



CNN Publication Policy

The following outlines the policy on publications based on results produced from the Canadian Neonatal Network™ database and its related projects and databases:

AUTHORSHIP

- 1) Any publication from the CNN will be authored using the rules laid out below for CNN members, paid staff members, non-paid contributors and other students.
- 2) The “Canadian Neonatal Network™” will be included as the final author in each publication.
- 3) In the case of a working group: Each working group leader will be responsible for allocating lead authorship and writing responsibilities for publications. Lead authorship will be on a rotating basis among members of working groups. In the event of a disagreement, a decision will be made by the CNN Steering Committee.
- 4) *Sunset Clause* – Authors pursuing a topic will have up to two years from the time of assignment to produce a scientific work. Lack of substantial progress within that year (as evidenced by production of an abstract and a significant body of analyses) will relinquish their authorship priority. Extensions can be granted if there are documented problems with data quality, acquisition, etc.

For CNN Members:

Their name(s) will be included in the author’s list when one of the following conditions is met:

- 1) The member initiated the project resulting in a publication **OR**
- 2) The member is involved in the working group for this project **OR**
- 3) The member is involved in the publication and is put forward by the project initiator to the CNN Steering Committee to be an author.

For paid staff members:

Their name(s) will be included in the author’s list when one of the following conditions is met:

- 1) The staff member initiated the project resulting in a publication and it was decided upon up front by those involved that they would have their name within the author’s list **OR**
- 2) The staff member was involved in the project at a later date, they have contributed to the writing of the publication and the first author has received approval from the CNN Steering Committee to include their name.



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For non-paid contributors (Post-doctoral Fellows, Medical Trainees, Graduate Students):

Their name(s) will be included in the author's list when one of the following conditions is met:

- 1) The student initiated the project resulting in a publication and it was decided upon up front by those involved that they would have their name within the author's list **OR**
- 2) The project is part of the student's Ph.D or MSc. dissertation **OR**
- 3) The student made an active intellectual contribution

For other students (Medical, Co-op, Undergraduate):

Please see the above policy for non-paid contributors.

PUBLICATIONS/MANUSCRIPTS/ABSTRACTS

Manuscripts and abstracts resulting from CNN and site data must be reviewed by the CNN Steering Committee BEFORE submission for publication.

Papers published by local sites or by other parties using either local data and/or CNN data must acknowledge the Canadian Neonatal Network™.

A copy of manuscripts resulting from local and/or CNN Core data must be forwarded to the CNN Coordinating Centre.

ALLOCATION OF PRODUCTS



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i. Core Analyses

Methods		
Validation		Obstetric Info
SNAP II		SNAP vs. CRIB
Transport Score		Congenital Anomalies
Outcomes		
Mortality		PDA
BPD		Air-Leak
IVH		Seizures
Infection		Surgery
NEC		Bili
ROP		HIE/Asphyxia
Follow-up		Discharge/Post-transfer
Technology		
Transfusions		Monitoring
Ventilation		Procedures
Parenteral nutrition		Pressors
Catheter use		ECMO/N ₂ O
Steroid use		Tracheostomy
Medications		
Resource		
Resource availability		New technology adoption
<i>Technology utilization</i>	<i>Resource utilization</i>	<i>Intensity of care</i>
CT	RN	NTISS
ECMO	MD	DOV
Vent	Beds	DOPK
Referral	Budget	Pain management
Surgery		# of transfers

- ii. Supplementary Core Analyses – those subsequently determined by the group to be part of the core analyses
- iii. Ancillary Studies – studies which make use of the central shared dataset but involve investigators outside of the Neonatal-perinatal Interdisciplinary Capacity



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Enhancement Team. Such projects require the agreement of the group to allow analysis of shared data.

Ancillary studies have the exception that:

- At least one of the ancillary investigators be a member of the NICE
 - Investigators will provide supplemental funding and/or supplemental data collection to complete their own project
- iv. Single Site Secondary Analyses – Investigators at individual sites can use single site data for local projects with few limitations. Stipulations include:
- a requirement for notification of what and how they will use the data
 - acknowledgment on resulting publications that the data came from the NICE Database (including grant number citation)
 - individual sites are embargoed from publishing single-site studies of analyses that are already claimed by working groups of the multi-site study. Disputes about this will also be adjudicated by the Steering Committee.

ANALYTIC SUPPORT

For confidentiality reasons, all analyses of core data (Core Analyses, Supplemental Core Analyses, Ancillary Studies, Single Site Secondary Analyses) will remain within the study Coordinating Centre. Specifically, there will be no release of multi-site raw data. Given the limited analyst time, priority will be given to:

- core analyses specified in the funded grant
- supplemental core analyses
- ancillary studies will have the lowest priority for analytic support except where such studies provide funding for their own analyses

If you have any questions regarding this policy, please contact Priscilla Chan at pchan@mtsinai.on.ca or 416-586-4800 ext 3833