood pressure, refractory hypotension
Hypothermia	P81	Hypothermia not as part of therapy
Hypotonia	P94.2	Congenital hypotonia
Inguinal hernia	K40.9	Hernia, inguinal
Intestinal obstruction	P76.9	Bowel obstruction, unspecified includes atresia
Meconium ileus	P75	Meconium ileus, meconium plug
Neonatal abstinence syndrome (NAS) due to maternal use of addictive substances	P96.1	Withdrawal symptoms from maternal use of drugs in newborn
Neonatal abstinence syndrome (NAS) due to neonatal use of addictive substances	P96.2	Withdrawal symptoms from therapeutic use of drugs in newborn
Neutropenia	P61.5	Transient neonatal neutropenia
Osteopenia of prematurity	M85.9	Metabolic bone disease of prematurity
Persistent fetal circulation	P29.3	PFC
Persistent pulmonary hypertension (PPHN)	P99.9	Persistent pulmonary hypertension or PPHT
Pneumomediastinum	P25.2	Pneumomediastinum
Pneumopericardium	P25.3	Pneumopericardium
Portal vein thrombosis	I81	Portal vein thrombosis
Pulmonary edema	J81	Pulmonary edema

Pulmonary haemorrhage	P26.8	Pulmonary haemorrhage
Pulmonary Interstitial Emphysema	P.25.0	Interstitial emphysema originating in the perinatal period
Renal failure	P96.0	Renal failure
Respiratory distress, unspecified (not RDS)	P22.9	Respiratory distress (excluding RDS)
Thrombocytopenia	P.61.0	Transient neonatal thrombocytopenia due to: •exchange transfusion •idiopathic maternal thrombocytopenia •isoimmunization
Transient myocardial ischemia	P29.4	Transient myocardial ischemia
Transient tachypnea of newborn	P22.1	TTN
Umbilical hernia	K42.9	Hernia, Umbilical but not omphalocele
Urinary tract infection	P39.3	UTI
Vocal cord palsy	J38.0	Paralysis of vocal cords and larynx
Wilson-Mikity syndrome	P27.0	Wilson-Mikity syndrome
Other	O00.0	Optional: "Other" may be chosen to enter any diagnoses that are not listed in the scroll down menu. A free text field (maximum characters=100) for "Other Diagnosis Description" will display.

APPENDIX IV

CLASSIFICATION OF CONGENITAL ANOMALIES

System	Name	ICD10	Comments
Cardiac Chambers And	Atrial Septal Defect	Q21.1	
Circulation Cardiac Chambers And	Atrioventricular Septal		
Circulation	Defect	Q21.2	
Cardiac Chambers And		025.1	
Circulation	Coarctation Of The Aorta	Q25.1	
Cardiac Chambers And	Congenital Absence And Hypoplasia Of The Umbilical		
Circulation	Artery (Single Umbilical	Q27.0	
Circulation	Artery)		
Cardiac Chambers And	Congenital Heart Block	Q24.6	
Circulation		Q24.0	
Cardiac Chambers And	Double Outlet Right	Q20.1	
Circulation Cardiac Chambers And	Ventricle Unperleating Laft Heart		
Circulation	Hypoplastic Left Heart Syndrome	Q23.4	
	Other Congenital		
Cardiac Chambers And Circulation	Malformations Of The	Q28	
	Circulatory System		
Cardiac Chambers And	Other Congenital	Q24	This includes dextrocardia, cor triatrium, pulmonary
Circulation Cardiac Chambers And	Malformations Of The Heart		infundibular stenosis, subaortic stenosis
Circulation	Pulmonary Valve Stenosis	Q22.1	
Cardiac Chambers And	Translate Of Faller	021.2	
Circulation	Tetralogy Of Fallot	Q21.3	
Cardiac Chambers And	Total Anomalous Pulmonary	Q26.2	
Circulation	Venous Connection		
Cardiac Chambers And Circulation	Transposition Of The Great Vessels (Tgv)	Q20.3	
Cardiac Chambers And			
Circulation	Ventricular Septal Defect	Q21	
Chromosomal	Balanced Rearrangements		
Abnormalities	And Structural Markers Not	Q95	
Chromosomal	Elsewhere Classified		
Abnormalities	Down's Syndrome	Q90	
Chromosomal	Edwards' Syndrome Or	001.2	
Abnormalities	Trisomy 18	Q91.3	
Chromosomal	Monosomies And Deletions	002	
Abnormalities	From The Autosomes Not Elsewhereclassified	Q93	
	Other Sex Chromosome		
Chromosomal	Abnormalities, Female	007	
Abnormalities	Phenotype Not Elsewhere	Q97	
	Classified		
Charaman and 1	Other Sex Chromosome		
Chromosomal Abnormalities	Abnormalities, Male Phenotype Not Elsewhere	Q98	
Autormanues	Classified		
Chromocomol	Other Trisomies And Parial		
Chromosomal Abnormalities	Trisomies Of The Autosomes	Q92	
	Not Elsewhere Classified		
Chromosomal Abnormalities	Patau Syndrome Or Trisomy 13	Q91.7	
Chromosomal	Turner'S Syndrome	Q96	
Chromosomai	Tarner 5 Syndrome	00	

Abnormalities			
Digestive	Atresia Of Oesophagus With Tracheo-Oesophageal Fistula	Q39.1	
Digestive	Atresia Of Oesophagus Without Fistula	Q39.0	
Digestive	Atresis Of The Bile Ducts	Q44.2	
Digestive	Congenital Absence, Atresia And Stenosis Of The Anus (Imperforate Anus)	Q42.3	
Digestive	Congenital Absence, Atresia And Stenosis Of The Duodenum	Q41.0	
Digestive	Congenital Absence, Atresia And Stenosis Of The Jejunum	Q41.1	
Digestive	Congenital Absence, Atresia And Stenosis Of The Small Intestine	Q41	
Digestive	Congenital Hypertrophic Pyloric Stenosis	Q40.0	
Digestive	Congenital Malformations Of The Esophagus	Q39	
Digestive	Congenital Malformations Of The Gallbladder, Bile Ducts And Liver	Q44	
Digestive	Congenital Malformations Of The Tongue, Mouth, Pharynx	Q38	
Digestive	Other Congenital Malformations Of The Digestive System	Q45	
Digestive	Other Congenital Malformations Of The Intestine	Q43	This includes meckel's diverticulum, Hirschprung's disease, ECTOPIC ANUS, COLACAL ANOMALY
Ear	Congenital Malformations Of The Ear Causing Impairment Of Hearing	Q16	This includes anomalies of auditory canal, eustachian tube, ossicles
Ear	Other Congenital Malformations Of The Ear	Q17	This includes small ear, large ear, misplaced ear, accessory auricle
Eye	Anophthalomos, Microphthalmos And Macrophthalmos	Q11	
Eye	Congenital Lens Malformations	Q12	This included congenital cataract, lens abnormalities
Eye	Congenital Malformations Of Eyelid,Lacrimal Apparatus And Orbit	Q10	
Eye	Congenital Malformations Of The Anterior Segment Of The Eye	Q13	This includes abnormalities of iris, cornea
Eye	Congenital Malformations Of The Posterior Segment Of The Eye	Q14	This includes abnormalities of vitreous, retina, optic disc, chroid
Eye	Other Congenital Malformations Of The Eye	Q15	
Face And Neck	Congenital Anomalies Of Neck Region	Q18	This includes sinus, cycst, fistula, webbing of neck region
Genital	Congenital Malformations Of Ovaries, Fallopian Tubes And Broad Ligiaments	Q50	
Genital	Congenital Malformations Of	Q51	

	The Uterus And Cervix		
Genital	Hypospadias	Q54.0	
Genital	Indeterminate Sex And Pseudohermaphroditism	Q56	
Genital	Other Congenital Malformations Of The Female Genitals	Q52	
Genital	Other Congenital Malformations Of The Male Organs	Q55	
Genital	Undescended Testicle, Bilateral	Q53.2	
Genital	Undescended Testicle, Unilateral	Q53.1	
Musculoskeletal	Congenital Deformities Of The Feet	Q66	This includes talipes, varus and valgus deformities
Musculoskeletal	Congenital Deformities Of The Hip	Q65	
Musculoskeletal	Congenital Diaphragmatic Hernia	Q79.0	
Musculoskeletal	Congenital Malformations Of The Musculoskeletal System, Not Elsewhere Classified	Q79	
Musculoskeletal	Congenital Malformations Of The Spine And Bony Thorax	Q76	
Musculoskeletal	Congenital Musculoskeletal Deformities Of Arm, Leg, Long Bones	Q68	
Musculoskeletal	Congenital Musculoskeletal Deformities Of Head, Face, Spine And Chest	Q67	
Musculoskeletal	Craniosynostosis	Q75.0	
Musculoskeletal	Exomphalos	Q79.2	
Musculoskeletal	Gastroschisis	Q79.3	
Musculoskeletal	Other Congenital Malformations Of Limbs (Shoulder Girdle, Knee, Arthrogryposis)	Q74	
Musculoskeletal	Other Congenital Malformations Of The Skull And Face Bones	Q75	
Musculoskeletal	Polydactyly	Q69	
Musculoskeletal	Reduction Defects Of The Lower Limb	Q72	
Musculoskeletal	Reduction Defects Of The Upper Limb	Q71	
Musculoskeletal	Reduction Defects Of Unspecified Limb	Q73	
Musculoskeletal	Syndactyly	Q70	
Nervous	Anencephaly	Q00	
Nervous	Congenital Hydrocephalus	Q03	
Nervous	Encephalocele	Q01	
Nervous	Microcephaly	Q02	
Nervous	Other Congenital Malformations Of The Brain	Q04	This includes malformation of corpus callosum, holoprosencephaly, reduction anomaly of brain, cerebellar anomaly
Nervous	Other Congenital Malformations Of The Nervous System	Q07	This includes any other malformations of central nervous sytem not mentioned above

Nervous	Spina Bifida	Q05	
Nervous	Spinal Cord Anomaly Other Than Spina Bifida	Q06	
Other Congenital Malformations	Congenital Ichthyosis	Q80	
Other Congenital Malformations	Congenital Malformations Of The Breast	Q83	
Other Congenital Malformations	Congenital Malformations Syndromes Due To Known Exogenous Causes Not Elsewhere Classified	Q86	This includes fetal alcohol syndrome, fetal hydantoin
Other Congenital Malformations	Epidermolysis Bullosa	Q81	
Other Congenital Malformations	Fetal Alcohol Syndrome (Dysmorphic)	Q86.0	
Other Congenital Malformations	Neurocutaneous Syndromes	Q85	This includes neurofibromatosis, tuberous sclerosis)
Other Congenital Malformations	Other Congenital Malformations Of Skin Appendages Such As Nail, Hair	Q84	This includes hair and nail abnormalities
Other Congenital Malformations	Other Congenital Malformations Of The Skin	Q82	This includes incontinentia pigmenti, mastocytosis, xeroderma, heriditary lymphoedema)
Other Congenital Malformations	Other Congenital Malformations, Not Elsewhere Classified	Q89	This includes malformations of spleen, adrenal, endocrine glands, conjoint twins
Other Congenital Malformations	Other Specified Congenital Malformation Syndromes Affecting Multiple Systems	Q87	
Other Congenital Malformations	Situs Inversus	Q89.3	
Respiratory	Cleft Lip	Q36	
Respiratory	Cleft Palate	Q35	
Respiratory	Cleft Palate With Lip	Q37	
Respiratory	Congenital Cystic Lung	Q33.0	
Respiratory	Congenital Malformations Of The Larynx	Q31	
Respiratory	Congenital Malformations Of The Lung	Q33	This includes agenesis, ectopic, hypoplastic and dysplastic lung
Respiratory	Congenital Malformations Of The Nose	Q30	
Respiratory	Congenital Malformations Of The Trachea And Bronchus	Q32	
Respiratory	Sequestration of The Lung	Q33.2	
Urinary	Congenital Hydronephrosis	Q62.0	
Urinary	Congenital Posterior Urethral Valves	Q64.2	
Urinary	Congenital Renal Cystic Diseases	Q61	
Urinary	Other Congenital Malformations Of The Urinary System	Q64	This includes epispadias, exstrophy of bladder, stricture of meatus
Urinary	Renal Agenesis And Other Defects Of The Kidney	Q60	This includes renal agenesis, hypoplasia, Potter's syndrome

APPENDIX V

DEFINITIONS OF DIAGNOSIS OF INFECTION

When confirming a diagnosis for a given infection episode, look first for a positive culture, then for the administration of appropriate antibiotic therapy and finally look for the clinical signs listed below to infer the appropriate diagnosis type for a particular infection.

Lower respiratory tract (pneumonia) must meet the following criterion:

- 1. Patient is less than or equal to 12 months of age and has chest x-ray changes consistent with 'pneumonia', 'consolidation' or 'infiltrates' *and*
- 2. Worsening respiratory signs (increased respiratory distress or oxygen or ventilation requirements in the previous 24 hours (ie. temp. instability, apnea, bradycardia, etc.) and
- 3. Isolation of pathogenic organism from ETT culture or broncheoalveolar lavage and
- 4. Treatment with antimicrobial for at least 5 days

Clinical sepsis must meet either of the following criterion:

- 1. Patient is less than or equal to 12 months of age and has one of the following clinical signs or symptoms with no other recognized cause: fever (>38°C), hypothermia (<37°C), apnea, or bradycardia *and all* of the following:
 - a) Blood culture not done or no organism or antigen detected in blood
 - b) No apparent infection at another site
 - c) Physician institutes (or intends) appropriate antimicrobial therapy for sepsis for at least 5 days

RESPIRATORY SYNCYTIAL VIRUS (RSV): A viral infection of the nose and throat and a major cause of bronchiolitis and pneumonia in young children.

Must meet the following criteria

- a) Symptomatic infant with cough, runny nose, respiratory distress or worsening respiratory status or fever and
- b) Nasopharyngeal swab positive for RSV virus or antigen

SKIN AND SOFT TISSUE INFECTION: Includes skin infection (other than an incisional wound infection), soft tissue infection, decubitus ulcer infection, burn infection, breast abscess or mastitis, omphalitis, infant pustulosis, and newborn circumcision infection.

Skin 'soft tissue infection must meet either of the following criteria:

- 1. Purulent drainage, pustules, vesicles, or boils, necrotizing fasciitis, infectious gangrene, necrotizing cellulitis, infectious myositis, lymphadenitis, or lymphangitis
- 2. Two of the following at affected site: localized pain or tenderness, swelling, redness, or heat *and* any of the following:
 - a) Organism isolated from culture of aspirate or drainage from affected site; if organism is normal skin flora, must be a pure culture of a single organism
- 3. Physician institutes (or intends) appropriate antimicrobial therapy for at least 5 days

URINARY TRACT INFECTION: Includes symptomatic urinary tract infection, asymptomatic bacteriuria, as well as other infections of the urinary tract.

Symptomatic urinary tract infection must meet either of the following criteria:

- 1. Patient is less than or equal to 12 months of age and has one of the following: fever (>38°C), hypothermia (<37°C), apnea, bradycardia, dysuria, lethargy, or vomiting *and* a urine culture of ≥10² colonies/ml of urine with no more than 2 species of organisms in a catheter specimen or bladder tap.
- 2. Physician institutes (or intends) appropriate antimicrobial therapy for at least 5 days

APPENDIX VI

POSITIVE CULTURES - ORGANISM LIST

orgcode	orgtype	org#
ABA	Acinetobacter Bauannii	2.2
ACINE	Acinetobacter species (not specified)	2.2
ACVA	Acinetobacter species (not specified) Acinetobacter calcoaceticus Var Anitratus	2.2
ADS	Adenovirus	7
ALW	Acinetobacter Lwoffii	2.2
ANR0	Anaerobic Gram Negative Rod(s)	2
ASP	Actinomyces Acremonium Species	3.2
AST	Alpha Strep	1.4
AUR	Staph Aureus Coga +	1.1
BHSB	Beta-Hemolytic Staph B	1
BSB	Beta Streptococcus Group B	1.2
BSP	Bifidobacterium Species	3.2
CAL	Candida Albicans	4.1
CAND	Candida Glabrata	4.2
CANDIDA	Candida Parapsilosis	4.2
CDI	Citrobacter Diversus	2.2
CFR	Citrobacter Freundii	2.2
CFU	Candida Fugis	4.2
CGUI	Candida Guilliermondii	4.2
CJE	Campylobacter Jejuni	3.1
CLU	Cand	4.2
CMV	Cytomegalovirus	5.1
CONS	Staph Coagulase Negative	1.3
COS	Corynebacterium Species	3
CPA	Candida Species (unspecified)	4.2
CSP	Candida Lusitaniae	4.2
CTR	Candida Tropicalis	4.2
CTRA	Chylamydia Trachomatis	3
DPTH	Diptheriods	3.2
EAE	Eubacterium Aerofaciens	2.2
EAG	Enterobacter Agglomerans	2.2
EAV	Enterococcus Avium	1.6
ECL	Enterobacter Cloacae	2.2
ECOLI	Escherichia Coli	2.1
EFA	Enterococcus Faecalis, Beta-Lactamase Negative	1.6
EGE	Enterococcus Gallinarum	1.6
ENC	Enterococcus Species	1.6
ENT	Enterovirus	5.4
ENTERIC	Enteric Strep	1.4
ESA	Enterobacter Sakazakii	2.2
GBS	Group B Strep	1.2
HIP	Haemphuilus Influenza, Beta-Lactamase Positive	2.3
HSV2	Herpes Simplex Virus Type 2	5.3
IBV	Influenza B Virus	5.3
1		

orgcode	orgtype	org#
INF	Influenza	5
KLEBS	Klebsiella	2.2
KOX	Klebsiella Oxytoca	2.2
KPN	Klebsiella Pneumoniae	2.2
LLA	Lactococcus Lactis	3.2
LSP	Lactobacillus Species	3.2
LTM	Listeriosis monocytogenes	1.7
MFU	Malassezia Furfur	4.3
MSP	Moraxella Species	2.2
MYP	Mycoplasma	1.7
NBA	Gram Negative Bacteria	2
NRO	Gram Negative Rod(s) (includes coliform)	2
NSP	Neisseria Species	3.2
PAE	Pseudomonas Aeruginosa	2.2
PAL	Pseudomonas Alcaligenes	2.2
PAS	Pasteurella Aerogenes	2.2
PBA	Gram Positive Bacteria	3
PC0	Gram positive Coccus (Cocci)	1
PFL	Pseudomonas Fluorescens Group	2.2
PINF	Parainfluenza	6
PR0	Gram Positive Rods	3
PSP	Proteus Species	2.2
RRU	Rhodotorula Rubra	4.3
RSV	Respiratory Syncytial Virus	5.2
SAG	Salmonella Agona	2.2
SAN	Strep Anginosus	1.4
SAU	Staph Aureus Coag +	1.1
SBOV	Strep Bovis	1.6
SCN	Staph Coagulase Negative	1.3
SCU	Staph Capitus Ureolyticus	1.3
SEP	Staph Epidermis	1.3
SHM	Staph Hemolyticus	1.3
SHO	Staph Hominis	1.3
SLU	Staph Lugdunensis	1.3
SMA	Serratia Marcescens	2.2
SMI	Strep Milleri	1.4
SPN	Strep Pneumoniae	1.5
SSM	Strep Anginosus	1.4
SSP	Staph Species	1.3
STY	Salmonella Typhimurium	2.2
SVIR	Strep Veridans Group	1.4
SWA	Staph Warneri	1.3
URP	Ureaplasma	1.7
Y	Yeast	4
OTHER	Other organism	8

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